

# Annual Report

▶▶ 2006



IPPO

Intellectual  
Property  
Office



INTELLECTUAL PROPERTY OFFICE  
MINISTRY OF ECONOMIC AFFAIRS



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## Message from the Director General

With the rapid progress of the global knowledge economy, the research and innovation in industrial technology have become the main key to national sustainable development. Intellectual property right (IPR) system aims to safeguard the research and investment achievements and encourage invention and innovation, plays an important role in the formation of an environment for research and innovation, being quite an important link for enhancing the overall national competitiveness. As the competent authority of IPR in Taiwan, TIPO deeply recognizes its great responsibilities and always sustains the utmost commitment and effort to optimize a healthy and high-quality IPR environment.

Over the past year, due to the concerted effort of TIPO's staff and cooperation and support from across in society, each type of business has been increased to some extent. In patent and trademark examination, systemic improvements and enhanced examiner training have been effective in raising examination quality and shortening examination time, in spite of a shortage of examiners. In order to provide more convenient service to the public, TIPO set up a branch office in Tainan, and expanded the range of services performed in Hsinchu, Taichung, Tainan and Kaohsiung branch offices. Now members of the public no longer need to travel up and down Taiwan to deal with IPR-related matters, a wide range of which can be handled by these branch offices. Even a patent interview can be conducted through video-link at a branch office, breaking constraints of time and space and improving the service performance significantly. Regarding the improvement in the legal regime, the draft "Patent Attorney Act" entered the last step of article modification. In response to the rapid development of science and technology and changes in the international IPR environment, TIPO continues to draw up the amendments to the Patent Act, Trademark Act, and Copyright Act, and establishes forums of laws and

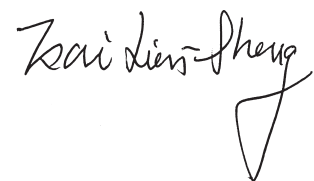


# Intellectual Property Office

regulations about patent, trademark, and copyright, so a broad input of opinions may be gathered through those common platforms for TIPO to refer to in researching revisions of the law. Further, a Taiwan Intellectual Property Training Academy (TIPA) has been established in 2005 and trained more than one thousand trainees in 2006, lays the foundation for cultivating local expertise on intellectual property. Other highlights of the year included the holding of “2006 National Invention and Creation Award” and the “2006 Taipei International Invention Show and Technomart” to encourage all business to invest in technology research and development and create higher values for domestic industries.

In the sphere of strengthening international exchanges and cooperation, TIPO sent delegations to attend WTO/TRIPS Council meetings and APEC activities, to enhance other countries’ understanding of Taiwan’s stance, policies and progress in IPR protection. Meanwhile, bilateral cooperation on IPR with U.S., the European Union, Japan, U.K., Australia, New Zealand, and France effectively establishing channels for communication and exchange.

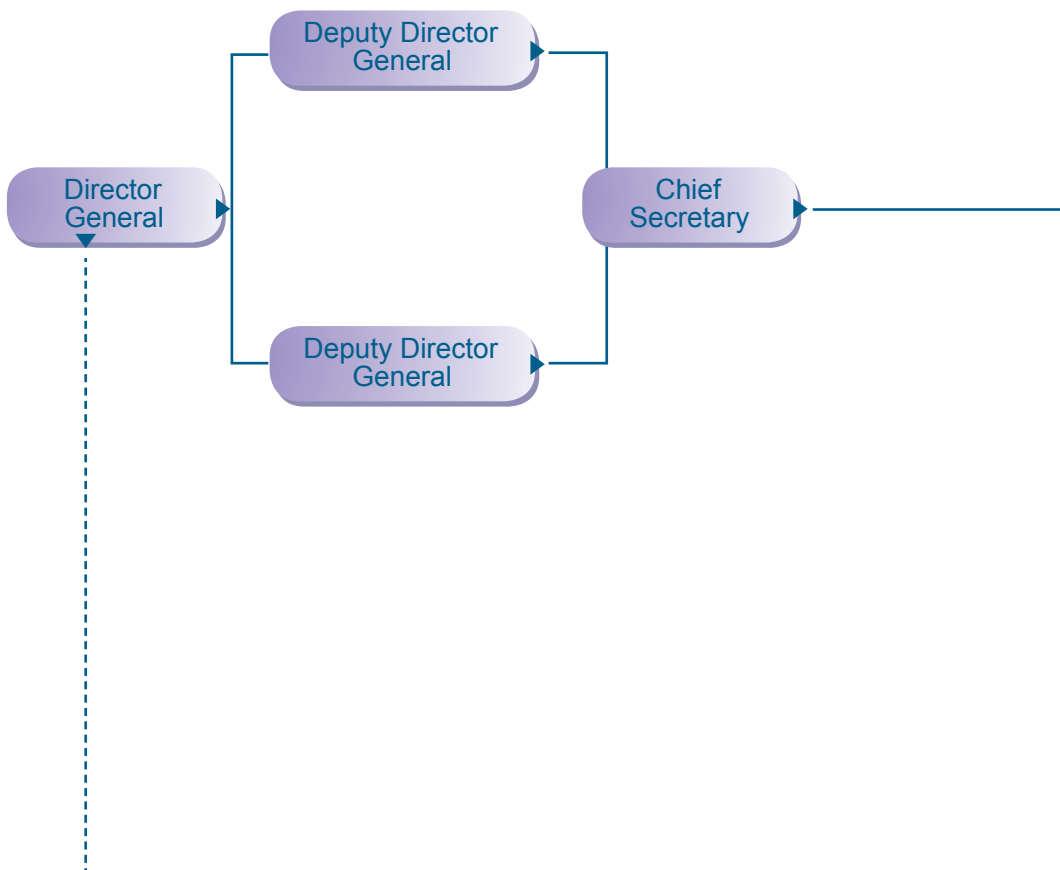
In future, TIPO will continue to strive for excellence, innovation, and demonstrate a caring spirit in the energetic performance of all of its tasks. The main vision and goals of TIPO will be to raise examination quality, alleviate the burdens of the applicants, improve standards of service, and protect IPR effectively. TIPO will apply itself with the greatest enthusiasm to serving the public, and hope to receive continuous support and suggestions from all.



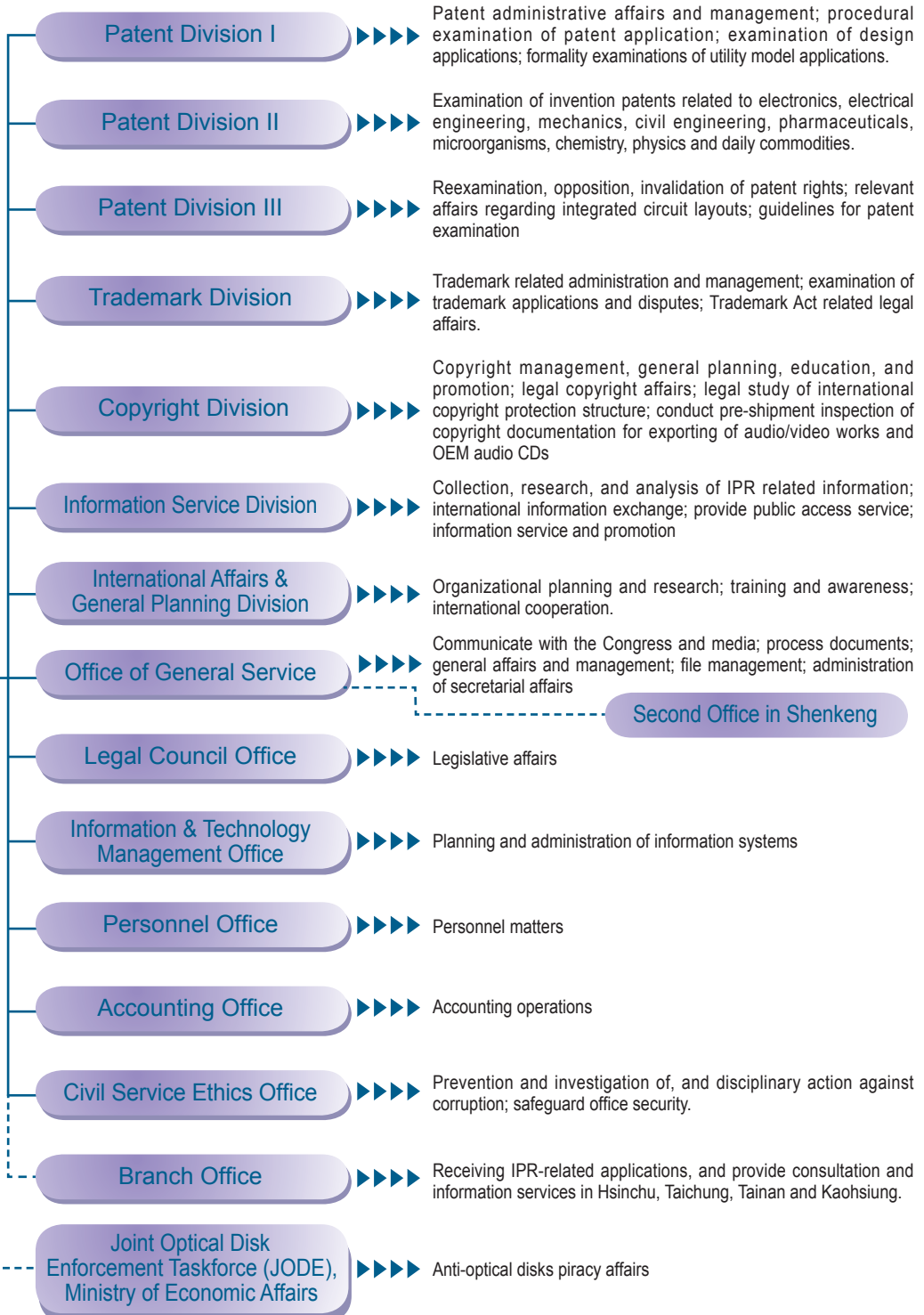
*Tsai, Lien-Sheng*  
Director General, Intellectual Property Office, MOEA

# I. Organization, Budget, and Personnel

## A. Organization



----- Denotation for Task Unit



## B. Budget

### ▼ 2006 Annual Revenue

Unit: NT \$1,000, %

| Item                  | Amount    | Percentage |
|-----------------------|-----------|------------|
| Fines and Indemnities | 3,921     | 0.18       |
| Fees                  | 2,215,352 | 99.73      |
| Properties            | 48        | 0.01       |
| Others                | 1,950     | 0.08       |
| Total                 | 2,221,271 | 100.00     |

### ▼ 2006 Annual Expenditure

Unit: NT \$1,000, %

| Item   | Amount    | Percentage |
|--|-----------|------------|
| IPR Professionals Training                                   | 35,700    | 2.26       |
| General Administration                                       | 1,025,701 | 64.98      |
| Planning, training, promotion, and international cooperation | 54,793    | 3.47       |
| Patent related administration and examination                | 201,333   | 12.76      |
| Trademark related administration and examination             | 15,964    | 1.01       |
| Copyright and trade secret administration and promotions     | 20,276    | 1.29       |
| IPR data development and services                            | 88,358    | 5.60       |
| Anti-counterfeiting  | 57,822    | 3.66       |
| TIPOnet Project  | 78,500    | 4.97       |
| Total  | 1,578,447 | 100.00     |

Note: The figures listed above include supplementary budget and secondary reserve fund.

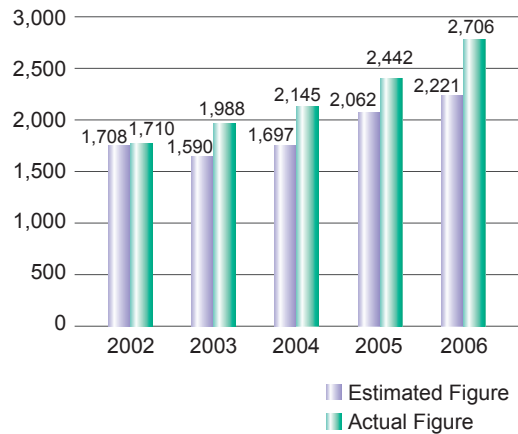
## ▼ TIPO Annual Budget, 2002-2006

### ▼ Annual Budget- Revenue

Unit: NT\$ million

| Year | Estimated Figure | Actual Figure |
|------|------------------|---------------|
| 2002 | 1,708            | 1,710         |
| 2003 | 1,590            | 1,988         |
| 2004 | 1,697            | 2,145         |
| 2005 | 2,062            | 2,442         |
| 2006 | 2,221            | 2,706         |

Note: The figures listed above include supplementary budget.

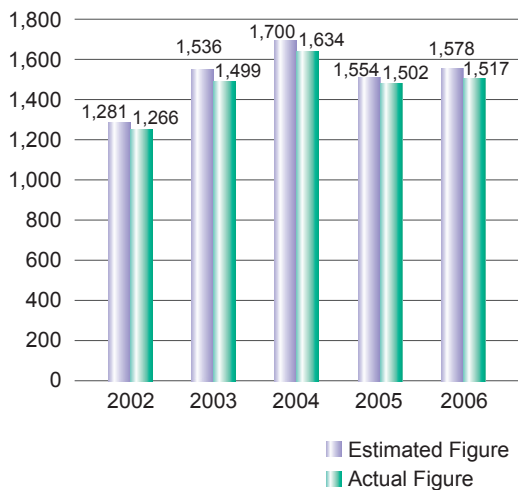


### ▼ Annual Budget Expenditure

Unit: NT\$ million

| Year | Estimated Figure | Actual Figure |
|------|------------------|---------------|
| 2002 | 1,281            | 1,266         |
| 2003 | 1,536            | 1,499         |
| 2004 | 1,700            | 1,634         |
| 2005 | 1,554            | 1,502         |
| 2006 | 1,578            | 1,517         |

Note: The figures listed above include supplementary budget and secondary reserve fund.

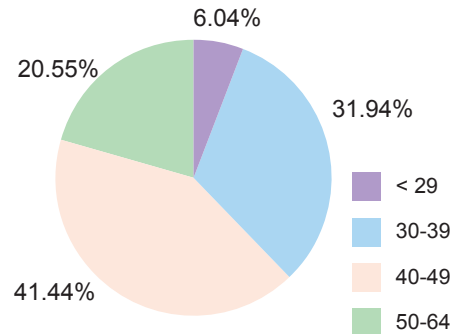


## C. Personnel

TIPO has 613 employees, of whom 431 are civil servants and 182 are contract employees.

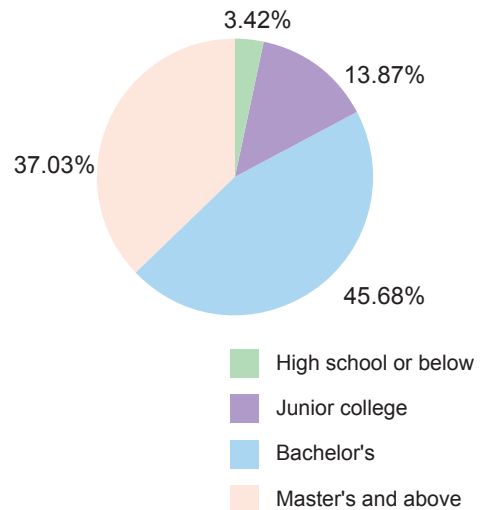
### ▼ Breakdown by Age

| Age   | Person (%)  | Compare with 2005 |
|-------|-------------|-------------------|
| <29   | 37 (6.04)   | +2                |
| 30-39 | 196 (31.97) | -4                |
| 40-49 | 254 (41.44) | +1                |
| 50-64 | 126 (20.55) | +8                |



### ▼ Breakdown by Level of Education

| Level of Education   | Person (%)  | Compare with 2005 |
|----------------------|-------------|-------------------|
| High school or below | 21 (3.42)   | -2                |
| Junior college       | 85 (13.87)  | -6                |
| Bachelor's           | 280 (45.68) | -5                |
| Master's and above   | 227 (37.03) | +20               |





# II.

## Operation Overview

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### A. Patent

In recent years, every country's two main goals in patent examination have been raising examination quality and shortening examination periods. Since 2004, TIPO has carried out certain measures such as examining each individual claim for patent applications, expanding interview services, and implemented advance notices of reasons for rejection at the examination. In 2005, a "work team for improving patent examination quality" was founded to implement seven programs for improving the quality of patent examination. In 2006, besides holding the "Patent Examination Quality Advisory Committee" conference again, TIPO also set up feasible measures covering fundamentals, examination system, laws & regulations, organization & manpower, and education & training which are now all under active implementation. Despite limited resources and manpower, TIPO hopes to optimize its examination capability, raise the examination quality and speed, and further to ensure the national competitiveness.

#### 1. Performance Summary

##### (1) Accelerating the patent examination process

In 2006, 80,988 patent applications were received, an increased of 1.95% from the 79,442 applications in 2005. In view of the significant growth of patent applications in recent years, TIPO has implemented controlling operations on the substantive examination of invention applications and litigation cases to reduce the processing time. These measures have effectively shortened the processing time of applications. In 2006, TIPO completed 31,227 examinations, a sharp increase from 22,631 cases in 2005. The average of processing time for design patent applications is 10.5

months, and the formality examination for utility model patent takes 4.5 months on average to complete. There are 2,784 technical evaluation reports on utility model patents provided by TIPO in 2006, and 97% of the reports can be completed within 8 months.

### **(2) Improving the examination quality and effectiveness**

- a. Stipulating the concrete contents of the patent examination quality improvement project: ①implementation of prior art search, ②examination substantive review system ③utilization of hearing procedure for re-examination and invalidation cases.
- b. In the second “Patent Examination Quality Advisory Committee” conference held on April 18, 2006, the implemented outcomes were reported, and “Programs for Improving Patent Examination Quality” were proposed. Industry representatives, experts and scholars considered the patent examination process from the enterprise perspective, as well as supervised, and provided advisory opinions. By these programs, the examination quality could be enhanced hopefully through internal control and external auditing.
- c. In response to the “Programs for Improving Patent Examination Quality,” project teams such as “Improvement for Patent Priority Claim Examination Practice,” “Reducing the Issuance of the Second Preliminary Notice of Rejection and Simplification of Relevant Examination Processes,” and “Evaluation Format and Schedule for Publishing Search Records of Patent Applications on Gazette” have been established to study relevant measures and operation flows, and then put in practice by priority.
- d. For the purpose of prudence, each invalidation case is handled by two examiners which at least one full-time examiner is appointed as the chief examiner. As invalidation case usually involves patent infringement lawsuit, the efficiency and examination quality can be improved through this measure.

### **(3) Enhancing public accessibility**

- a. In order to keep up with the trend of modern information technology and

- provide a favorable environment to serve the publics, TIPO has set up camera equipments to provide the service of “Patent Video Interview.” Applicants, inventors, and examiners can fully communicate with each other through this interview mechanism, which may increase the accuracy of the decision, avoid any misunderstanding of the applicant, as well as reduce the number of administrative disputes indirectly.
- b. On April 28, 2006, TIPO established and promulgated “Principle for Verifying Seal and Signature in Patent Related Applications.” The stamp and signature must be verified for applications relating to patent right, but can be spared for other applications. This principle minimizes the inconvenience when an applicant failed to provide the original stamps for the patent application that was filed a long time ago, and establishes consistency in stamp and signature authenticity of patent application for TIPO, thus enhancing the administrative performance.
  - c. Through procedure simplification and manpower deployment, the average processing time for patent assignment, grant, inheritance, pledging, and trust was shortened from 30 working days to 14 working days. In addition, the average processing time for alteration was shortened from 25 working days to 7 working days.
  - d. To meet the requirements of efficiently serving citizens, the “Patent Express Service Counter ” began to simplify the operation process on May 1, 2006. For some operations, an accelerated service was established like “submit the application and get the response at the same day, afternoon operations, count for the next morning.” For urgent applications, they can be accepted, handled, and replied immediately.
  - e. For the convenience of applicants, TIPO decentralized some service into its branch offices in Hsinchu, Taichung, Kaohsiung, and Tainan on May 1, 2006. Applicants may directly approach the branches mentioned above for “Issue of Priority Documents,” “Reissue of Patent Certificates,” and “Reissue of Receipt for Patent Application Fee.”
  - f. TIPO has translated the “International Patent Classification-8<sup>th</sup> Edition”

(IPC8), into Chinese on July 4, 2006 and provided it on the Internet for reference.

- g. Due to the continuous advertisement and promotion of sending “Notice of Paying Patent Annual Fee” through e-mail, about 50% of the payment of annual fee cases are informed by e-mail. Besides, the e-mail service is expanding. The “Notice of Extinguishment of Patent Right” was sent through e-mail from November 15, 2006.

### **(4) Patent-related publications and training materials**

- a. “The collection of Judgments for Patent Administrative Litigation in 2005” was compiled the appeal decisions in 2005 and judgments of the High Administrative Courts and Supreme Administrative Courts. This collection can be relied on for Patent Examination Guidelines. It includes abstracts of the judgment key points, and the patents technique.
- b. “2006 Practical Training Materials for Disputed Patent Cases” was compiled in May 2006, collecting the appeal decisions in recent years and judgments from High Administrative Courts and Supreme Administrative Courts. Through comparison and contrast, examiners can learn about the basic structure and method of writing high quality decisions for invalidation cases.
- c. “Model for Patent Invalidation Brief” was compiled by collecting some standards and structure of patent invalidation brief. This Model can clarify each point of the dispute without obscured invalidation reasons misunderstanding, and can decrease the social costs and save administrative resources.
- d. The “Specifications of Technical Report of the Utility Model Patent” has been revised, and the brochures of “Q&A for Technical Evaluation Report of the Utility Model Patent ” have been revised again and sent to applicants for reference.

### **(5) Enhancement of patent databases**

- a. The “Advisory Committee for Enriching Patent Database” has been established to draw up the future orientation of the patent database

- and work out “Plans for Enriching National Patent Database.” TIPO has submitted this plan to the National Science Council, Executive Yuan to be part of the 2008 Government Science and Technology Plan.
- b. Continuously collect and integrate patent materials from U.S., Japan, European countries and the international patent organizations for the prior art database, so as to provide references for examination.
  - c. The Thomson Scientific Wok non-patent database has been introduced, and databases such as Web of Science, BIOSIS Previews, INSPEC, and MEDLINE are provided for examination and prior art search, so as to enrich the contents of the non-patent document database.
  - d. The English version of the Taiwan Patent Search continues to be built. Around 55,063 bibliographies and abstracts of national invention patent and utility model patent have been translated into English.
  - e. TIPO conducts the “2006-Preparations for Biotechnological and Medicinal Chemistry Patent Database.” A total of 538 biotech patents, 1,962 medicinal chemistry patents and 2,400 Chinese medicine patents were added to the database.

## **(6) Providing incentives for R&D**

### **a. Expand “2006 Taipei International Invention Show and Technomart”**

To help manufacturers keep up with the international developing trends and provide a platform for products and technology inventions, “2006 Taipei International Invention Show and Technomart” was held from August 31 through September 3. More than 600 inventors, enterprises and government agencies from Europe, U.S., Japan, Taiwan and around the world participated in the exhibition and occupied over 870 booths up from 742 the previous year. In the exhibition, over 1,000 of the latest product and technology inventions were displayed. It drew more than 50,000 visitors, and while 36 deals were closed at the event, and also generated 700 opportunities for exchanging and negotiating future techniques, so as to encourage domestic innovation and R&D, promoting patent commercialization and setting up business opportunities, successfully

achieving the goal of “Get to Know Taiwan, Set Foot in Taiwan, Invest in Taiwan.”

### **b. Conduct the selection process and award ceremony of the 2006 National Invention and Creation Awards**

Of the 333 entries for the 2006 National Invention and Creation Award, 281 were found eligible to take part in the selection process. The competition was fiercer than before. After a four-stage selection process over three months, 50 award-winners were selected, 15 for Invention Award, 30 for Creation Award, and 5 for Contribution Award. The award-winner shared prize totaling NT\$11.75 million. The awards not only pushed the inventors to practice into the competition, but also received enthusiastic responses from national and international, thereby effectively stimulating inventors to be more actively for invention and creation.

### **c. Providing information on patent commercialization**

To provide inventors with concrete opportunities to commercialize their inventions and create economic benefits, TIPO continues to maintain the “patent commercialization website,” to provide information and assistance for patent technology exchange. It also produces and issues DVD to promote a better understanding of patent commercialization. By the end of 2006, the website had received 4,990,429 visits, and successfully matched 5,830 requests in the supply/demand database of the industrial technique.

## **2. Amendments**

### **(1) Comprehensive revision of all chapters of the Patent Examination Guideline**

The Patent Examination Guidelines are continuously and thoroughly revised according to the Patent Act amended and promulgated on October 24, 2001 and February 6, 2003 respectively, and the Implementing Regulations of the Patent Act amended and published on April 7, 2004. This year, the revised matters are as follows:

- a. Section 5, Chapter 1 of the Patent Examination Guidelines, “Invalidation and Ex Officio Examination of Patent Right,” was promulgated on July 24,



2006.

- b. “Section 1, Procedural Examination, of the Patent Examination Guidelines,” was amended and promulgated on September 25, 2006. The amendments include simplifying the procedural examination of the documentary evidence for invalidation cases; simplifying the verification of stamps; broadening the acquisition of the filing date; clarifying the legal effectiveness of missing pages; reducing the matters that should be recorded in foreign language specifications; clarifying the procedures of the extinguishment of appointment relationship due to the death of an agent; simplifying the procedural examination of the declaration; simplifying the procedural examination of the drawings; and clearly specifying that a non-juridical entity may be an invalidation applicant. Further, since patent right management and patent procedural examination have similar requirements, after gathering relevant legislations and collecting related practical cases, those controversial matters are standardized and then integrated into “Section I, Procedural Examination, of the Patent Examination Guidelines.” deals with the acquisition, maintenance, modification, and extinguishment of patent rights.

## **(2) Pushing for enactment of the Patent Attorney Act**

To achieve the purposes of promoting professional services, establishing a certificate system, enhancing the management of patent agents and attorneys, and protecting the rights of patent applicants, patent agents must be incorporated into the professional system as soon as possible, and a management mechanism of those involved in the patent business shall be established. The bill of Patent Attorney Act was sent to the Legislative Yuan on December 14, 2005 for consideration. The Economics and Energy Committee of the Legislative Yuan held a examination conference on April 19, 2006, all the articles have been past except Article 33 is retained for partisan negotiation. Later, on May 18, 2006, the Legislative Yuan arranged a partisan negotiation, and the articles will be submitted to the Legislative Yuan for discussion after they are confirmed by each party.

### **(3) Continuously reviewing and amending patent-related legislations**

- a. “The Regulation for Reducing and Exempting Annual Patent Fee” was amended and promulgated on July 4, 2006. This regulation further stipulates that if a patentee is a natural person incapable of paying the annual patent fee, the patentee may submit a written application for the exempting of annual fee; and if a patentee is a natural person or a school in the ROC, the annual fee can be reduced directly without identification certificate.
- b. “Principle of Patent Interview” was amended and promulgated on November 24, 2006.

### **(4) Drafting the “Implementing Method of Patent Electronic Filing” Bill**

The “Implementing Method of Patent Electronic Filing” bill was completed on September 28, 2006, and its public hearing was held on December 6. Those present in the public hearing all expressed their positive views and expectations on TIPO’s promotion of the electronic application. Their discussions and suggestions on the details of the articles will be published, depending on the operation schedule of TIPOnet Project.

## **3. Education and Training**

### **(1) Professional training for patent examiners**

- a. The training for senior patent examiners was held from May 3 through 26, 2006, in which 12 participants completed the 120 hours training course.
- b. The training for assistant patent examiners was held from August 2 through 25, 2006, in which 30 participants completed the 120 hours training course.

### **(2) 2006 Practice Symposium for TIPO and Outsource Patent Examiners**

The symposium was held on three separate occasions from August 22 through 25, and October 12, 2006. A total of 120 participants were present.

### **(3) Seminars conducted by overseas experts**

- a. On September 14, 2006, TIPO invited Dr. Weidmann of European Patent Office (EPO), to conduct practical seminars for examination practice and opposition procedures.
- b. From November 13 through 17, 2006, TIPO invited Dr. Franco Benussi to Taiwan, Director of the Legal Research and Administration, European Patent Office DG3 (Boards of Appeal ). Dr. Franco Benussi introduced TIPO examiners an overview of the operation, administration, and idea structure of Boards of Appeal of European Patent Office.

### **(4) 6 seminars based on the establishment of the Intellectual Property Court**

The seminars were held to help the examiners to learn about the possible future direction of the litigation procedure, and to gradually enhance the capability in dealing with the hearing procedure. The subjects of the seminar series include the Japanese Intellectual Property Court and the litigation system for patent infringement and invalidation, the appeal procedures of the European Patent Office, the litigation system for U.S. patent invalidation and re-examination, trial practice of the Japanese patent invalidation cases and the patent assessment theory and practice.

### **(5) Sending staff members to study abroad and establish “Patent Dispute Examination Group”**

TIPO has sent 15 personnel to study abroad in July, October, and November 2006. The study group has visited Europe, U.S., Japan, and People's Republic of China, and studied mainly for the appeal procedure, invalidation and infringement litigation practice of different countries.

### **(6) Training for patent dispute examination practice**

TIPO has compiled the “2006 Edition of Training Materials for Patent Dispute Examination” and conducted training seminars, which was held on July 3 and 4, to enhance the examiners to make an accurate decision on invalidation cases and further understanding on various procedures of administrative

litigation cases.

### **(7) Patent examiner forum on Patent Examination**

Discussion forums and symposiums are held monthly. Through discussing cases, the examiners may reach a common understanding, and to ensure a consistent examination standard. TIPO held 25 discussion forums in 2006.

### **(8) Training on examination practice for national defense service substitute**

Fourteen training seminars on substantive examination for the national defense service substitute were conducted after July 4, 2006, and each seminar lasts 3 hours. This assists the national defense service substitute to have a comprehensive understanding of patent examination and become familiar with the procedure of patent examination.

## **4. Operation Analysis**

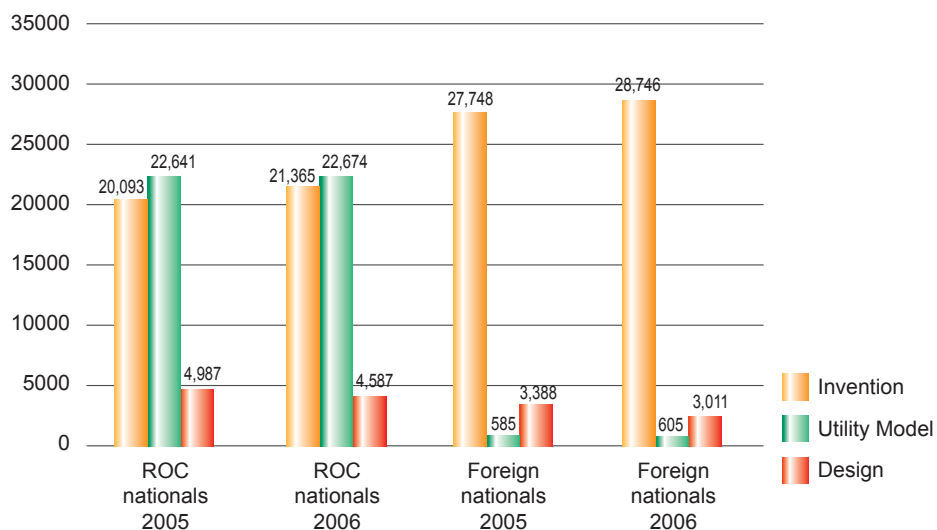
### **(1) Patent applications**

The number of patent applications in 2006 reaches 80,988; increase by 1,546 applications compare to 79,442 applications in 2005. The number of patent application increases slowly but still reaches 80,000 and set a new record. The number of invention patent applications is 50,111 and represents 61.88% of the total. Among the 80,988, the number of domestic applications reaches 48,626, while the number of foreign applications is 32,362. Among the 50,111 invention applications in 2006, 21,365 are domestic, reaching 42.64% of the total, while 28,746 are foreign, represents 57.36% of the total. However, for utility model patent applications, 22,674 are domestic, taking up 97.40% of the total utility model patent applications.

## ▼ Patent Application in 2005 and 2006

| Year \ Item            | Invention | Utility Model | Design  | Total   |
|------------------------|-----------|---------------|---------|---------|
| Total cases in 2006    | 50,111    | 23,279        | 7,598   | 80,988  |
| Percent to Total       | 61.88%    | 28.74%        | 9.38%   | 100.00% |
| Total cases in 2005    | 47,841    | 23,226        | 8,375   | 79,442  |
| Percentage change      | 4.74%     | 0.23%         | -9.28%  | 1.95%   |
| ROC nationals 2006     | 21,365    | 22,674        | 4,587   | 48,626  |
| Percent to Total       | 26.38%    | 28.00%        | 5.66%   | 60.04%  |
| Total cases in 2005    | 20,093    | 22,641        | 4,987   | 47,721  |
| Percentage change      | 6.33%     | 0.15%         | -8.02%  | 1.90%   |
| Foreign nationals 2006 | 28,746    | 605           | 3,011   | 32,362  |
| Percent to Total       | 35.49%    | 0.75%         | 3.72%   | 39.96%  |
| Total cases in 2005    | 27,748    | 585           | 3,388   | 31,721  |
| Percentage change      | 3.60%     | 3.42%         | -11.13% | 2.02%   |

## ▼ Patent Application in 2005 and 2006



## (2) Certificates Published

In 2006, the number of patent certificates reaches 48,774; most of them are invention patents, followed by the utility model patents. 33,773 of the patent certificates were domestic, most of which are utility model patents, while 15,001 are foreign, in which 80% were invention patents. It shows that we still need to enhance the quality of research and innovation, and continually make our efforts to the high technical invention patents.

### ▼ Published Certificates in 2006

| <b>Nationality \ Item</b> | <b>Invention</b> | <b>Utility Model</b> | <b>Design</b> | <b>Total</b> |
|---------------------------|------------------|----------------------|---------------|--------------|
| Total cases in 2006       | 23,228           | 19,407               | 6,139         | 48,774       |
| Percent to Total          | 47.62%           | 39.79%               | 12.59%        | 100.00%      |
| ROC nationals 2006        | 11,431           | 18,857               | 3,485         | 33,773       |
| Percent to Total          | 23.44%           | 38.66%               | 7.14%         | 69.24%       |
| Foreign nationals 2006    | 11,797           | 550                  | 2,654         | 15,001       |
| Percent to Total          | 24.19%           | 1.13%                | 5.44%         | 30.76%       |

## (3) Re-examination

In 2006, 2,129 re-examination cases for invention patent applications and 416 re-examination cases for the design patent applications were received. Though the number of re-examinations in 2006 increased compare with that in 2005, it is decreased significantly by 61.48%, when compare with that in 2004, wherein the number of re-examination for design applications decreased by 20.15%. It shows that the measures implemented since 2004 are effective, for example, examining each claim, issuing Notice of Office Action, and granting interviews. These measures greatly reduced the number of appeals to examination results.

## (4) Opposition and Invalidation

In 2006, there were a total of 1,294 invalidation cases, which decreased compare with 1,583 in 2005 and 2,008 in 2004 (the Patent Act was amended and came into force in 2004, so opposition cases are included). The



declination reached 35.56% based on the figure of 2004.

The ratios of the opposition and invalidation cases are 1.45%, 0.83%, and 0.76% of the inventions in the year 2004, 2005, and 2006 respectively. The small portion shows the confidence of the public towards the professional judgment of TIPO examiners.

The dismissal of opposition and invalidation cases means the sustaining of the original decision. From 2004 to 2006, the ration of dismissal is more than 60% of the total decided opposition and invalidation cases. In view of the above, the possibility of the variation of decisions after the examination and re-examination is slight, so the patent rights granted by TIPO are quite stable.

#### **(5) Administrative review**

The number of appeals against re-examination, opposition, and invalidation decisions has decreased consecutively in the last two years. There were 1,242, 1,085, and 737 appeals in 2004, 2005, and 2006 respectively. The number of the appeals in 2005 decreased by 12.64% compare with 2004, while the number of the appeals in 2006 decreased by 32.07% compare with 2005. It shows that the number of dissatisfied applicants with the decisions of re-examination, opposition and invalidation cases was apparently reduced, and various measures for improving the examination quality gradually become effective.

## **B. Trademark**

In order to accurately master the theories and practices of the current legal system on trademark regulations and timely make improvement, TIPO not only makes every effort to accelerate the examination of trademark cases, but also vigorously collects real-time information of international intellectual property rights from the WIPO and WTO, and gathers relevant operational guidelines, determination criteria and infringement cases from the major countries, thereby grasping the international trend as the examination reference for trademark and

enhancing the overall examination quality and efficiency. The specific achievements in 2006 are as follows:

### 1. Performance Summary

#### **(1) Implementing measures for improving trademark examination quality**

In order to ensure the examination quality and improve the examination efficiency, a “Trademark Examination Quality Improvement Work Team” was set up by senior examiners to regularly hold meeting and discuss the improving measures. The Team works out various conclusions relevant to examination principles, sub-classes for goods and services, and measures for improving examination quality and efficiency. Also, the “Trademark Business Consultative Conference” was held seven times in Taipei, Taichung, Tainan, and Kaohsiung, and people from various social circles were invited to provide suggestions as the references for trademark business improvement and policy decision.

#### **(2) Reducing the time needed for processing trademark applications**

In 2006, 65,983 trademark applications were processed (80,117 counted by the number of applied classes). The examination period for each case took 7.72 months on average, and was shortened by 0.37 months compared with 8.09 months in 2005. The number of pending cases reached 42,089 at the end of 2006, less than 42,858 in 2005, and the decrease is 769.

#### **(3) Expediting the processing of trademark dispute cases**

At the beginning of 2006, the number of pending trademark dispute cases filed before June 30, 2005 reached 978. These cases were processes efficiently under regular monitoring and scrupulous evaluation and control. TIPO processed 840 (85.89%) of these pending cases by the end of 2006.

#### **(4) Enhancing public accessibility**

##### **a. Beginning the service of beforehand notice for renewal of registration**

To remind the trademark right owner or its trademark agent of handling renewal of trademark registration in time and to carry out the public

accessibility and trademark right ownership protection policy, from January 2006, trademark right owner and its trademark agent are reminded through written notices or e-mail about the trademark registration that will expire 2 months later, so that the renewal application may be submitted within the statutory period as soon as possible. 56,586 notices were sent in 2006.

**b. Beginning the services of written notice about unpaid registration fee**

In consideration of the fact that over 200 approved trademarks each month on average become void because the registration fee is unpaid, from March 2006, TIPO will send a written “Notice of Unpaid Registration Fee of Trademark” two weeks before the deadline for reminding the trademark right owner and its agent to pay the registration fee, thereby protecting the rights and interests of the trademark right owner.

**c. Inquiry service of the payment status of trademark registration fee**

To enable citizens to inquire about the payment status of the first and second installments of trademark registration fee, the function of inquiring the payment status of trademark registration fee was added to the remote trademark query system.

**d. Simplifying and standardizing administrative procedures**

To unify the regulations for verifying the signatures and stamps of trademark cases, and to make the principle more substantive, public, and transparent, TIPO established the “Principles for Verifying Seal and Signature in Various Trademark Applications” and “Principle Table of Verifying Seal and Signature in Various Trademark Applications.”

## **(5) Providing trademark message service**

**a. Sending “trademark newsletters”:**

Trademark newsletters began to be sent through e-mail on March 1, 2006, including trademark statistical data, trademark activity information, judgment analysis, explanations of laws and regulations, status of handling squatted trademarks in China, hot news, and the relevant information. The materials are also revealed on the trademark website of TIPO, so that the public may obtain real-time trademark information for deepening the recognition of the trademark business.

### b. News release

News is released for hot trademark issues, and clarifying public worries through media. The news this year includes the legal issues involved in the appearance design of Taipei 101, the applications for registration of trademarks “囍rz” and “Goodman Card” filed by Cite Publishing Ltd., and imitation of trademarked products relating to the New York Yankees baseball team.

### (6) Actively handling cross-strait trademark protection issues

- a. To deal with the issue that the geographic names of Taiwan tea products were registered as trademarks in China, news has been released to explain the situations, and Q&A were published on the website of TIPO. Subsequently, the geographic names of Taiwan tea and agriculture products being squatted as trademarks in China were collected and publicized on the TIPO website and trademark newsletters; thus, various social circles may obtain the relevant information in time.
- b. On September 19, 2006, the Mainland Affairs Council, the Council of Agriculture, and relevant scholars were invited to attend the “Symposium of Protection Policies for Well-Known Trademarks & Origins,” to discuss the situation that the famous geographic names of Taiwan products were squatted as trademarks in China. In November, a report called “Analysis and Evaluation of Taiwan Company Names Squatted as Trademarks in China, Products of China Origin Marked Taiwan or Sold under the Name of Taiwan or Taiwan Geographic Names” in the International Market was finished and sent to the Ministry of Economic Affairs (MOEA) for further submitted to the Executive Yuan for reference on November 21.
- c. In November 2006, Ms. Hong, the Director of the Trademark Division, was invited to Xiamen to participate in the “First Cross-Strait Trademark Brand Forum,” and communicated with personnel from China Competent Authority; thus, receiving well-meaning responses from the counterparts that the handling of the above-mentioned problems from the legal aspect will be taken into consideration as soon as possible.

## **(7) Compiling books and periodicals about trademarks**

- a. Cases that are valuable for reference and issued within the period from January 2004 through February 2006 were selected from the decisions on administration appeal and judgments on administrative suits, and then sorted according to the applied articles to work out the abstracts of appeal decisions and court judgments for compiling into “Collections of Administrative Litigation Cases about Trademark Disputes” as the reference for trademark examination and for communicating between TIPO, the Petitions and Appeals Committee, MOEA, and the Administrative Court.
- b. The 8<sup>th</sup> edition of “International (Nice) Classification of Goods and Services for the Purposes of the Registration of Marks” English version was translated into Traditional and Simplified Chinese. A comparison table between the names of Traditional Chinese, Simplified Chinese, and English with a retrieval function is publicized on the website of TIPO for reference.
- c. The “Reference Information for Classification & Cross Reference Index for Goods and Services” has been revised again, so as to make the classification criteria of goods and services better satisfy the demands of the market.

## **(8) Reviewing trademark legislations**

In order to accurately master the regulation of the current legal system on trademark infringement and make the improvement in time to protect trademark rights and interests, Fu Jen Catholic University was entrusted to complete the plan of the “Study of Trademark Infringement Cases and Relevant Legislation Principles” on November 30, 2006, and simultaneously complete the “Collections of Domestic Trademark Infringement Cases.”

Further, to implement the protection of collective trademarks, certification marks, and collective membership marks, Feng Chia University was entrusted to complete the plan of “Study of Examination and Infringement Practice of Various Countries on Collective Trademarks, Certification Marks,

and Collective Membership Marks” on November 17, 2006. Through the study of examination and infringement practice of various countries on certification marks, collective membership marks, and collective trademarks, and mastering the legislation principles of various countries and the status of their practical operations, whether the current legal system in Taiwan has faults in protecting these marks will be reviewed. Also, the information will be used as reference for future amendments to the Trademark Act.

### **(9) Establishing the “Trademark Act Amendment Forum” to Obtain Opinions from Various Social Circles**

In order to cause the Trademark Act to be revised more precisely and comprehensively, the current legal system were actively reviewed, foreign legislation principles were collected for reference, and scholars and experts were entrusted to perform relevant studies. Also, on November 1, 2006, a special column called “Trademark Act Amendment Forum” containing sub-catalogues, such as “Amendment Direction,” “Amendment Schedule,” “Amendment Draft,” “Amendment Reference Material,” and “Welcome Suggestions” was added to the TIPO website to extensively receive the opinions from various social circles as reference for Trademark Act amendment and complying with the principle of administrative transparency.

## **2. Amendments**

### **(1) Drafting and finishing “Information Sheet for Applying for Co-Ownership of Trademark”**

The current Trademark Act does not prescribe any regulations relevant to the co-owned trademark right, while, in practice, there are many cases in which more than two persons claim to share the trademark ownership. Through analysis of the legal and administration systems, the “Information Sheet for Applying for Co-Ownership of Trademark” has been drafted, and 2006 Intellectual Property Business Seminar was held to obtain the opinions from various social circles. After relevant administrative supporting measures were finished, it was published and implemented on July 13, 2006.



## **(2) Drafting of “Implementing Method for Trademark Electronic Filing” Bill**

On September 28, 2006, the announcement that the “Implementing Method for Trademark Electronic Filing” will be drafted and the public hearing thereof was held on December 6. Those participants all expressed positive attitudes and expectations about TIPO’s promotion of E-filing, which would be issued depending on the operation schedule of TIPOnet Project.

## **3. Education and Training**

### **(1) Professional training for trademark examiners**

The training of trademark examiners was carried on from October. 16, 2006 through November 7, 2006, and altogether 23 trademark examiners attended the training course of 120 hours.

### **(2) Oversea study tours for trademark examiners**

In order to study trademark systems of other countries and enhance the international view of trademark examiners, two trademark examiners were sent to the Office for Harmonization in the Internal Market (OHIM) to receive professional trademark examination training from September 16 through October 1, 2006. From November 13 through November 17, one trademark examiner was sent to participate in the symposium of the IP Enforcement Week held by the European Patent Office. From November 28 through December 1, four trademark examiners were sent to Japan to study the Japanese trademark system, especially the registration system for geographic collective trademarks.

### **(3) Education & training for sharing trademark examination experiences**

To enhance professional competency of examiners and impart examination experience, besides regularly arranging professional training, practical education & training on topics, such as accomplishment reports of personnel studying abroad, the latest domestic or foreign trademark issues , the

practice concerning trademark disputes and the change recordation for trademark registration particulars in Taiwan, introduction to trademark E-filing, examination process of designs, and introduction of common retrieval databases were carried on in 2006. The training was carried out ten times and 704 people participated.

### 4. Operation Analysis

#### (1) Applications

The number of the trademark applications in 2006 reached 65,457 (counted by cases), and increased by 1,877 compared with 2005, with a growth rate of 2.95%. Among the applications, domestic applicants filed 51,107 (78.08%), while foreign applicants filed 14,350 (21.92%). If counted by the classes, the numbers reached 79,767 and that increased by 2,929 compared with 2005, with a growth rate of 3.81%, part of a trend of growing year by year.

#### ▼ Trademark Applications 2006

| Type<br>Item   | Application      |                      |        | Published Registration |                      |        |
|----------------|------------------|----------------------|--------|------------------------|----------------------|--------|
| By Application | ROC<br>nationals | Foreign<br>nationals | Total  | ROC<br>nationals       | Foreign<br>nationals | Total  |
|                | 51,107           | 14,350               | 65,457 | 41,947                 | 12,623               | 54,597 |
| By Class       | 79,767           |                      |        | 66,812                 |                      |        |

## ▼ Variation of Trademark Applications 2005~2006

| Type<br>Item      | Application    |          | Published Registration |          |
|-------------------|----------------|----------|------------------------|----------|
|                   | By Application | By Class | By Application         | By Class |
| 2006              | 65,457         | 79,767   | 54,597                 | 66,812   |
| 2005              | 63,580         | 76,838   | 55,181                 | 63,759   |
| Percentage Change | 2.95%          | 3.81%    | -1.06%                 | 4.79%    |

### (2) Published registrations

The number of published registrations in 2006 reached 54,597, and reduced by 584 compared with 2005. Among the cases, domestic applicants own 41,974 (76.88%), while foreign applicants own 12,623 (23.12%). If counted by the classes, the number reached 66,812 and increased by 3,053 compared with 2005, with a growth rate of 4.79%.

### (3) Other cases

The number of the opposition, invalidation, and revocation actions handled in 2006 reached 2,361. The number of trademark renewal cases reached 24,812. The number of alteration, assignment, and licensing, pledging, applying English certificate and replacement certificate reached 20,498. TIPO assisted to investigate and assess trademark imitation and infringement, and the number of these cases reached 629.

▼ **Top Ten Classes of Trademark Applications—  
(By classes of goods and/or services)**

| Goods and Services |  | 2006                |            | No. of Applications in 2005 | Percentage Change % |
|--------------------|--|---------------------|------------|-----------------------------|---------------------|
| Class              | Description  | No. of Applications | Percentage |                             |                     |
| 35                 | Advertising, business management   | 6,606               | 8.28       | 6,101                       | 8.28                |
| 9                  | Scientific apparatus, audiovisual recording and electricity transmitting instruments           | 6,274               | 7.87       | 6,618                       | -5.20               |
| 5                  | Pharmaceuticals, food for medical purposes, disinfectants                                      | 5,756               | 7.22       | 5,458                       | 5.46                |
| 3                  | Laundry accessories, bleach, soap, and cosmetics   | 5,540               | 6.95       | 5,750                       | -3.65               |
| 25                 | Clothing, footwear, and headgear   | 5,218               | 6.54       | 5,349                       | -2.45               |
| 30                 | Coffee, tea, sugar, spices   | 4,887               | 6.13       | 4,638                       | 5.37                |
| 43                 | Services for providing food and drink; temporary accommodation                                 | 4,202               | 5.27       | 3,606                       | 16.53               |
| 41                 | Education, entertainment, and cultural activities  | 2,933               | 3.68       | 2,632                       | 11.44               |
| 16                 | Papers, printed matter, and other office requisites  | 2,678               | 3.36       | 2,453                       | 9.17                |
| 29                 | Meat, fish, poultry and game; meat extracts; preserved, dried and cooked fruits and vegetables | 2,674               | 3.35       | 2,523                       | 5.98                |

Note: This table is compiled according to the Trademark Application Statistics Table in the appendix - "Trademark Application and Registration by Class." For more classifications, please refer to the table.

▼ **Top Ten Classes of Trademark Registrations—  
(By classes of goods and/or services)**

| Goods and Services |  | 2006                 |            | No. of Registrations in 2005 | Percentage Change % |
|--------------------|--|----------------------|------------|------------------------------|---------------------|
| Class              | Description  | No. of Registrations | Percentage |                              |                     |
| 9                  | Scientific apparatus, audiovisual recording and electricity transmitting instruments           | 5,925                | 8.87       | 6,169                        | -3.96               |
| 35                 | Advertising and business management  | 5,771                | 8.64       | 4,787                        | 20.56               |
| 3                  | Laundry accessories, bleach, soap, and cosmetics   | 4,562                | 6.83       | 4,439                        | 2.77                |
| 5                  | Pharmaceuticals, food for medical purposes, and disinfectants                                  | 4,391                | 6.57       | 5,054                        | -13.12              |
| 25                 | Clothing, footwear, and headgear   | 4,228                | 6.33       | 4,180                        | 1.15                |
| 30                 | Coffee, tea, sugar, spices   | 3,634                | 5.44       | 3,749                        | -3.07               |
| 43                 | Services for providing food and drink; temporary accommodation                                 | 3,150                | 4.71       | 2,460                        | 28.05               |
| 41                 | Education, entertainment, and cultural activities  | 2,474                | 3.70       | 2,083                        | 18.77               |
| 16                 | Papers, printed matter, and office requisites  | 2,284                | 3.42       | 2,054                        | 11.20               |
| 29                 | Meat, fish, poultry and game; meat extracts; preserved, dried and cooked fruits and vegetables | 2,014                | 3.01       | 2,081                        | -3.22               |

Note: This table is compiled according to the Trademark Application Statistics Table in the appendix - "Statistics Table for Trademark Application and Registration by Class." For more classifications, please refer to the table.

## C. Copyright

In 2006, based on the current framework of legislation, TIPO focused on various business, such as providing supervision and guidance to copyright intermediary organizations, reviewing of amendment drafts of the regulations governing copyright intermediary organizations, promoting works supply/demand harmonization in the market, coordinating to establish a licensed utilization mechanism and facilitating fair use, continuing the promoting IPR education and awareness, enhancing

campus IPR awareness, and mastering the developing trend of international copyright, thereby making rapid remarkable progress results.

### 1. Performance Summary

#### **(1) Due to the amendments to the Criminal Code in 2006, amending the Copyright Act and formulating Procedures for the inspection of copyright infringement cases**

In response to the amendments to the Criminal Code, Article 94 of the regulations for occupational offenders was deleted from the Copyright Act and relevant articles were amended correspondingly, in order to match the entire criminal policy of this nation. Further, the “Flowchart and List of Applicable Legislations for the Inspection of Audio/video Optical Discs Infringing Copyright through Internet” and “Flowchart and List of Applicable Legislations for the Inspection about Rental Right Infringement by Audio/video Optical Disc Rental Shop ” were prescribed for practical execution of the enforcement departments, such as polices and prosecutors.

#### **(2) Supervision and guidance for copyright intermediary organizations**

- a. The meeting of Copyright Examination and Mediation Committee was held nine times in 2006 to examine the rate of royalty for the intermediary organizations and copyright-related topics, and consult the opinions of the board members.
- b. To promote domestic copyright intermediary organizations to become familiar with and understand the copyright licensing business, the Intermediary Organizations Business Communication Seminar was held four times, and 6 concrete enforcement principles were established.
- c. The Corporation of Chinese Language Intermediary (COLI) was approved and set up on August 8, 2006. The set-up of this association enables users to utilize Chinese works legally, and copyright holders to obtain reasonable licensing remunerations, which facilitates the establishment of the exchange platform for utilizing oral and literary works by license, and

makes great strides in creating a harmonious environment for using the works.

- d. To help users in social public to rapidly obtain information about copyright licensing, the “Seminar of Establishing Single Window Mechanism for Copyright Intermediary Organizations” was held on October. 27, 2006. TIPO expressed the provision of assistance for cooperating with intermediary organizations in the same field to make effects to establish a single window.
- e. To perfect the development of licensing business of intermediary organizations, the business matters of the intermediary organizations were examined, and accountants were entrusted to conduct financial examination of the intermediary organizations that will greatly assist TIPO in guiding the businesses of the intermediary organizations.
- f. To establish an objective and a fair intermediary organization evaluation system, a deliberative meeting was held on November 30, 2006, and completed 2005 evaluation on the intermediary organizations.

### **(3) Compilation of copyright awareness publications and pamphlets**

8 kinds of copyright-related public-awareness leaflets were prepared for the public, and advertised on the Internet.

- a. Chinese and English versions of “2006 BG’s IPR Secret Tips” comic strips;
- b. “Copyright Case Collections” (10 volumes in 1 set);
- c. “Copyright Act & Related Laws” (Chinese and English versions);
- d. “Explanation notes on Copyright Attribution to the papers, which was done by Students at the semester during”;
- e. “Analysis of Internet Service Provider on Whether the Clients’ Action of Infringing Copyright has Legal Responsibility”;
- f. “Question Collections of IPR”;
- g. “Explanatory notes on Legal Effects of Auctioning Audio-video Compact Discs through Internet”;
- h. “Explanatory notes on Law Effects of Article 87-1.(3) of Copyright Act and the Right of Distribution.”

### (4) Completion of legislative research on copyright regime

To deeply study and analyze foreign operations and practices as reference for the legislation in Taiwan, and then enhance and improve the copyrights protection in Taiwan, 5 study cases were finished in 2006:

- a. "The Study of Japanese Copyright Law and Its Application"
- b. "Research on Copyright Issues to New Use of Internet Tech"
- c. "A Study on Free Software Copyright Issues"
- d. "The Research of Copyright of Video on Demand"
- e. "On Right of Public Performance in Audiovisual Works"

### (5) Provide public inquiry operations

In 2006, 2257 phone calls and 389 e-mails were received; all of them asking questions about the applicability of the Copyright Act. This means that a direct consultation channel has been provided for the convenience of the public.

### (6) Continuous copyright awareness promotion

- a. TIPO Director General was invited to the press conference of "Original IN! Piracy OUT!--2006 Anti-piracy Software Activity" held by the Taiwan Business Software Alliance (BSA) on March 15, 2006; such an activity declared the determination of the government and the copyright holder groups in fighting against pirated software and specific achievements, and asking appealing the public to pay attention to the importance of the protection of intellectual property rights.



◀ On March 15, 2006, TIPO Director General Tsai was present in the press conference of "Original IN! Piracy OUT!" activity.



- b. Continue the use of vivid and diverse advertising channels and patterns. For example, to proceed with the “Service Group for IPR protection” and the “Campus IPR Assistance Teams” hold the IPR Friendship Tournament among Institutions of Higher Education, make the optical discs about the network game “Adventure for Intelligence,” air short films (advertisements) through movies (TV programs, radio broadcasts), post advertisements on major routes with heavy traffic, make advertising optical discs, compile and print cartoons, and produce promotional articles such as bookmarks and cup trays to make known activities, domestic or foreign, so as to enhance the effect of the promotion campaign.
- c. Work closely with local groups: TIPO participated in promotional activities held by local groups for twenty-four times, and fulfilled the purposes of services for the public and cooperation between the government and the society.

## **2. Amendments**

### **(1) Actively proposing the amendments on “Copyright Intermediary Organization Act”**

For the amendments to the “Copyright Intermediary Organization Act,” relevant laws and regulations in countries like Japan, Germany, China, Canada, and South Korea were taken as reference, and scholars and experts were invited to the consultation held five times to discuss and complete the “Draft of Copyright Act of Collective Management .” More consultations will be arranged in the near future to communicate with intermediary organizations and users, and the organization consults among competent authorities will also be held to complete the modification of the legal system as soon as possible.

### **(2) Revision the copyright regulations**

- a. On March 25, 2006, the “Directions Defining the Content of the Subparagraphs of Paragraph 3 of Article 80-2 of the Copyright Act” was legislated and promulgated for citizens, the industry, police & prosecutors,

and the courts to consider as a reference.

- b. Owing to the harm done to the Taiwan music industry due to the illegal use of the peer-to-peer (P2P) technology, the “Draft of Amendment to the Copyright Act” proposed by legislators should be continuously promoted.
- c. Directed to the fact that ISPs must be responsible for online infringements caused by the terminal users through using the services provided, the draft of amendments to the Copyright Act proposed by the legislators should be continuously promoted.
- d. TIPO proposed the copyright amendment topics on “the relaxation of limitations on parallel imports and decriminalization of rental rights” during the Taiwan-U.S. TIFA Conference held on May 25, 2006 and the first “Taiwan-U.S. IPR Topics -- Video Conference” held on November 8, 2006, and exchanged opinions on drafts of the P2P and ISP-related issues with the American Chamber of Commerce on November 14, 2006 to obtain mutual understandings and supports.

### 3. Coordination of Licensed Copyright Use

- (1) The number of handled and mediated disputes regarding the royalties between intermediary organizations and copyright users in 2006 was 10, in which 3 cases were mediated, 1 was settled afterward (without successive mediation), 4 are now under mediation, and 2 were not successfully mediated.
- (2) To integrate and coordinate royalty disputes regarding the right to public broadcast between the hotel–businesses and the intermediary organizations, and enable the hotel–businesses to legally use the audio-video related copyrights, relevant copyright intermediary organizations and hotel–businesses were invited to meetings on March 15 and July 24, 2006, to discuss on the issues of royalty-related affairs, and thereby facilitate future commercial opportunities.
- (3) The “Service Desk for Quickly Querying Information on Copyright Licensing” was established to assist copyright users from various fields in promptly obtain information on copyright licensing. 586 cases were processed in 2006

for the service for the public.

- (4) To assist the rental industry such as Video Disc Association and upper-stream publishers in aspects of licensing issue, reference samples of “Rental Version,” “Single licensing Version,” and “Second-hand Selling Version” were drafted, so as to rectify the industrial order and provide operators with accurate marking references. Marking improvement conferences were held on June 6, July 11, October. 12, 2006, and upper, middle, and lower-stream operators in Audio/video works were invited to reach various consensuses, in which operators promised to solve the problem of unclear marking to avoid the misleading on the public.
- (5) TIPO staff have been sent to participate the conferences of the copyright acts/regulations project team conferences held by the National Institute for Compilation and Translation (NICT) for nine times in 2006, to assist the NICT in handling the copyright licensing matters such as document digitalization, and solving the problems of copyright licensed use.
- (6) To assist the Government Information Office (GIO) in completing the establishment of the “National Audio-video Platform,” relevant legal suggestions and promotional materials were provided to the GIO, so that it can successfully create the audio-video platform to avoid future copyright disputes.
- (7) Consulting services on copyright issues have been provided in order to flexibly meet the administrative requirements of government agencies, such as the Council of Indigenous Peoples, the Forestry Bureau, the Directorate General of Customs, the Industrial Development Bureau, the Council for Cultural Affairs, the GIO, National Information and Communications Initiative of Executive Yuan, and the legislative requirements of the legislators.
- (8) To assist in coordination with the Taiwan Computer United Association and the right holders of the computer software, in aspects of supplying and licensing affairs of computer programs, TIPO not only invited representatives from the standing supervisors of the Taiwan Computer United Association, the representatives from Microsoft and Taiwan-BSA to participate in the symposium on March 24, 2006, but also participated in the conferences

relevant to both sides to actively promote cooperation.

- (9) To assist ISP and copyright holders in resolving Internet copyright infringement problems, TIPO, as a competent authority, has established relevant administrative guidelines for online copyright for copyright holder organizations and Internet service providers (ISP), that is, “Principles of Jointly Preventing Online Infringement for Internet Service Providers and Copyright Right Holders.” An ISP should transfer the information to the clients on receiving the notice of infringement from the copyright holder organizations.

### 4. Operation Analysis

#### (1) Copyright management

As for copyright administrative business in 2006, TIPO handled 1 application for establishing copyright intermediary organizations and 1 case of compulsory licensing registration of musical work.

#### (2) Inspecting copyright licensing documents

The number of the copyright documents handled and inspected in 2006 reached 53,405 (including 415,361,460 pieces for Audio/video works, 45,619,054 piece for laser discs, totaling 460,980,514 pieces), growing by 24.4% compared with 2005.

#### ▼ Inspection of Copyright Licensing Documents in 2006

Unit: case, %

| Location of Inspection<br>No. of Cases | TIPO   | Inspection Center |           |          | Total  |
|--|--------|-------------------|-----------|----------|--------|
|  |        | Taichung          | Kaohsiung | Subtotal |        |
| No. of Case                            | 52,655 | 686               | 64        | 750      | 53,405 |
| Percentage                             | 98.60% | 1.28%             | 0.12%     | 1.40%    | 100%   |



# International Cooperation

Intellectual  
Property  
Office

With the prosperity of the international trade, interactions between countries have been increased. As the IPR is a very important tool in commercial competitions, the diversity in legislation and execution system in every country will cause the variant competitive conditions and “trade barrier.” Thus, the IPR development progresses toward international harmonization, and each country improves the IPR system by cooperation and system harmonization, so as to make efforts for R&D and social improvement. Being a member of the international community, Taiwan should keep up with international trend undoubtedly. TIPO actively participated in various international projects in 2006, and the major achievements are as follows:

## **A. Multilateral Relations**

### **1. Participating in the Doha Round of the WTO Negotiations and Relevant Activities**

- (1) TIPO took part in regular meetings and special meetings held by the WTO/TRIPS in 2006 for four times to continuously discuss topics such as the geographical indications, relations between the TRIPS, and the Convention on Biological Diversity (CBD), and public health issues.
- (2) TIPO sent its personnel to participate in the WTO regional workshops on “TRIPS Related Issues” from March 28 through 30 in Macao and “TRIPS Agreement and Public Health” from November 27 through 29 in Geneva.
- (3) TIPO participated in the first Trade Policy Review (TPR) after Taiwan entered the WTO in 2006 by expressing its standpoint of the IPR protection and responding to relevant questions raised;
- (4) TIPO served as a calling unit of “Committee of Global Trade Policy Strategy Alliance and Arrangement-Task Force on IPR” of Taiwan and put together 3

cross-agency working group conferences.

## 2. APEC Affairs

- (1) TIPO took part in “The 22nd APEC/IPEG Meeting” and “IPEG Workshop on IPR for SMEs Micro-Enterprises” held from February 21 through 24, 2006 in Hanoi, Vietnam, and briefed the achievements of the IPR education/promotion campaigns implemented in 2005, hoping to enable other economies to understand its IPR protection policy, and discuss with representatives of all the attending economies about cooperation in this regard;
- (2) TIPO took part in “The 23rd APEC/IPEG Conference” and “Seminar on the Creation, Management, Use and Protection of Industrial Property” held from August 15 through 18, 2006, in Mexico, and briefed the latest achievements of IPR Protection and the direction of its latest Patent Act amendment, so as to allow other attending representatives to understand its policy for IPR protection and the progress thereof;
- (3) TIPO will be subject to the peer review of its Individual Action Plan (IAP) during the first Senior Official Meeting in 2007. On the other hand, TIPO have responded to the IAP questions raised for the second time by the member and experts in August, 2006. In September, the South Korean and Philippine experts visited Taiwan for investigation purposes, and TIPO initiated a comprehensive report regarding its IPR progress aiming to achieve the Bogor Goals and carrying out the Osaka Action Agenda (OAA), and briefed to the experts; the experts completed the draft of response report in November, giving positive appreciation on the architecture and the effective implementation of the IPR laws and regulations;
- (4) TIPO sent its personnel, accompanied the personnel from the Directorate General of Customs, to attend the “APEC-USPTO Workshop on Effective Practices in the Border Enforcement of Intellectual Property Rights” held from September 27 through 29 in L.A., U.S.A., and briefly reported the risk estimate of its IPR border policies and the enforcement achievements of the Customs upon request;

- (5) TIPO sent its personnel to attend the “2006 APEC Workshop on Effective Strategies for IP Public Education” held from November 8 through 10 in Hong Kong, in which promotion materials were exhibited and provided for free, and the experience on educating and promoting the IPR protection was shared with other representatives;
- (6) TIPO sent its personnel to attend the “2006 APEC-ATCWG Workshop on Interaction of CBD and TRIPS Related Issues on the Plant Genetic Resources” held from December 12 through 13 in Taipei, and assisted the work of the recording group.

### **3. Other International Conferences and Activities**

- (1) TIPO continued exchanging opinions about the IPR legislation and the trend thereof with the WIPO officials in the international conferences, such as the WTO and APEC;
- (2) According to the requests made by the WIPO International Bureau, TIPO agreed to protect the names, abbreviations, and symbols of the “Translation Center for the Bodies of the European Union,” the “European Community Plant Variety Office,” the “European Environment Agency,” and the “European Agency for Safety and Health at Work,” and the national flag, armorial bearings, and the state emblems of the Kingdom of Spain;
- (3) TIPO sent its personnel to attend the relevant symposiums held by OECD Competition Committee in Paris from October 18 through 19, to discuss the relation between the Patent Act and the competition policy.

## **B. Bilateral Relations**

### **1. Taiwan-U.S.**

- (1) The 5<sup>th</sup> Taiwan-U.S. Trade and Investment Framework Agreement (TIFA) meeting was held in Taipei from May 25 through 26, 2006. In terms of the IPR issues, U.S. not only complimented TIPO’s efforts on enhancing the IPR protection and expressed positive attitudes to TIPO’s promise to fight against the IPR infringement, but also gave its support for measures adopted

### ►► III. International Cooperation

in the “2006-2008 IPR Action Plan.” Both Taiwan and the United States agreed to establish a mechanism for regular IPR negotiations under the TIFA architecture, so as to discuss the concerned IPR issues every 6 months. The first IPR negotiation was held in the American Cultural Center in Taipei on November 8, in which representatives from both parties exchanged their opinions through DVC on the concerned IPR topics. The U.S. side wished to communicate with us through various means, and make a significant progress before the next spring when the meeting will be held.

- (2) On November 8, 2006, Mr. Oliver Metzger, an U.S. Copyright Office Official, was invited to Taiwan to attend the “Symposium on International Copyright Trend and U.S. Copyright Policy in Recent Years” to introduce new topics about the Universal Copyright Convention and the U.S. Copyright Law in the digital era.



- ▲ On November 8, 2006, a U.S. Copyright Office official was invited to Taiwan to attend the “Symposium on International Copyright Trend and U.S. Copyright Policy in Recent Years” and the Deputy Director of Copyright Division, Ms. Ho, gave the opening speech.

## 2. Taiwan-Australia

Mr. Ian Goss, the General Manager of International Cooperation of IP Australia, and Ms. Kate Norris, the Senior Project Manager, visited Taiwan from November 12 through 14, 2006. Both parties discussed the business of the two offices, experiences on E-filing, the improvement of the IPR legal system accomplished by TIPO, and the reinforcement of investigation; they also fully exchanged opinions on enhancing and promoting personnel interaction and information



exchange between the two offices.



◀ Visit of the IP,  
Australia.

### 3. Taiwan-France

According to the conclusions made in the 7<sup>th</sup> Taiwan-France Industrial Property Right Conference, France sent the experts of the National Institute of Industrial Property of France and the Customs to Taiwan from March 19 through 25, 2006 to hold 4 IPR workshops to facilitate the experience exchange of the IPR, customs, and the personnel between the both sides.

### 4. Taiwan-Japan

- (1) In order to enable the Japanese enterprises to learn about TIPO's IPR protection laws and the investigation practices, from February 23 through 25, 2006, Mr. Lu, the Deputy Director General, went to Tokyo to participate in the workshop on the Taiwan intellectual property right, and gave a speech about the current situation of TIPO's IPR protection.
- (2) On November 10, 2006, five patent firms, including Japan KYOWA Patent and Law Office, visited Mr. Cheng, Deputy Director General, to exchange opinions about reform of the Patent Act and litigation system in Taiwan.
- (3) On November 20, 2006, the Japan Intellectual Property Association (JIPA) visited Ms. Wang, Deputy Director General, to fully exchange opinions about the patent and trademark application practice in Taiwan and Japan.
- (4) From Nov. 29 through 30, 2006, the 31<sup>st</sup> Economic and Trade Meeting of

Taiwan and Japan was held in Taipei, for the discussion on topics concerning both sides. In the morning of December 1, the Japanese side again visited TIPO to have sideline meetings to further discuss the unfinished topics.

- (5) On December 13, 2006, the president of the Japanese Chamber of Commerce and Industry, the Intellectual Property Group (IPG), visited Director General Tsai to discuss the operation plan after the IPG was founded, hoping to enhance the connection and communication with TIPO.

## 5. Taiwan-E.U.

- (1) The 18<sup>th</sup> Taiwan-E.U. Economic & Trade Consultation was held in Brussels on June. 26, 2006, and the two parties exchanged opinions on the important topics.
- (2) According to the consensus reached in the 18<sup>th</sup> Taiwan-E.U. Economic & Trade Consultation, TIPO, together with the European Economic and Trade Office, the European Chamber of Commerce in Taipei, and the Council of Agriculture, Executive Yuan, held the “Workshop on the Taiwan-E.U. Intellectual Property Right” from November 21 through 22, 2006 in Taipei, in which scholars and experts from all relevant fields of Taiwan and E.U. were invited to discuss the geographical indications and the prevention of the trafficking of counterfeit products, and held a degustation of products with the geographical indications.

## 6. Taiwan-U.K.

The “Taiwan-U.K. IPR Memo” was planning to be signed in 2006, and will be finished in 2007.

## 7. Enhancing Cross-Strait IPR Experience Exchange

- (1) Mr. Tsai, Director General, along with relevant TIPO officers, attend the “Cross-Strait IPR Exchanging Visiting Group” from May 23 through June 1, 2006. This group was organized by the Chinese National Federation of Industries for the purposes of visiting China, and exchanging opinions with some agencies, such as the State Office of Intellectual Property

Protection of the PRC, the Taiwan Affairs Office of the State Council, the Trademark Office of the State Administration for Industry and Commerce, the Trademark Assessment Committee of the State Administration for Industry and Commerce, National Copyright Administration of the PRC, the State Intellectual Property Office of the PRC, Shanghai Customs, and the Shanghai Municipal Intellectual Property Right Bureau.

- (2) In 2006, major IPR administrative agencies of China and the agents associations also sent representatives to visit TIPO, said representatives including the Deputy Director of the State Intellectual Property Office of the PRC, the Deputy Director of the Guizhou Intellectual Property Office, and the Deputy Director of the Zhejiang Intellectual Property Office.
- (3) To learn about operations of the copyright intermediary organizations in China and prevention of Internet copyright infringement for future reference, TIPO sent its personnel to China to visit copyright associations and intermediary organizations from August 28 through September 3, 2006, to learn about the operation legal system in China.

## **C. Diverse Exchanging Activities**

### **1. International Conference Sponsorship and Participation**

#### **(1) 2006 International Conference on Biology-Related Patents**

From September 28 through 29, 2006, the “2006 International Conference on Biology-Related Patents” was held in the Taiwan Academy of Banking and Finance. Scholars and experts from the WTO Secretariat, Australia, U.S., Japan, EPO, and more than 200 domestic scholars and experts from all other related fields, were invited to the conference. Those scholars and experts gave keynote speeches and comprehensive discussions on 4 topics about the issues on experimental use exception, issues on the patentability of human embryonic stem cell and patent examination guidelines thereof, the enforcement of plant patent rights and conflicts with plant variety rights, and the examination practices on plant and animal patents.

### ►► III. International Cooperation



- ▲ the “2006 International Conference on Biology-Related Patents” was held in Taiwan Academy of Banking and Finance.

#### (2) Seminar on Online Licenses of Musical Collective Management Organizations

On November 22, 2006, experts of the copyright collective administration organizations from U.K., Japan, and Singapore were invited to Taiwan to attend the “Seminar on Online Licenses of Musical Collective Management Organizations,” so as to enhance the understanding and communication on copyright licensing between the domestic copyright intermediary organizations and copyright users, and learn the practical operating experience from the copyright collective administration organizations in Europe and Asia for establishing online licensing mechanism and transaction platform.



- ▲ On November 22, 2006, experts and scholars of copyright collective administration organizations from the U.K. and Japan were invited to Taiwan to attend the “Seminar on Online Licenses of Musical Collective Management Organizations,” and Margaret Chen, TIPO Chief Secretary, gave the opening speech.

### **(3) Symposium on Taiwan-U.S. Patent Practice**

On May 23, 2006, TIPO together with the American Intellectual Property Law Association (AIPLA) held “Symposium on Taiwan-U.S. Patent Practice” in the Chung-Hua Institution for Economic Research, and about 200 participants, including TIPO patent examiners, the patent and trademark agents, and representatives from all the relevant fields.

### **(4) Symposium on Taiwan-Japan Internet Infringement Prevention**

TIPO and the Japan Interchange Association held the “Symposium on Taiwan-Japan Internet Infringement Prevention” on October 19, 2006 in Taipei. Four Japanese and local experts were invited as speakers to exchange opinions with the copyright holder organizations and those involved in the field.

## **2. Personnel Training and Education**

- (1) In 2006, a total of 49 patent and trademark examiners were sent abroad for study and research; 26 of them were sent to the European Patent Office (EPO), 2 were sent to the E.U. Office for Harmonization in the Internal Market (OHIM), 11 to the U.S. Patent and Trademark Office (USPTO), 1 to the Canadian Copyright Society, 1 to University of Washington in Seattle, U.S., and 8 to the Japan Patent Office.
- (2) To enhance the function of the regulation amendment of the copyright intermediary organizations and to improve TIPO's regulation on the royalties proposed and collected by the current intermediary organizations, from November 3 through 12, 2006, TIPO personnel were sent to the Canadian Copyright Board and intermediary organizations for studying its legal system and practical operations.

## **3. Providing Information**

- (1) At the end of July in 2006, the “Collection of IPR Laws & Regulations” English Version was compiled and printed.
- (2) Taiwan's patent information was translated and provided to the European

### ▶▶ III. International Cooperation

Patent Office, Thomson Scientific Co., Ltd., and Chemical Abstracts Service (CAS) and integrated into esp@cenet, the World Patent Index (WPI), and the Scientific and Technical Information Network (STN) databases. In addition, Taiwan's current patent legal status data was integrated into the European Patent Office's INPADOC database.

- (3) On September 15, 2006, TIPO's e-newsletter was officially released, and about 1,000 copies were sent monthly to the rights-holder groups abroad, the patent offices of major countries, relevant officials from the U.S. Trade Representative (USTR) and the Patent and Trademark Office, the foreign organizations in Taiwan, and the foreign affiliates of MOEA. This measure was complimented and appreciated by the foreign affiliates and the owner groups.
- (4) The Five-in-one (the Chinese, English, Japanese, Spanish, and French languages) discs of the latest brief introduction of TIPO were made for the reference of various circles.

# IV. IPR Promotion and Protection

Protecting IPR is an established policy of the government, which aims to not only fulfill TIPO's international obligations and promises, but also encourage innovations and development, promote industrial upgrade, and raise the national competitiveness, so as to sustain the economic growth. To carry out the IPR protection policy, the "IPR Action Plan" was continued in 2006, wherein the investigations/patrols on counterfeits were well implemented. Such a plan had received worldwide acknowledgement under the active promotion of relevant agencies. According to the "2005 Global Software Piracy Study" proposed by the Business Software Alliance (BSA), Taiwan ranked No. 3 in the low piracy rate (43%) in Asian countries, only behind Japan (28%), and Singapore (40%), and ranked No. 24 worldwide. TIPO's accomplishment has become a good example for many countries, and relevant key measures taken and achievements thereof are as follows.

## A. Awareness of IPR Laws and Regulations

1. In response to the needs of various enterprises, folk groups, schools and for specific business operators in copying, audio/video rental, hotels, and Internet content, TIPO speakers were arranged to visit different places in Taiwan to give speeches for the explanation of the laws and regulations regarding IPR. 206 speeches were given with a total of 22,625 participants.
2. Copyright-related judgments, explanation letters, news releases, and activity messages in 2006 amount to 300 pieces, were made available to the public on TIPO website, so that people from various circles may learn about the trend of development of the IPR related legal systems.
3. On November 23 and 24, 2006, TIPO and the National Chiao Tung University Institute of Technology Law, hosted the "2006 –Conference on National



Technology Law ,” and 450 people attended.

4. To enable the people in various circles to understand the contents of the amendments to the patent examination guidelines, on May 23, 30 and June 8, 13, 22 in 2006, 5 conferences on the “Invalidation Petition and Ex Officio Examination of Patent Right” for guideline examination were respectively held in Taipei, Hsinchu, Taichung, Kaohsiung, and Tainan.
5. On May 23 and 30, June 8, 13, and 22 in 2006, 5 conferences for the elaboration on the Patent Act and regulations thereof were respectively held in Taipei, Hsinchu, Taichung, Kaohsiung, and Tainan, and 470 people were present. On September 6, 12, 14, and 27, 4 conferences for promotion of the Trademark Act were respectively held in Taipei, Tainan, Kaohsiung, and Taichung, and 385 people participated.
6. On August 15, 16, and 18, 2006, 3 conferences for the promotion of TIPO patent administrations were respectively held in Kaohsiung, Taichung, and Taipei, to advertise the revisions of IPC 8<sup>th</sup> edition. Moreover, opinions from various circles were widely received with regard to the revision of the patent examination guidelines. Further, a comprehensive analysis was made about common errors and types, which need amended when handling patent applications.
7. To share TIPO studies on the following relevant copyright topics with regard to specific legal systems in recent years: “The Study on the Right of Communication to the Public Under Copyright Act,” “A Study on Trade Secret System,” “Survey on the Implementation of WIPO Copyright Issues from 1996 to 2004,” “Research on the Copyright Acts and the Related Amendments in Singapore and Australia,” “On Right of Public Performance in Audiovisual Works,” and “Study on Problems of Copyright of Digital Library.” Presentations of research achievements had been held in Taipei and Kaohsiung, which were open to various circles for exchange of ideas.
8. Two workshops on trademark issues were held respectively on June 22 and November 17, 2006. The attendants included judges, lawyers, trademark agents, entrepreneurs, scholars, experts, the Petitions and Appeal Committee, and TIPO trademark examiners, a total of 370 participants.



In the conference, topics like “Comparison and Practice of Trademark Infringement Types,” “Origin Certification Mark and Geographical Indication,” “Trademark Infringement Civil and Criminal Liabilities,” and “Study on Trademark Infringement Cases” were discussed, so as to receive opinions on the trademark protection system from various circles exchanging academic theories and practical opinions, thereby making prompt improvements accordingly and using these opinions as an important reference for guiding the trademark amendments.

## B. Reinforcement of IPR Concept

### 1. Providing the IPR services for various circles

- (1) The Tainan Branch Office was set up on October. 3, 2006, and expanded the range of services. Therefore, people can manage various IPR-related matters in nearby branch offices, like Hsinchu, Taichung, Tainan, and Kaohsiung, instead of traveling up and down Taiwan.



- ▲ Mr. Tsai, the Director General of TIPO, Mr. Hsu, the mayor of Tainan City, and Mr. You, the Chief Executive Officer of Southern Taiwan Joint Service Center of Executive Yuan co-hosted the opening ceremony of Tainan Branch Office.

- (2) Courses and symposiums related to patent material retrieval were arranged nine times and 628 people participated. Further, in order to promote the academic community's understanding of the patent database, the “Course for

Patent Database Retrieval and Application” was held four times in National Dong Hwa University, National United University, and Oriental Institute of Technology, and 810 people participated. Furthermore, the teaching DVDs were made and issued to reinforce the effect.

- (3) To help users deeply understand “user-pays” and obtain correct information about legal licensing, 1,000 discs on “How to Acquire Copyright Licensing” were made on September 30, 2006 and provided for the user groups or assisting with their activities. The discs were widely used for advertising, as materials for learning licensing.
- (4) On April 26, 2006, the World Intellectual Property Day, TIPO first put creative commons (CC) licensing mechanism into practice for TIPO publications. 84 publications were released to the public, and more will be issued in succession in the future.
- (5) The “Taiwan IP Training Academy (TIPA)” was set up and took responsibility for cultivating teachers and compiling and providing uniform course teaching materials. In 2006, the training courses included 5 main courses—“Preliminary Patent Course—Course of Legal System and Application Examination,” “Advanced Patent Course—Patent Engineer Course,” “Patent Infringement Verification Course,” “Patent Litigation Course,” and “Intellectual Property Management Course,” so as to effectively improve the intellectual property training quality and promote a systematic training mechanism.

## 2. IPR Campus Outreach

- (1) To make the IPR concept deeply rooted in elementary and junior high school students, 570,000 volumes of the comic strip “2006 BG’s IPR Secret Tips” were especially compiled with plain words and funny stories, printed (both in Chinese and English) and sent to 1,207 senior high schools, junior high schools, American and European schools and uploaded to TIPO Chinese/English website and child web. These comics can assist with relevant activities held by domestic public/private departments and schools at any level.
- (2) TIPO worked with bookstores at the National Taiwan University, National

Central University, National Taiwan Normal University, and National Chiao Tung University to set up used textbook-exchange mechanisms, and also established the Internet Bookstore for Used Textbooks. As a result, 4,047 books were recycled and 1,108 books were dealt, which doubles the promotion achievement of 2005. This measure can effectively solve the problem of illegal duplication of textbooks on campus and relieve student's financial burden to purchase new textbooks.

- (3) The legal clubs in 13 colleges were trained to form the “Campus IPR Assistance Teams,” and sent to elementary and junior high schools to conduct education and advertising work. 59 activities were held in 2006, and 12,329 students participated. As the seed members advertised through light comedies, elementary and junior high school students warmly welcomed them, and the advertising effect was very satisfying.



▲ the interesting sidelights of members participating in the advertising activity of the “Campus IPR Assistance Teams” 2006.

- (4) The IPR Friendship Tournament was respectively held between the group from TIPO and 4 universities: National Taipei University of Education, National Taiwan Normal University, National Chung Hsing University, and National Cheng Kung University. Through dynamic contest activities, together with the activity “Rewards for Your Answers” for IPR, the tournament reached the goals of happy learning and advertising IPR concepts.

### 3. Multiple channels for IPR Education

- (1) On April 26, 2006, in response to the theme of the World Intellectual Property Day of the WIPO, seminars were held and posters were printed to be delivered to various circles and put up to enhance advertising, so as to enable citizens to correctly understand IPR. The activity achievement report was submitted to the WIPO for reference via the Office of Geneva, Ministry of Foreign Affairs.
- (2) 5,700 interactive online game discs of “Intellectual Expedition” were made, and sent to 3,928 elementary and junior high schools via Ministry of Education for advertising.
- (3) Plane advertisements and animation advertisements were published through printed media and networks respectively. Moreover, “2006 BG’s IPR Secret Tips” was serialized in the Mandarin Daily News, and “Rewards for your Answers” were held to enrich the knowledge of the students on IPR. Further, wireless and wired television broadcasting media were employed to broadcast short films on IPR protection. Advertisements for IPR protection were put on the light boxes on platforms for Taiwan Railway and Taipei Mass Rapid Transit (MRT).
- (4) MV of “Song for Intellectual Property Right,” discs of “2005 Intellectual Property Rights in Taiwan—Public Awareness” (both in Chinese and English) were made, and various advertising products such as posters, position papers, handbooks, bookmarks, and cup trays were elaborately manufactured for national and international advertising. 2,000 IPR protection investigation discs (both in Chinese and English) were publicized to enhance the advertising effect.
- (5) Publish “IPR Journal”

To advertise and broadcast the IPR information and laws & regulations and provide the public with knowledge and messages about the IPR-related laws & regulations, special discussions, international dynamics, and news, TIPO specifically edited and published “IPR Journal.” This helped the readers have better understanding of IPR and have correct opinions.

## **C. Continuing to Enhance Prevention of Counterfeits and Carry out IPR Protection**

### **1. Continue promoting the IPR Action Plan**

To continue promoting the IPR Action Plan, TIPO invited relevant government agencies to 4 meetings of the inter-agency Coordination Taskforce for IP Enforcement on February 21, May 8, August 1, and November 2, 2006. They evaluated the achievements of "IPR Action Plan 2003-2005." The two schemes of "Implementation Plan for Enhancing Computer Software Protection" and "Implementation Plan for Strengthening Preventive Measures Against Internet Infringement" have been integrated into a new action plan, because most of their contents had been brought into the "IPR Action Plan."

### **2. Awards for IPR Infringement Seizure**

Based on the "Main Points for Awards for the Seizure of Counterfeit Goods" and "Schemes for Rewarding to Encourage Impeach of Pirate Discs Manufacturing Factory" issued by TIPO, executive units were awarded for unearthing the IPR infringement cases. In 2006, 747 reward applications for police and investigating personnel were allowed, which increased by 63.82% compared with 456 in 2005, and the granted reward totaled NT\$23,215,693, an increase of 36.46% compared with 17,012,883 in 2005.

### **3. Checking disc factories thousands of times, effectively prohibiting the manufacturing of pirate discs**

The Joint Optical Disk Enforcement Taskforce (JODE) of the MOEA is responsible for the inspection of optical disc factories, mastering factories, and related locations as specified in the "Optical Media Law." In 2006, 1,076 inspections were carried out (553 during the daytime, and 523 at night.) No serious cases of illegality occurred, which suggests that the effect of the source management has been achieved under close examination of the taskforce.

#### **4. Coordinate the IPR Police Team in enhancing prevention of counterfeit and piracy**

- (1) In 2006, the IPR Police Team ferreted out 1,935 IPR infringement cases, an increase of 35.5% compared with 1,428 in 2005. Meanwhile, the prevention of computer network infringement and sales of counterfeit and pirate copies through newspaper inserts has been enhanced. The network infringement cases totaled 1,596 taking up 82.48% of the total of 1,935 cases, and increased by 107.54% compared with 769 cases in 2005. Moreover, 8 cases in which pirate copies were sold through newsstands were found, an increase of 100% compared with 4 cases in 2005, and 1,263 invalidations of newspaper inserts were executed.
- (2) JODE, MOEA assisted with the patrol of night markets, reporting 7 cases to the IPR Police Team. 4 batches of ownerless goods were found (sale without seller), and 4,015 pirate discs were found and detained, wherein the market value of each infringing item was above NT\$43 million. JODE also assisted with the patrol of the Internet, reporting 217 web pages selling possibly infringing goods to the IPR Police Team for further examination. Further, the amount of the administrative fine was NT\$1.5 million.

#### **5. Training for Counterfeit Seizure**

- (1) From March 27 through 31 & from April 10 through 14, two IPR Police Counterfeit Seizure Seminars were held in the Ankang Training Center, and 100 policemen participated.



▲ The IPR Police Counterfeit Seizure Seminars

- (2) From October 16 through 20, the Professional Training Center of MOEA was entrusted to hold the "Inspection and Prevention of Counterfeiting on the Internet," and 60 policemen participated.
- (3) From October 30 through November 10, the Professional Training Center of MOEA was again entrusted to hold the "Counterfeit Inspection and Prevention Seminar" in two phases, and 95 policemen participated.

## 6. Research on Strategies and Measures Against Piracy

Regarding the “2006-Study on Strategies and Measures to Fight Against Piracy of Audio-video Copyrights” plan, the investigations into “Audio-video Works,” “Computer software,” and “IPR Cognition Investigation” have been conducted. Results show that, due to JODE’s intensive inspections, and the rapid development of network transmission techniques and burners, the illegal production of pirate discs by optical disc manufacture has declined. Due to increased network bandwidths, the method of piracy now utilizes network downloading or burning instead of the traditional channel. Detailed measures on this phenomenon have been provided by the research units in aspects of legislation, education, administration, and execution for the reference of TIPO and relevant units.



# V. Prospects

## Intellectual Property Office

In the era of globalization and a knowledge-based economy, the industries in Taiwan are gradually transformed under the pressure of international competition, and must stay in the forefront of the era and continue innovation to sustain their competitiveness. Thus, whether the industrial technique reformation and R&D achievements can be fully guaranteed becomes the key point for the sustained operation of the industries. Patents, trademarks, and copyrights are core elements of a knowledge-based economy. If various examination mechanisms or service contents cannot meet the needs of the era, it may affect the development of the economy.

It is TIPO's duty to establish a perfect environment and encourage the citizens to devote themselves to industrial innovation, so as to facilitate economic development and improve international competitiveness. In the future, TIPO will focus on enhancing patent and trademark examination quality, further revision of the Patent Act, cultivating IPR professionals, devoting efforts to preparations for the "Patent Re-examination and the Dispute Examination Group", continuing to carry out e-filing, continuing to enhance IPR protection, and arranging international IPR exchanges. The reformation ideas and future prospects are as follows:

### **A. Enhancing Patent and Trademark Examination Quality**

To enhance examination quality, multiple measures must be operated in coordination. For enhancing patent examination quality, various measures will be promoted in the future, and 7 improvement solutions will be performed continuously, i.e., accelerating the amendments to the Patent Examination Guidelines, executing examinations each claim and conducting interviews, enhancing the use of prior



art retrieval tools, raising the ability to writing invalidation decisions, managing and controlling substantial quality, and enhancing education & training and discussion on practical cases. As for trademark, TIPO expects to enhance the trademark examination quality and performance and construct a perfect trademark protection environment by continuously promoting business such as establishing a trademark registration application quality approval mechanism, and establishing the Trademark Advisory Committee.

## **B. Further Revision of the Patent Act**

The continuous review and revision of various patent legal systems have achieved specific effects; however, the Patent Act still needs suggestions from various circles to perfect the contents. Therefore, at this point, the important amendment topics include: (1) Deregulate plant and animal patents: in order to implement decision made by the Executive Yuan Biotechnology Industry Promotion Committee, plant and animal patent must be deregulated, which will serve as one of the important complementary measures for biotech industry development to broaden the allowable scope of subject matter of invention; (2) Public health: regarding pharmaceuticals forcibly authorized and manufactured by members of the World Trade Organization (WTO) under national or other emergencies, or for the purpose of enhancing non-profit utilization, humanistic regulations should be established to enable the citizens in Taiwan to utilize this mechanism to assist developing countries and least-developed countries in acquiring pharmaceuticals through compulsory licensing; (3) The reformation of design system: mainly including” allowing the application for partial design patents, applications for computer graphics (Icons) and Graphic User Interface (GUI), application for items in a group, and expanding the protection system for designs; (4) Set up “Patent Re-examination and Dispute Examination groups” following the establishment of the Intellectual Property Court; (5) Amend and thoroughly inspect the current Patent Act regulations in response to practical requirements: including in respect of the same invention or creation, an invention patent application and a utility model

patent application can be filed respectively at the same time, and amendment for the whole utility model system, relieving procedure-related regulations, canceling regulations on restricting time limits for supplements and amendments, amending relevant regulations about overdue annual fees of patents and patent right recovery, adding regulations about types of foreign languages for the specifications capable of obtaining a filing date and detailed matters that should be declared explicitly.

## **C. Cultivating IPR Professionals**

The “Taiwan Intellectual Property Training Academy (TIPA)” founded in June 2005 has made great achievements, and has conducted personnel training with 1,114 participants from all circles of society through 9 intellectual property training divisions in Northern, Central, and Southern Taiwan. It is estimated that 800 people will be trained in 2007. Further, TIPO attempted to enhance the professional capability of personnel by sending personnel to investigate abroad, holding seminars, inviting domestic and foreign experts to give lectures, and arranging professional training courses for examiners. Domestic intellectual property specialists are trained and human capital is enriched through full cooperation among industry, government and academia.

## **D. Devoting Efforts to Preparations for “Patent Re-examination and Dispute Examination Group”**

Various countries are gradually paying more and more attention to patents, and are successively proposing plans to improve the IPR protection environment. The Judicial Yuan is preparing for setting up the “Intellectual Property Court,” which is scheduled to operate officially in 2007. Afterward, the re-examination and infringement litigation of IPR will finally be governed and conducted by the Intellectual Property Court. It’s an important step to perfect the whole IPR protection system through the transformation of the examination systems of patent invalidation

and re-examination cases. Thus, TIPO will devote itself to the preparations for the “Patent Re-examination and Dispute Review Group” and research and amendments of the relevant laws in 2007. In the future, more experienced patent examiners will examine all invalidation and re-examination cases in a council system. At that time, the patent examination practice will be greatly reformed and widely different from the current situation. TIPO has had preliminary discussions on simplifying appeal levels and the council examination system, and gathered relevant valuable materials from various countries across the world for reference and study. In 2006, Intellectual Property Court lecture series were irregularly arranged to help the examiners learn about the future litigation procedure and gain the examination ability for council system hearings. In 2007, personnel will be sent to investigate abroad, study foreign practical experience, such as oral arguments, and assist the Judicial Yuan in handling courses for training intellectual property judges. Meanwhile, the interaction between judicial personnel was enhanced, so as to meet future requirements with perfect preparation.

## **E. E-Filing**

The international trend for IPR-operations is toward an electronic system. Therefore, TIPO has promoted the challenging TIPOnet project since 2003. Executive Yuan supported this project and included it in the “e-government” segment of “Challenge 2008–National Development Plan.” The system is scheduled to go on line by the end of 2007, by which time the public will be able to file patent and trademark applications, trace the progress of the application, submit fees, and receive important notifications online.

## **F. Continue Enhancing IPR Protection**

IPR protection is an established policy of the government, and aims not only to fulfill the international obligations and promises, but also to encourage innovation and development, promote industrial upgrading, and raise national competitiveness, so as to facilitate the sustainable growth of economy. To continue promoting the

IPR protection work, conferences for IPR protection will be held regularly in the future, each department is coordinated to enhance the execution of “IPR Action Plan,” and continuously fight against crime with the help of IPR Police Team. These are persistent efforts to construct a high-grade IPR protection environment in Taiwan. Moreover, diversified media channels and lively advertising modes will be employed continuously, and the cooperation with the Ministry of Education will also be enhanced to thoroughly promote the advertising of IPR, and establish correct concepts to nationals of respecting IPR and users should pay charges.

## **G. Arranging IPR International Exchanges**

TIPO keeps participating in multilateral IPR exchanges and cooperation, including WTO business, TRIPS-related negotiation topics, APEC/IPEG conferences, and symposiums. Besides communicating with representatives of different countries to master international trend anytime, TIPO will fully express its standpoints on the IPR-related topics in every conference. As for U.S. special 301, TIPO will exchange ideas and communicate with the U.S. on the IPR-related topics relevant to both sides. Moreover, TIPO will enhance exchanges and cooperation with other countries on IPR through promoting signing the IPR cooperation agreement, holding or promoting IPR bilateral chief regulatory officer conferences, and encouraging foreign commercial organizations in Taiwan and owner groups to jointly hold the IPR international symposiums in Taipei. Regarding cross-strait communication, the communication subjects are continuously studied and set, and various cross-strait exchange/cooperation plans are promoted to enhance interactive exchanges and experience sharing, so as to solve the cross-strait IPR-related problems.

# Appendix

## Intellectual Property Office

► **Annual Statistics**

► **Annual Publications**

► **Calendar of Events**

## ► Annual Statistics

### I. Patent Cases Filed & Disposed

#### A. General Statistics of Patent Cases (1982-2006)

| Year \ Item | Application   | Approval | Certificate Issued | Published Certificate |
|-------------|---------------|----------|--------------------|-----------------------|
| 1982        | 16,328        | 7,460    | 5,781              | —                     |
| 1983        | 19,428        | 7,096    | 5,327              | —                     |
| 1984        | 22,013        | 8,592    | 8,005              | —                     |
| 1985        | 23,870        | 9,427    | 8,886              | —                     |
| 1986        | 26,198        | 10,526   | 8,498              | —                     |
| 1987        | 28,900        | 10,615   | 8,263              | —                     |
| 1988        | 29,511        | 12,355   | 9,622              | —                     |
| 1989        | 32,103        | 19,265   | 15,975             | —                     |
| 1990        | 34,343        | 22,601   | 19,623             | —                     |
| 1991        | 36,127        | 27,281   | 24,235             | —                     |
| 1992        | 38,554        | 21,264   | 20,142             | —                     |
| 1993        | 41,185        | 22,317   | 19,266             | —                     |
| 1994        | 42,412        | 19,032   | 15,136             | —                     |
| 1995        | 43,461        | 29,707   | 22,907             | —                     |
| 1996        | 47,055        | 29,469   | 25,529             | —                     |
| 1997        | 53,164        | 29,356   | 26,935             | —                     |
| 1998        | 54,003        | 25,051   | 23,640             | —                     |
| 1999        | 51,921        | 29,144   | 24,338             | —                     |
| 2000        | 61,231        | 38,665   | 31,096             | —                     |
| 2001        | 67,860        | 53,789   | 43,277             | —                     |
| 2002        | 61,402        | 45,042   | 44,101             | —                     |
| 2003        | 65,742        | 53,034   | 42,082             | —                     |
| 2004        | 72,082        | 27,717   | 66,490             | 21,893                |
| 2005        | 79,442        | —        | 58,306             | 57,236                |
| <b>2006</b> | <b>80,988</b> | <b>—</b> | <b>49,315</b>      | <b>48,774</b>         |

Note: "Application" is the number of applications for each individual year. "Approval" is the number of published approvals. This system was replaced by the published certificate system on July 1, 2004, which issues a certificate at the same time the approval is published. "Certificate Issued" is the number of certificates actually being issued.

## B. Statistics on Patent from 1997 to 2006

### 1. Patent Cases Filed & Disposed

| Item<br>Year | Application   | Re-examination | Opposition | Invalidation | Assignment   | Licensing  |
|--------------|---------------|----------------|------------|--------------|--------------|------------|
| 1997         | 53,164        | 7,933          | 2,029      | 778          | 1,678        | 204        |
| 1998         | 54,003        | 6,669          | 1,843      | 638          | 1,818        | 166        |
| 1999         | 51,921        | 8,227          | 2,074      | 653          | 1,490        | 137        |
| 2000         | 61,231        | 7,103          | 2,266      | 583          | 2,013        | 188        |
| 2001         | 67,860        | 10,463         | 2,596      | 701          | 1,598        | 111        |
| 2002         | 61,402        | 11,728         | 1,734      | 591          | 2,365        | 140        |
| 2003         | 65,742        | 13,325         | 1,867      | 512          | 3,561        | 199        |
| 2004         | 72,082        | 7,084          | 1,197      | 811          | 3,835        | 431        |
| 2005         | 79,442        | 1,786          | -          | 1,583        | 3,779        | 257        |
| <b>2006</b>  | <b>80,988</b> | <b>2,545</b>   | <b>-</b>   | <b>1,294</b> | <b>4,261</b> | <b>235</b> |

- Note: 1. The figures for "Application," "Re-examination," "Opposition," and "Invalidation" reflect the total number of cases applied each year.
2. The figures for "Assignment" and "Licensing" reflect the total number of cases concluded each year.
3. The drop in re-examination applications is due to the fact that examination for utility model patents was changed to formality examination from July 1, 2004, thus no more re-examination applications were filed.
4. Due to the fact that the opposition system was abolished by the newly promulgated Patent Act of July 1, 2004. Thus no more opposition applications were filed after October 2004.

### 2. Invention Patents Filed & Disposed

| Item<br>Year | Application   | Pre-grant<br>Publication | Request for<br>Examination | Re-examination | Rejection    | Approval | Certificate<br>Issued | Published<br>Certificate |
|--------------|---------------|--------------------------|----------------------------|----------------|--------------|----------|-----------------------|--------------------------|
| 1997         | 20,046        | -                        | -                          | 4,225          | 7,552        | 9,008    | 9,344                 | -                        |
| 1998         | 21,978        | -                        | -                          | 3,762          | 6,994        | 8,478    | 8,380                 | -                        |
| 1999         | 22,161        | -                        | -                          | 4,945          | 8,251        | 11,280   | 10,022                | -                        |
| 2000         | 28,451        | -                        | -                          | 4,390          | 8,089        | 15,657   | 13,061                | -                        |
| 2001         | 33,392        | -                        | -                          | 6,298          | 10,381       | 24,429   | 21,012                | -                        |
| 2002         | 31,616        | -                        | 3,040                      | 7,413          | 12,031       | 23,036   | 22,616                | -                        |
| 2003         | 35,823        | 8,194                    | 21,269                     | 8,503          | 14,354       | 25,134   | 21,752                | -                        |
| 2004         | 41,919        | 28,917                   | 27,334                     | 5,528          | 9,216        | 14,688   | 28,583                | 5,766                    |
| 2005         | 47,841        | 41,441                   | 34,488                     | 1,482          | 4,886        | -        | 20,800                | 20,626                   |
| <b>2006</b>  | <b>50,111</b> | <b>44,778</b>            | <b>43,348</b>              | <b>2,129</b>   | <b>6,028</b> | <b>-</b> | <b>23,324</b>         | <b>23,228</b>            |

- Note: 1. The number of rejections is based on the number of rejections after examination and re-examination. "Approval" is the number of published approvals. This system was replaced by the published certificate system on July 1, 2004, which issues the certificate at the same time the approval is published. "Certificate issued" is the number of certificates actually being issued.
2. The figures for pre-grant publication are sourced from the total number of pre-grant publication applications. The pre-grant publication system was implemented on October 26, 2002. Figures reflecting cases from such system were available as of May 1, 2003.
3. The number of request for examination is the total number of applications filed pursuant to Article 37 of the Patent Act for each individual year. This system has been implemented since October 26, 2002.

### 3. Utility Model Patents Filed & Disposed

| Item<br>Year | Application   | Re-examination | Rejection  | Approval | Certificate<br>Issued | Published<br>Certificate | Application<br>for Technical<br>Evaluation Report |
|--------------|---------------|----------------|------------|----------|-----------------------|--------------------------|---|
| 1997         | 21,800        | 2,469          | 8,220      | 14,943   | 12,431                | —                        | —   |
| 1998         | 22,235        | 2,016          | 7,042      | 13,416   | 12,000                | —                        | —   |
| 1999         | 21,481        | 2,130          | 7,660      | 14,298   | 11,883                | —                        | —   |
| 2000         | 23,728        | 1,763          | 8,468      | 15,990   | 12,945                | —                        | —   |
| 2001         | 25,370        | 2,797          | 9,668      | 21,212   | 16,680                | —                        | —   |
| 2002         | 21,750        | 3,100          | 9,493      | 16,115   | 15,200                | —                        | —   |
| 2003         | 21,935        | 3,551          | 11,165     | 21,439   | 15,505                | —                        | —   |
| 2004         | 21,518        | 1,035          | 3,303      | 9,492    | 30,434                | 14,064                   | 496   |
| 2005         | 23,226        | —              | 295        | —        | 30,926                | 30,118                   | 2,431   |
| <b>2006</b>  | <b>23,279</b> | <b>—</b>       | <b>108</b> | <b>—</b> | <b>19,828</b>         | <b>19,407</b>            | <b>2,278</b>                                      |

Note: 1. The number of rejections is based on the number of rejections after examination and re-examination. "Approval" is the number of published approvals. This system was replaced by the published certificate system on July 1, 2004, which issues the certificate at the same time the approval is published. "Certificate issued" is the number of certificates actually being issued.  
 2. "Application for Technical Evaluation Report" is the number of applications for technical evaluation. The system took effect on July 1, 2004.  
 3. In accordance with the Patent Act amendment of July 1, 2004, examination of utility model patent is changed to formality examination, thus no re-examination cases from 2005 on.

### 4. Design Patents Filed & Disposed

| Item<br>Year | Application  | Re-examination | Rejection    | Approval | Certificate<br>Issued | Published<br>Certificate |
|--------------|--------------|----------------|--------------|----------|-----------------------|--------------------------|
| 1997         | 11,318       | 1,239          | 5,564        | 5,405    | 5,160                 | —                        |
| 1998         | 9,790        | 891            | 4,610        | 3,157    | 3,260                 | —                        |
| 1999         | 8,279        | 1,152          | 5,380        | 3,566    | 2,433                 | —                        |
| 2000         | 9,052        | 950            | 5,559        | 7,018    | 5,090                 | —                        |
| 2001         | 9,098        | 1,368          | 5,312        | 8,148    | 5,585                 | —                        |
| 2002         | 8,036        | 1,215          | 4,091        | 5,891    | 6,285                 | —                        |
| 2003         | 7,984        | 1,271          | 3,464        | 6,461    | 4,825                 | —                        |
| 2004         | 8,645        | 521            | 1,864        | 3,537    | 7,473                 | 2,063                    |
| 2005         | 8,375        | 304            | 1,793        | —        | 6,580                 | 6,492                    |
| <b>2006</b>  | <b>7,598</b> | <b>416</b>     | <b>1,758</b> | <b>—</b> | <b>6,163</b>          | <b>6,139</b>             |

Note: The number of rejections is based on the number of rejections after examination and re-examination. "Approval" is the number of published approvals. This system was replaced by the published certificate system on July 1, 2004, which issues the certificate at the same time the approval is published. "Certificate issued" is the number of certificates actually being issued.



## 5. Patent Opposition Disposal and Invalidation

| Year \ Item | Opposition |            | Invalidation |            |
|-------------|------------|------------|--------------|------------|
|             | Sustained  | Denied     | Sustained    | Denied     |
| 1997        | 676        | 1,065      | 274          | 426        |
| 1998        | 744        | 1,221      | 272          | 497        |
| 1999        | 685        | 1,022      | 282          | 376        |
| 2000        | 569        | 975        | 200          | 336        |
| 2001        | 760        | 1,343      | 168          | 287        |
| 2002        | 835        | 1,466      | 194          | 353        |
| 2003        | 524        | 973        | 261          | 366        |
| 2004        | 648        | 1,279      | 142          | 266        |
| 2005        | 460        | 1,096      | 172          | 370        |
| <b>2006</b> | <b>210</b> | <b>448</b> | <b>354</b>   | <b>504</b> |

Note: 1. The figures reflect the total cases concluded each year.

2. In addition to sustained and denied cases, other conditions such as withdraw or rejection are not included in the chart.

## 6. Residents and Non-Residents Patent Application

| Year \ Item | Residents     |               |              |               | Non-Residents |               |              |               |
|-------------|---------------|---------------|--------------|---------------|---------------|---------------|--------------|---------------|
|             | Invention     | Utility Model | Design       | Total         | Invention     | Utility Model | Design       | Total         |
| 1997        | 3,761         | 20,542        | 9,354        | 33,657        | 16,285        | 1,258         | 1,964        | 19,507        |
| 1998        | 5,213         | 21,123        | 7,907        | 34,243        | 16,765        | 1,112         | 1,883        | 19,760        |
| 1999        | 5,804         | 20,283        | 6,556        | 32,643        | 16,357        | 1,198         | 1,723        | 19,278        |
| 2000        | 6,830         | 22,660        | 6,879        | 36,369        | 21,621        | 1,068         | 2,173        | 24,862        |
| 2001        | 9,170         | 24,220        | 6,820        | 40,210        | 24,222        | 1,150         | 2,278        | 27,650        |
| 2002        | 9,638         | 20,692        | 5,596        | 35,926        | 21,978        | 1,058         | 2,440        | 25,476        |
| 2003        | 13,049        | 21,231        | 5,383        | 39,663        | 22,774        | 704           | 2,601        | 26,079        |
| 2004        | 16,747        | 20,809        | 5,464        | 43,020        | 25,172        | 709           | 3,181        | 29,062        |
| 2005        | 20,093        | 22,641        | 4,987        | 47,721        | 27,748        | 585           | 3,388        | 31,721        |
| <b>2006</b> | <b>21,365</b> | <b>22,674</b> | <b>4,587</b> | <b>48,626</b> | <b>28,746</b> | <b>605</b>    | <b>3,011</b> | <b>32,362</b> |

## 7. Residents and Non-Residents Patent Approval (1997-2004)

| Item<br>Year | Residents |               |        |        | Non-Residents |               |        |        |
|--------------|-----------|---------------|--------|--------|---------------|---------------|--------|--------|
|              | Invention | Utility Model | Design | Total  | Invention     | Utility Model | Design | Total  |
| 1997         | 1,611     | 13,680        | 4,260  | 19,551 | 7,397         | 1,263         | 1,145  | 9,805  |
| 1998         | 1,598     | 12,454        | 2,365  | 16,417 | 6,880         | 962           | 792    | 8,634  |
| 1999         | 2,139     | 13,375        | 2,538  | 18,052 | 9,141         | 923           | 1,028  | 11,092 |
| 2000         | 3,834     | 14,924        | 4,979  | 23,737 | 11,823        | 1,066         | 2,039  | 14,928 |
| 2001         | 6,477     | 19,999        | 5,834  | 32,310 | 17,952        | 1,213         | 2,314  | 21,479 |
| 2002         | 5,683     | 15,265        | 3,898  | 24,846 | 17,353        | 850           | 1,993  | 20,196 |
| 2003         | 6,399     | 20,315        | 4,241  | 30,955 | 18,735        | 1,124         | 2,220  | 22,079 |
| 2004         | 4,859     | 8,856         | 2,201  | 15,916 | 9,829         | 636           | 1,336  | 11,801 |

Note: The published approval system was abolished after the new Patent Act took effect on July 1, 2004.

## 8. Residents and Non-Residents Published Certificate (2004-2006)

| Item<br>Year | Residents     |               |              |               | Non-Residents |               |              |               |
|--------------|---------------|---------------|--------------|---------------|---------------|---------------|--------------|---------------|
|              | Invention     | Utility Model | Design       | Total         | Invention     | Utility Model | Design       | Total         |
| 2004         | 2,662         | 13,637        | 1,302        | 17,601        | 3,104         | 427           | 761          | 4,292         |
| 2005         | 9,124         | 29,328        | 3,872        | 42,324        | 11,502        | 790           | 2,620        | 14,912        |
| <b>2006</b>  | <b>11,431</b> | <b>18,857</b> | <b>3,485</b> | <b>33,773</b> | <b>11,797</b> | <b>550</b>    | <b>2,654</b> | <b>15,001</b> |

Note: The published certificate system issues the certificate at the same time the approval is published. This system took effect on July 1, 2004.

## C. Statistics on Patent—by Classification

### 1. Invention Applications and Certificates Issued by Classification (2004-2006)

| Class | Application |       |       | Certificate Issued |      |      |
|-------|-------------|-------|-------|--------------------|------|------|
|       | 2003        | 2004  | 2005  | 2004               | 2005 | 2006 |
| A01   | 243         | 293   | 330   | 120                | 143  | 140  |
| A21   | 30          | 24    | 21    | 21                 | 16   | 13   |
| A22   | 6           | 1     | 2     | 2                  | 1    | 3    |
| A23   | 188         | 201   | 220   | 67                 | 92   | 92   |
| A24   | 23          | 27    | 12    | 15                 | 21   | 8    |
| A41   | 39          | 50    | 53    | 33                 | 21   | 16   |
| A42   | 10          | 9     | 22    | 10                 | 8    | 10   |
| A43   | 49          | 50    | 64    | 18                 | 23   | 59   |
| A44   | 64          | 49    | 73    | 49                 | 66   | 59   |
| A45   | 52          | 57    | 64    | 27                 | 43   | 50   |
| A46   | 17          | 17    | 20    | 11                 | 2    | 7    |
| A47   | 221         | 293   | 371   | 128                | 179  | 186  |
| A61   | 1,845       | 1,871 | 2,204 | 930                | 750  | 652  |
| A62   | 40          | 30    | 46    | 19                 | 10   | 18   |
| A63   | 229         | 331   | 331   | 254                | 207  | 182  |
| B01   | 389         | 384   | 421   | 329                | 193  | 209  |
| B02   | 19          | 15    | 23    | 9                  | 7    | 11   |
| B03   | 7           | 13    | 8     | 10                 | 6    | 4    |
| B04   | 3           | 4     | 2     | 2                  | 2    | 0    |
| B05   | 152         | 191   | 215   | 111                | 66   | 78   |
| B06   | 1           | 3     | 7     | 4                  | 1    | 4    |
| B07   | 5           | 15    | 7     | 4                  | 10   | 2    |
| B08   | 46          | 56    | 62    | 31                 | 36   | 39   |
| B09   | 41          | 26    | 24    | 29                 | 19   | 20   |
| B21   | 115         | 133   | 119   | 86                 | 46   | 88   |
| B22   | 82          | 69    | 114   | 77                 | 40   | 40   |
| B23   | 255         | 305   | 418   | 204                | 156  | 202  |
| B24   | 143         | 161   | 180   | 186                | 74   | 49   |
| B25   | 211         | 342   | 331   | 169                | 143  | 168  |
| B26   | 58          | 83    | 64    | 45                 | 41   | 40   |
| B27   | 18          | 30    | 33    | 24                 | 12   | 13   |
| B28   | 27          | 29    | 12    | 28                 | 12   | 8    |
| B29   | 287         | 334   | 456   | 268                | 191  | 252  |
| B30   | 20          | 21    | 22    | 14                 | 28   | 16   |
| B31   | 10          | 10    | 16    | 11                 | 12   | 6    |
| B32   | 224         | 237   | 275   | 236                | 124  | 140  |
| B41   | 270         | 282   | 376   | 321                | 153  | 261  |
| B42   | 15          | 17    | 37    | 16                 | 6    | 17   |
| B43   | 28          | 44    | 45    | 25                 | 10   | 11   |
| B44   | 29          | 27    | 33    | 25                 | 23   | 17   |
| B60   | 266         | 334   | 443   | 223                | 228  | 261  |
| B61   | 26          | 12    | 19    | 11                 | 9    | 7    |

## ►► Annual Statistics

| Class | Application |       |       | Certificate Issued |      |      |
|-------|-------------|-------|-------|--------------------|------|------|
|       | 2003        | 2004  | 2005  | 2004               | 2005 | 2006 |
| B62   | 278         | 381   | 396   | 219                | 243  | 302  |
| B63   | 19          | 33    | 35    | 25                 | 13   | 11   |
| B64   | 8           | 10    | 10    | 2                  | 2    | 6    |
| B65   | 486         | 569   | 712   | 335                | 212  | 253  |
| B66   | 68          | 72    | 75    | 71                 | 34   | 42   |
| B67   | 19          | 18    | 25    | 15                 | 7    | 7    |
| B68   | 1           | 1     | 0     | 1                  | 0    | 0    |
| B81   | 70          | 78    | 87    | 72                 | 40   | 35   |
| B82   | 6           | 3     | 23    | 2                  | 2    | 2    |
| C01   | 178         | 218   | 307   | 133                | 83   | 125  |
| C02   | 92          | 118   | 117   | 89                 | 67   | 43   |
| C03   | 153         | 192   | 226   | 163                | 99   | 87   |
| C04   | 81          | 109   | 133   | 127                | 73   | 72   |
| C05   | 10          | 11    | 12    | 11                 | 7    | 3    |
| C06   | 2           | 0     | 1     | 4                  | 4    | 1    |
| C07   | 1,157       | 1,428 | 1,402 | 882                | 517  | 467  |
| C08   | 1,081       | 1,055 | 1,377 | 1,087              | 458  | 538  |
| C09   | 638         | 765   | 981   | 521                | 274  | 306  |
| C10   | 67          | 78    | 77    | 73                 | 35   | 26   |
| C11   | 56          | 57    | 62    | 33                 | 31   | 33   |
| C12   | 257         | 288   | 301   | 154                | 121  | 97   |
| C13   | 0           | 1     | 0     | 1                  | 1    | 0    |
| C14   | 5           | 2     | 3     | 3                  | 3    | 3    |
| C21   | 38          | 32    | 45    | 68                 | 23   | 11   |
| C22   | 96          | 119   | 147   | 119                | 53   | 78   |
| C23   | 322         | 402   | 478   | 405                | 180  | 219  |
| C25   | 146         | 145   | 111   | 147                | 73   | 91   |
| C30   | 49          | 68    | 67    | 98                 | 47   | 47   |
| D01   | 87          | 66    | 88    | 102                | 42   | 22   |
| D02   | 18          | 27    | 25    | 19                 | 12   | 9    |
| D03   | 47          | 38    | 27    | 35                 | 11   | 12   |
| D04   | 68          | 87    | 64    | 76                 | 46   | 23   |
| D05   | 64          | 46    | 61    | 49                 | 36   | 27   |
| D06   | 132         | 150   | 145   | 90                 | 49   | 75   |
| D07   | 3           | 2     | 1     | 1                  | 1    | 1    |
| D21   | 76          | 48    | 55    | 59                 | 19   | 23   |
| E01   | 29          | 22    | 32    | 21                 | 5    | 12   |
| E02   | 32          | 32    | 54    | 39                 | 13   | 12   |
| E03   | 29          | 27    | 34    | 19                 | 5    | 17   |
| E04   | 138         | 153   | 155   | 86                 | 62   | 74   |
| E05   | 95          | 137   | 133   | 88                 | 43   | 66   |
| E06   | 47          | 63    | 65    | 20                 | 32   | 32   |

| Class | Application |       |       | Certificate Issued |       |       |
|-------|-------------|-------|-------|--------------------|-------|-------|
|       | 2003        | 2004  | 2005  | 2004               | 2005  | 2006  |
| E21   | 8           | 9     | 15    | 2                  | 2     | 5     |
| E99   | 0           | 0     | 0     | -                  | -     | 0     |
| F01   | 49          | 95    | 84    | 55                 | 30    | 44    |
| F02   | 114         | 150   | 157   | 98                 | 77    | 82    |
| F03   | 27          | 49    | 35    | 12                 | 7     | 7     |
| F04   | 133         | 207   | 212   | 100                | 60    | 91    |
| F15   | 15          | 13    | 25    | 11                 | 3     | 15    |
| F16   | 385         | 480   | 501   | 290                | 246   | 299   |
| F17   | 31          | 28    | 34    | 22                 | 8     | 23    |
| F21   | 81          | 125   | 186   | 79                 | 55    | 113   |
| F22   | 7           | 9     | 4     | 1                  | 1     | 6     |
| F23   | 83          | 84    | 56    | 63                 | 44    | 31    |
| F24   | 97          | 99    | 176   | 85                 | 58    | 93    |
| F25   | 67          | 95    | 116   | 84                 | 29    | 34    |
| F26   | 15          | 15    | 15    | 10                 | 7     | 8     |
| F27   | 7           | 9     | 14    | 3                  | 7     | 2     |
| F28   | 81          | 109   | 194   | 41                 | 27    | 66    |
| F41   | 23          | 27    | 34    | 13                 | 8     | 24    |
| F42   | 12          | 7     | 3     | 4                  | 1     | 4     |
| G01   | 1,067       | 1,353 | 1,610 | 986                | 674   | 841   |
| G02   | 2,054       | 2,509 | 3,018 | 1,713              | 1,062 | 1,382 |
| G03   | 802         | 1,124 | 1,326 | 629                | 546   | 571   |
| G04   | 34          | 34    | 29    | 18                 | 11    | 20    |
| G05   | 147         | 254   | 307   | 104                | 79    | 162   |
| G06   | 3,291       | 3,910 | 4,332 | 2,336              | 1,804 | 2,001 |
| G07   | 51          | 52    | 69    | 80                 | 16    | 30    |
| G08   | 85          | 99    | 187   | 61                 | 42    | 46    |
| G09   | 952         | 1,188 | 1,267 | 817                | 429   | 599   |
| G10   | 112         | 96    | 103   | 105                | 68    | 66    |
| G11   | 1,726       | 1,956 | 1,982 | 1,353              | 1,030 | 1,207 |
| G12   | 5           | 9     | 8     | 4                  | 5     | 4     |
| G21   | 28          | 24    | 26    | 30                 | 4     | 19    |
| H01   | 6,843       | 7,323 | 8,116 | 5,764              | 4,563 | 4,970 |
| H02   | 544         | 647   | 801   | 473                | 261   | 269   |
| H03   | 556         | 699   | 746   | 477                | 388   | 268   |
| H04   | 2,921       | 3,553 | 4,013 | 2,112              | 2,125 | 2,293 |
| H05   | 1,118       | 1,491 | 1,861 | 786                | 715   | 861   |
| X     | 201         | 357   | 435   | 0                  | 0     | 0     |

Note: 1. Patent application figures, in consideration of the time period differentiated between application collection and classification, may not be available by the publication deadline for the annual report. Therefore, figures from prior three years are used as the basis of the said statistics.  
2. "Certificate Issued" is the number of certificates actually being issued.

## 2. Utility Model Applications and Certificates Issued by Classification (2004-2006)

| Class | Application |       |       | Certificate Issued |       |       |
|-------|-------------|-------|-------|--------------------|-------|-------|
|       | 2003        | 2004  | 2005  | 2004               | 2005  | 2006  |
| A01   | 442         | 442   | 526   | 546                | 650   | 425   |
| A21   | 31          | 50    | 45    | 44                 | 69    | 41    |
| A22   | 10          | 2     | 9     | 11                 | 5     | 7     |
| A23   | 80          | 82    | 112   | 110                | 130   | 82    |
| A24   | 10          | 19    | 19    | 18                 | 23    | 16    |
| A41   | 139         | 210   | 354   | 163                | 361   | 291   |
| A42   | 73          | 96    | 86    | 105                | 124   | 68    |
| A43   | 185         | 231   | 217   | 247                | 311   | 176   |
| A44   | 138         | 127   | 126   | 220                | 153   | 107   |
| A45   | 319         | 385   | 381   | 534                | 541   | 337   |
| A46   | 44          | 46    | 37    | 55                 | 55    | 29    |
| A47   | 1,701       | 1,760 | 2,004 | 2,285              | 2,606 | 1,677 |
| A61   | 1,022       | 1,059 | 1,005 | 1,246              | 1,425 | 852   |
| A62   | 139         | 74    | 83    | 114                | 110   | 70    |
| A63   | 952         | 848   | 811   | 1,265              | 1,158 | 677   |
| B01   | 195         | 212   | 231   | 230                | 325   | 178   |
| B02   | 31          | 32    | 30    | 44                 | 49    | 27    |
| B03   | 4           | 8     | 6     | 5                  | 11    | 4     |
| B04   | 4           | 4     | 3     | 4                  | 7     | 0     |
| B05   | 172         | 147   | 181   | 207                | 225   | 134   |
| B06   | 1           | 2     | 2     | 1                  | 4     | 1     |
| B07   | 38          | 27    | 26    | 46                 | 41    | 20    |
| B08   | 43          | 31    | 41    | 46                 | 57    | 36    |
| B09   | 10          | 6     | 8     | 17                 | 9     | 6     |
| B21   | 101         | 78    | 95    | 142                | 110   | 107   |
| B22   | 31          | 23    | 16    | 46                 | 24    | 9     |
| B23   | 358         | 362   | 362   | 471                | 528   | 309   |
| B24   | 104         | 94    | 139   | 126                | 152   | 115   |
| B25   | 643         | 530   | 474   | 803                | 754   | 459   |
| B26   | 123         | 132   | 137   | 239                | 183   | 123   |
| B27   | 73          | 88    | 117   | 97                 | 135   | 98    |
| B28   | 13          | 18    | 21    | 24                 | 24    | 19    |
| B29   | 226         | 197   | 173   | 339                | 284   | 174   |
| B30   | 22          | 14    | 14    | 29                 | 21    | 21    |
| B31   | 37          | 21    | 13    | 38                 | 26    | 21    |
| B32   | 70          | 72    | 104   | 92                 | 109   | 97    |
| B41   | 113         | 101   | 101   | 173                | 162   | 91    |
| B42   | 101         | 98    | 103   | 142                | 142   | 74    |
| B43   | 134         | 115   | 123   | 220                | 163   | 101   |
| B44   | 58          | 68    | 68    | 66                 | 95    | 50    |
| B60   | 825         | 917   | 1,026 | 983                | 1,346 | 847   |
| B61   | 8           | 1     | 3     | 6                  | 3     | 6     |
| B62   | 693         | 598   | 613   | 989                | 850   | 529   |

| Class | Application |       |       | Certificate Issued |       |       |
|-------|-------------|-------|-------|--------------------|-------|-------|
|       | 2003        | 2004  | 2005  | 2004               | 2005  | 2006  |
| B63   | 38          | 45    | 55    | 76                 | 73    | 44    |
| B64   | 9           | 8     | 8     | 11                 | 10    | 6     |
| B65   | 1,012       | 1,077 | 1,178 | 1,371              | 1,549 | 1,015 |
| B66   | 64          | 43    | 62    | 89                 | 74    | 65    |
| B67   | 28          | 23    | 21    | 46                 | 34    | 15    |
| B68   | 3           | 3     | 0     | 4                  | 2     | 1     |
| B81   | 7           | 2     | 5     | 11                 | 4     | 4     |
| B82   | 1           | 1     | 0     | 1                  | 1     | 0     |
| C01   | 8           | 10    | 10    | 11                 | 14    | 5     |
| C02   | 61          | 72    | 65    | 86                 | 103   | 51    |
| C03   | 7           | 17    | 16    | 16                 | 22    | 8     |
| C04   | 1           | 3     | 5     | 1                  | 2     | 4     |
| C05   | 4           | 0     | 3     | 3                  | 3     | 1     |
| C06   | 1           | 1     | 2     | 1                  | 2     | 1     |
| C07   | 1           | 0     | 0     | 1                  | 0     | 0     |
| C08   | 3           | 3     | 6     | 3                  | 6     | 0     |
| C09   | 9           | 6     | 19    | 9                  | 14    | 8     |
| C10   | 0           | 6     | 2     | 1                  | 7     | 2     |
| C11   | 7           | 9     | 10    | 8                  | 12    | 3     |
| C12   | 16          | 7     | 12    | 30                 | 11    | 13    |
| C13   | 2           | 1     | 0     | 2                  | 1     | 0     |
| C14   | 0           | 1     | 1     | 0                  | 1     | 4     |
| C21   | 6           | 2     | 3     | 9                  | 4     | 3     |
| C22   | 0           | 1     | 2     | 1                  | 1     | 3     |
| C23   | 7           | 17    | 12    | 15                 | 22    | 7     |
| C25   | 27          | 29    | 22    | 36                 | 42    | 20    |
| C30   | 1           | 1     | 1     | 2                  | 1     | 5     |
| D01   | 15          | 19    | 13    | 15                 | 23    | 10    |
| D02   | 7           | 5     | 3     | 10                 | 6     | 2     |
| D03   | 14          | 15    | 20    | 18                 | 23    | 17    |
| D04   | 71          | 40    | 52    | 76                 | 74    | 53    |
| D05   | 44          | 42    | 53    | 67                 | 65    | 65    |
| D06   | 91          | 79    | 89    | 100                | 110   | 83    |
| D07   | 3           | 3     | 4     | 5                  | 5     | 1     |
| D21   | 5           | 10    | 7     | 10                 | 7     | 6     |
| E01   | 52          | 45    | 65    | 55                 | 60    | 61    |
| E02   | 57          | 45    | 57    | 67                 | 67    | 51    |
| E03   | 123         | 140   | 134   | 142                | 200   | 97    |
| E04   | 440         | 450   | 464   | 525                | 614   | 368   |
| E05   | 455         | 409   | 415   | 537                | 576   | 347   |
| E06   | 357         | 343   | 398   | 415                | 512   | 382   |
| E21   | 7           | 1     | 1     | 8                  | 1     | 5     |
| E99   | 0           | 0     | 1     | -                  | -     | 0     |

| Class | Application |       |       | Certificate Issued |       |       |
|-------|-------------|-------|-------|--------------------|-------|-------|
|       | 2003        | 2004  | 2005  | 2004               | 2005  | 2006  |
| F01   | 58          | 49    | 52    | 95                 | 61    | 64    |
| F02   | 80          | 97    | 103   | 109                | 137   | 97    |
| F03   | 21          | 38    | 45    | 19                 | 56    | 49    |
| F04   | 244         | 213   | 201   | 346                | 304   | 196   |
| F15   | 20          | 4     | 11    | 24                 | 7     | 4     |
| F16   | 735         | 737   | 853   | 1,000              | 1,099 | 740   |
| F17   | 30          | 29    | 28    | 46                 | 41    | 22    |
| F21   | 261         | 300   | 370   | 496                | 454   | 334   |
| F22   | 5           | 5     | 2     | 4                  | 7     | 1     |
| F23   | 121         | 149   | 132   | 185                | 203   | 95    |
| F24   | 338         | 366   | 459   | 438                | 598   | 387   |
| F25   | 42          | 71    | 58    | 76                 | 80    | 64    |
| F26   | 13          | 14    | 18    | 20                 | 18    | 21    |
| F27   | 6           | 4     | 10    | 3                  | 9     | 8     |
| F28   | 48          | 32    | 71    | 70                 | 65    | 47    |
| F41   | 68          | 98    | 120   | 81                 | 158   | 66    |
| F42   | 19          | 12    | 13    | 43                 | 21    | 17    |
| G01   | 381         | 339   | 404   | 545                | 488   | 372   |
| G02   | 416         | 429   | 482   | 609                | 623   | 391   |
| G03   | 126         | 129   | 123   | 162                | 186   | 110   |
| G04   | 44          | 33    | 38    | 55                 | 42    | 44    |
| G05   | 31          | 34    | 38    | 39                 | 48    | 37    |
| G06   | 1,338       | 1,142 | 1,167 | 2,157              | 1,613 | 987   |
| G07   | 64          | 67    | 64    | 74                 | 100   | 55    |
| G08   | 82          | 86    | 104   | 104                | 120   | 96    |
| G09   | 208         | 264   | 217   | 294                | 335   | 174   |
| G10   | 62          | 70    | 64    | 85                 | 89    | 50    |
| G11   | 240         | 250   | 247   | 380                | 346   | 194   |
| G12   | 10          | 8     | 8     | 12                 | 15    | 7     |
| G21   | 1           | 3     | 0     | 4                  | 2     | 0     |
| H01   | 2,314       | 2,036 | 2,157 | 3,451              | 3,046 | 1,929 |
| H02   | 336         | 279   | 327   | 543                | 438   | 320   |
| H03   | 29          | 38    | 27    | 58                 | 44    | 23    |
| H04   | 815         | 734   | 790   | 1,160              | 1,026 | 678   |
| H05   | 864         | 785   | 826   | 1,165              | 1,129 | 802   |
| X     | 0           | 20    | 188   | 0                  | 0     | 0     |

Note: 1. Patent application figures, in consideration of the time period differentiated between application collection and classification, may not be available by the publication deadline for the annual report. Therefore, figures from prior three years are used as the basis of the said statistics.

2. "Certificate Issued" is the number of certificates actually being issued.



### 3. Design Applications and Certificates Issued by Classification (2004-2006)

| Class | Application |       |       | Certificate Issued |       |       |
|-------|-------------|-------|-------|--------------------|-------|-------|
|       | 2003        | 2004  | 2005  | 2004               | 2005  | 2006  |
| 01    | 15          | 30    | 44    | 4                  | 18    | 13    |
| 02    | 210         | 251   | 191   | 177                | 187   | 138   |
| 03    | 130         | 126   | 179   | 124                | 95    | 117   |
| 04    | 67          | 58    | 32    | 90                 | 66    | 20    |
| 05    | 41          | 54    | 77    | 28                 | 28    | 79    |
| 06    | 454         | 388   | 375   | 320                | 258   | 287   |
| 07    | 304         | 267   | 328   | 266                | 175   | 179   |
| 08    | 507         | 564   | 537   | 411                | 432   | 342   |
| 09    | 523         | 507   | 453   | 430                | 351   | 342   |
| 10    | 234         | 266   | 229   | 213                | 217   | 209   |
| 11    | 128         | 112   | 147   | 96                 | 62    | 89    |
| 12    | 452         | 637   | 689   | 483                | 490   | 510   |
| 13    | 585         | 669   | 564   | 553                | 540   | 431   |
| 14    | 1,362       | 1,725 | 1,585 | 1,457              | 1,355 | 1,338 |
| 15    | 264         | 255   | 316   | 295                | 221   | 237   |
| 16    | 312         | 363   | 350   | 332                | 312   | 281   |
| 17    | 11          | 6     | 11    | 13                 | 2     | 4     |
| 18    | 17          | 20    | 15    | 20                 | 15    | 15    |
| 19    | 289         | 281   | 215   | 241                | 230   | 184   |
| 20    | 84          | 147   | 67    | 46                 | 72    | 31    |
| 21    | 332         | 282   | 293   | 294                | 195   | 178   |
| 22    | 37          | 27    | 21    | 34                 | 23    | 18    |
| 23    | 650         | 547   | 476   | 615                | 434   | 333   |
| 24    | 129         | 98    | 101   | 115                | 92    | 82    |
| 25    | 174         | 177   | 196   | 162                | 157   | 111   |
| 26    | 282         | 343   | 457   | 253                | 248   | 313   |
| 27    | 15          | 13    | 39    | 9                  | 17    | 22    |
| 28    | 263         | 244   | 211   | 258                | 197   | 163   |
| 29    | 8           | 5     | 11    | 6                  | 5     | 6     |
| 30    | 23          | 19    | 26    | 23                 | 12    | 14    |
| 31    | 38          | 37    | 42    | 41                 | 31    | 31    |
| 32    | 0           | 0     | 0     | 0                  | 0     | 0     |

| Class | Application |      |      | Certificate Issued |      |      |
|-------|-------------|------|------|--------------------|------|------|
|       | 2003        | 2004 | 2005 | 2004               | 2005 | 2006 |
| 33    | 0           | 0    | 0    | 2                  | 0    | 0    |
| 34    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 35    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 36    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 37    | 0           | 0    | 0    | 1                  | 0    | 0    |
| 38    | 0           | 0    | 0    | 6                  | 0    | 0    |
| 39    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 40    | 0           | 0    | 0    | 1                  | 0    | 0    |
| 41    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 42    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 43    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 44    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 45    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 46    | 0           | 0    | 0    | 1                  | 0    | 0    |
| 47    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 48    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 49    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 50    | 0           | 0    | 0    | 0                  | 0    | 0    |
| 99    | 101         | 68   | 56   | 59                 | 43   | 46   |
| x     | 0           | 59   | 42   | 0                  | 0    | 0    |

- Note: 1. Patent application figures, in consideration of the time period differentiated between application collection and classification, may not be available by the publication deadline for the annual report. Therefore, figures from prior three years are used as the basis of the said statistics.
2. Prior to January 1, 2002, applications were classified by items under the design patent classification system. After January 1, 2002, classifications follow that of the International Classification for Industrial Design System.
3. "Certificate Issued" is the number of certificates actually being issued.

#### 4. Patent Applications by Nationality (2006)

| Nationality               | Application |               |        |        |            |
|---------------------------|-------------|---------------|--------|--------|------------|
|                           | Invention   | Utility Model | Design | Total  | Percentage |
| TAIWAN, REPUBLIC OF CHINA | 21,365      | 22,674        | 4,587  | 48,626 | 60.04      |
| JAPAN                     | 11,582      | 80            | 1,371  | 13,033 | 16.09      |
| UNITED STATES OF AMERICA  | 8,640       | 212           | 605    | 9,457  | 11.68      |
| REPUBLIC OF KOREA         | 2,210       | 15            | 100    | 2,325  | 2.87       |
| GERMANY                   | 1,485       | 14            | 167    | 1,666  | 2.06       |
| NETHERLANDS               | 1,138       | 2             | 59     | 1,199  | 1.48       |
| SWITZERLAND               | 676         | 3             | 109    | 788    | 0.97       |
| FRANCE                    | 394         | 0             | 89     | 483    | 0.60       |
| UNITED KINGDOM            | 387         | 11            | 29     | 427    | 0.53       |
| SWEDEN                    | 337         | 0             | 40     | 377    | 0.47       |
| CHINA                     | 143         | 106           | 43     | 292    | 0.36       |
| ITALY                     | 181         | 0             | 93     | 274    | 0.34       |
| SINGAPORE                 | 206         | 8             | 40     | 254    | 0.31       |
| FINLAND                   | 199         | 0             | 46     | 245    | 0.30       |
| BRITISH VIRGIN ISLANDS    | 81          | 26            | 47     | 154    | 0.19       |
| CANADA                    | 133         | 8             | 10     | 151    | 0.19       |
| HONG KONG                 | 58          | 57            | 27     | 142    | 0.18       |
| BELGIUM                   | 126         | 0             | 4      | 130    | 0.16       |
| AUSTRALIA                 | 86          | 6             | 10     | 102    | 0.13       |
| ISRAEL                    | 70          | 0             | 6      | 76     | 0.09       |
| SPAIN                     | 55          | 2             | 10     | 67     | 0.08       |
| DENMARK                   | 42          | 1             | 19     | 62     | 0.08       |
| BARBADOS                  | 57          | 0             | 1      | 58     | 0.07       |
| IRELAND                   | 49          | 0             | 8      | 57     | 0.07       |
| VIRGIN ISLAND, U.S.       | 49          | 0             | 0      | 49     | 0.06       |
| AUSTRIA                   | 41          | 0             | 5      | 46     | 0.06       |
| CAYMAN ISLANDS            | 37          | 3             | 0      | 40     | 0.05       |
| LIECHTENSTEIN             | 15          | 0             | 22     | 37     | 0.05       |
| LUXEMBOURG                | 34          | 0             | 1      | 35     | 0.04       |

## ►► Annual Statistics

| Nationality  | Application   |               |              |               |               |
|--------------|---------------|---------------|--------------|---------------|---------------|
|              | Invention     | Utility Model | Design       | Total         | Percentage    |
| MALAYSIA     | 13            | 5             | 10           | 28            | 0.03          |
| INDIA        | 23            | 2             | 3            | 28            | 0.03          |
| BERMUDA      | 23            | 1             | 0            | 24            | 0.03          |
| NEW ZEALAND  | 12            | 0             | 4            | 16            | 0.02          |
| THAILAND     | 4             | 1             | 7            | 12            | 0.01          |
| SAMOA        | 6             | 5             | 0            | 11            | 0.01          |
| BRAZIL       | 8             | 0             | 2            | 10            | 0.01          |
| MAURITIUS    | 5             | 4             | 1            | 10            | 0.01          |
| NORWAY       | 8             | 0             | 1            | 9             | 0.01          |
| SOUTH AFRICA | 7             | 1             | 1            | 9             | 0.01          |
| BRUNEI       | 6             | 2             | 0            | 8             | 0.01          |
| HUNGARY      | 6             | 0             | 1            | 7             | 0.01          |
| BAHAMAS      | 1             | 0             | 4            | 5             | 0.01          |
| OTHERS       | 113           | 30            | 16           | 159           | 0.20          |
| <b>TOTAL</b> | <b>50,111</b> | <b>23,279</b> | <b>7,598</b> | <b>80,988</b> | <b>100.00</b> |

Note: 1. The order of placement is arranged by the number of total, from most to least.  
 2. Countries with fewer than 5 applications are listed as "OTHERS".

## 5. Pre-Grant Publications by Nationality (2006)

| Nationality               | Pre-Grant Publications | Percentage | Nationality        | Pre-Grant Publications | Percentage    |
|---------------------------|------------------------|------------|--------------------|------------------------|---------------|
| TAIWAN, REPUBLIC OF CHINA | 17,577                 | 39.25      | BARBADOS           | 35                     | 0.08          |
| JAPAN                     | 11,317                 | 25.27      | DENMARK            | 32                     | 0.07          |
| UNITED STATES OF AMERICA  | 8,309                  | 18.56      | HONG KONG          | 31                     | 0.07          |
| REPUBLIC OF KOREA         | 2,024                  | 4.52       | INDIA              | 26                     | 0.06          |
| GERMANY                   | 1,359                  | 3.03       | NEW ZEALAND        | 24                     | 0.05          |
| NETHERLANDS               | 1,190                  | 2.66       | LIECHTENSTEIN      | 18                     | 0.04          |
| SWITZERLAND               | 598                    | 1.34       | LUXEMBOURG         | 17                     | 0.04          |
| FRANCE                    | 312                    | 0.70       | HUNGARY            | 16                     | 0.04          |
| UNITED KINGDOM            | 284                    | 0.63       | CAYMAN ISLANDS     | 15                     | 0.03          |
| FINLAND                   | 207                    | 0.46       | BRUNEI             | 15                     | 0.03          |
| SWEDEN                    | 196                    | 0.44       | BERMUDA            | 15                     | 0.03          |
| SINGAPORE                 | 194                    | 0.43       | BRAZIL             | 10                     | 0.02          |
| CANADA                    | 173                    | 0.39       | MACAO              | 8                      | 0.02          |
| ITALY                     | 150                    | 0.33       | NORWAY             | 7                      | 0.02          |
| BELGIUM                   | 115                    | 0.26       | SOUTH AFRICA       | 7                      | 0.02          |
| CHINA                     | 108                    | 0.24       | MALAYSIA           | 7                      | 0.02          |
| AUSTRALIA                 | 70                     | 0.16       | RUSSIAN FEDERATION | 7                      | 0.02          |
| AUSTRIA                   | 65                     | 0.14       | BAHAMAS            | 6                      | 0.01          |
| ISRAEL                    | 55                     | 0.12       | GREECE             | 5                      | 0.01          |
| BRITISH VIRGIN ISLANDS    | 48                     | 0.11       | OTHERS             | 45                     | 0.10          |
| SPAIN                     | 41                     | 0.09       |                    |                        |               |
| IRELAND                   | 40                     | 0.09       | <b>TOTAL</b>       | <b>44,778</b>          | <b>100.00</b> |

Note: 1. The order of placement is arranged by the number of total, from most to least.  
2. Countries with fewer than five pre-grant publications are listed as "Others."

## 6. Certificates Issued by Nationality (2006)

| Nationality               | Certificate Issued |               |        |        |            |
|---------------------------|--------------------|---------------|--------|--------|------------|
|                           | Invention          | Utility Model | Design | Total  | Percentage |
| TAIWAN, REPUBLIC OF CHINA | 11,483             | 19,265        | 3,507  | 34,255 | 69.46      |
| JAPAN                     | 6,321              | 84            | 1,413  | 7,818  | 15.85      |
| UNITED STATES OF AMERICA  | 2,830              | 220           | 392    | 3,442  | 6.98       |
| REPUBLIC OF KOREA         | 1,034              | 12            | 58     | 1,104  | 2.24       |
| GERMANY                   | 490                | 11            | 131    | 632    | 1.28       |
| NETHERLANDS               | 272                | 2             | 64     | 338    | 0.69       |
| SWITZERLAND               | 187                | 1             | 95     | 283    | 0.57       |
| FRANCE                    | 109                | 0             | 127    | 236    | 0.48       |
| CHINA                     | 64                 | 101           | 30     | 195    | 0.40       |
| ITALY                     | 43                 | 4             | 84     | 131    | 0.27       |
| UNITED KINGDOM            | 79                 | 6             | 27     | 112    | 0.23       |
| FINLAND                   | 43                 | 0             | 37     | 80     | 0.16       |
| HONG KONG                 | 24                 | 31            | 24     | 79     | 0.16       |
| SINGAPORE                 | 48                 | 4             | 21     | 73     | 0.15       |
| SWEDEN                    | 31                 | 1             | 29     | 61     | 0.12       |
| BRITISH VIRGIN ISLANDS    | 11                 | 25            | 23     | 59     | 0.12       |
| CANADA                    | 47                 | 3             | 6      | 56     | 0.11       |
| AUSTRIA                   | 24                 | 0             | 5      | 29     | 0.06       |
| CAYMAN ISLANDS            | 23                 | 3             | 1      | 27     | 0.05       |
| BELGIUM                   | 23                 | 1             | 2      | 26     | 0.05       |
| LIECHTENSTEIN             | 4                  | 0             | 21     | 25     | 0.05       |
| SPAIN                     | 13                 | 3             | 6      | 22     | 0.04       |
| MALAYSIA                  | 3                  | 6             | 12     | 21     | 0.04       |
| DENMARK                   | 4                  | 0             | 17     | 21     | 0.04       |
| AUSTRALIA                 | 15                 | 2             | 3      | 20     | 0.04       |
| LUXEMBOURG                | 15                 | 0             | 0      | 15     | 0.03       |

| Nationality           | Certificate Issued |               |              |               |               |
|-----------------------|--------------------|---------------|--------------|---------------|---------------|
|                       | Invention          | Utility Model | Design       | Total         | Percentage    |
| ISRAEL                | 14                 | 0             | 0            | 14            | 0.03          |
| NORWAY                | 5                  | 0             | 9            | 14            | 0.03          |
| BRUNEI                | 7                  | 5             | 0            | 12            | 0.02          |
| INDIA                 | 3                  | 2             | 5            | 10            | 0.02          |
| SAMOA                 | 1                  | 9             | 0            | 10            | 0.02          |
| MAURITIUS             | 2                  | 1             | 5            | 8             | 0.02          |
| BERMUDA               | 6                  | 1             | 0            | 7             | 0.01          |
| BAHAMAS               | 3                  | 1             | 1            | 5             | 0.01          |
| RUSSIAN<br>FEDERATION | 2                  | 3             | 0            | 5             | 0.01          |
| OTHERS                | 41                 | 21            | 8            | 70            | 0.14          |
| <b>TOTAL</b>          | <b>23,324</b>      | <b>19,828</b> | <b>6,163</b> | <b>49,315</b> | <b>100.00</b> |

Note: 1. The order of placement is arranged by the number of total, from most to least.  
2. Countries with fewer than five certificates are listed as "Others."

## 7. Residents Patent Applications in 2006 (Top 20)

| Rank | Applicant                                    | Number of Application |               |        |       |
|------|--|-----------------------|---------------|--------|-------|
|      |  | Invention             | Utility Model | Design | Total |
| 1    | HON HAI PRECISION INDUSTRY CO., LTD.         | 1,169                 | 508           | 153    | 1,830 |
| 2    | INDUSTRIAL TECHNOLOGY RESEARCH INSTITUTE     | 853                   | 16            | 4      | 873   |
| 3    | INVENTEC CORPORATION                         | 600                   | 194           | 25     | 819   |
| 4    | AU OPTRONICS CORP.                           | 507                   | 4             | 0      | 511   |
| 5    | BENQ CORPORATION                             | 448                   | 1             | 9      | 458   |
| 6    | TAIWAN SEMICONDUCTOR MANUFACTURING CO., LTD. | 442                   | 0             | 0      | 442   |
| 7    | MITAC INTERNATIONAL CORP.                    | 281                   | 26            | 43     | 350   |
| 8    | INNOLUX DISPLAY CORP.                        | 299                   | 26            | 5      | 330   |
| 9    | VIA TECHNOLOGIES, INC.                       | 312                   | 1             | 0      | 313   |
| 10   | DELTA ELECTRONICS, INC.                      | 260                   | 18            | 12     | 290   |
| 11   | INVENTEC APPLIANCES CORP.                    | 180                   | 57            | 40     | 277   |
| 12   | ASUSTEK COMPUTER INC.                        | 247                   | 0             | 4      | 251   |
| 13   | ADVANCED SEMICONDUCTOR ENGINEERING, INC.     | 247                   | 0             | 1      | 248   |
| 14   | MEDIATEK INC.                                | 245                   | 0             | 0      | 245   |
| 15   | CHUNGHWA PICTURE TUBES, LTD.                 | 234                   | 3             | 1      | 238   |
| 16   | FOXCONN TECHNOLOGY CO., LTD.                 | 235                   | 0             | 2      | 237   |
| 17   | REALTEK SEMICONDUCTOR CORP.                  | 234                   | 0             | 0      | 234   |
| 18   | MACRONIX INTERNATIONAL CO., LTD.             | 194                   | 0             | 0      | 194   |
| 19   | CHENG UEI PRECISION INDUSTRY CO., LTD.       | 4                     | 111           | 76     | 191   |
| 20   | CHI MEI OPTOELECTRONICS CORP.                | 189                   | 0             | 0      | 189   |

Note: Applicants with the same total of patent applications are given the same ranking, but the order of placement is arranged by the number of invention patents, from most to least.



## 8. Residents Certificate Issued in 2006 (Top 20)

| Rank | Applicant                                    | Number of Certificate Issued |               |        |       |
|------|--|------------------------------|---------------|--------|-------|
|      |  | Invention                    | Utility Model | Design | Total |
| 1    | HON HAI PRECISION INDUSTRY CO., LTD.         | 355                          | 368           | 175    | 898   |
| 2    | INDUSTRIAL TECHNOLOGY RESEARCH INSTITUTE     | 565                          | 18            | 0      | 583   |
| 3    | INVENTEC CORPORATION                         | 182                          | 291           | 20     | 493   |
| 4    | TAIWAN SEMICONDUCTOR MANUFACTURING CO., LTD. | 463                          | 0             | 0      | 463   |
| 5    | BENQ CORPORATION                             | 315                          | 0             | 32     | 347   |
| 6    | AU OPTRONICS CORP.                           | 302                          | 1             | 0      | 303   |
| 7    | ADVANCED SEMICONDUCTOR ENGINEERING, INC.     | 258                          | 0             | 5      | 263   |
| 8    | HANNSPREE, INC.                              | 4                            | 6             | 218    | 228   |
| 9    | VIA TECHNOLOGIES, INC.                       | 217                          | 1             | 0      | 218   |
| 10   | FAR EAST COLLEGE                             | 20                           | 182           | 0      | 202   |
| 11   | INVENTEC APPLIANCES CORP.                    | 114                          | 46            | 27     | 187   |
| 12   | MITAC INTERNATIONAL CORP.                    | 160                          | 3             | 14     | 177   |
| 12   | DELTA ELECTRONICS, INC.                      | 148                          | 19            | 10     | 177   |
| 14   | MACRONIX INTERNATIONAL CO., LTD.             | 136                          | 0             | 0      | 136   |
| 15   | TATUNG SYSTEM TECHNOLOGIES INC.              | 86                           | 24            | 24     | 134   |
| 16   | UNITED MICROELECTRONICS CORP.                | 123                          | 6             | 0      | 129   |
| 17   | ASIA OPTICAL CO., INC.                       | 106                          | 16            | 5      | 127   |
| 18   | CHUNGHWA PICTURE TUBES, LTD.                 | 119                          | 0             | 6      | 125   |
| 19   | ASUSTEK COMPUTER INC.                        | 111                          | 0             | 6      | 117   |
| 20   | MEDIATEK INC.                                | 111                          | 0             | 0      | 111   |

Note: 1. The above figures are the number of published certificates in 2006.

2. Applicants with the same total of certificate issued are given the same ranking, but the order of placement is arranged by the number of invention patents, from most to least.

## 9. Non-Residents Patent Application in 2006 (Top 20)

| Rank | Applicant                                | Number of Application |               |        |       |
|------|--|-----------------------|---------------|--------|-------|
|      |  | Invention             | Utility Model | Design | Total |
| 1    | KONINKLIJKE PHILIPS ELECTRONICS N.V.     | 802                   | 1             | 47     | 850   |
| 2    | SAMSUNG ELECTRONICS CO., LTD.            | 700                   | 3             | 44     | 747   |
| 3    | SONY CORPORATION                         | 426                   | 0             | 67     | 493   |
| 4    | QUALCOMM INCORPORATED                    | 456                   | 0             | 8      | 464   |
| 5    | INTERNATIONAL BUSINESS MACHINES CORP.    | 456                   | 0             | 0      | 456   |
| 6    | INTERDIGITAL TECHNOLOGY CORP.            | 419                   | 36            | 0      | 455   |
| 7    | SEIKO EPSON CORPORATION                  | 391                   | 1             | 31     | 423   |
| 8    | MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD. | 323                   | 1             | 50     | 374   |
| 9    | 3M INNOVATIVE PROPERTIES COMPANY         | 321                   | 0             | 22     | 343   |
| 10   | TOKYO ELECTRON LIMITED                   | 305                   | 0             | 30     | 335   |
| 11   | INTEL CORPORATION                        | 286                   | 1             | 0      | 287   |
| 12   | LG CHEMICAL LTD.                         | 275                   | 0             | 0      | 275   |
| 12   | APPLIED MATERIALS, INC.                  | 259                   | 16            | 0      | 275   |
| 14   | KABUSHIKI KAISHA TOSHIBA CORP.           | 235                   | 2             | 37     | 274   |
| 15   | SANYO ELECTRIC CO., LTD.                 | 227                   | 0             | 12     | 239   |
| 16   | NOKIA CORPORATION                        | 181                   | 0             | 44     | 225   |
| 17   | FUJITSU LIMITED                          | 218                   | 0             | 4      | 222   |
| 18   | FUJI PHOTO FILM CO., LTD.                | 215                   | 0             | 6      | 221   |
| 19   | SHARP CORPORATION                        | 171                   | 0             | 43     | 214   |
| 20   | FREESCALE SEMICONDUCTOR, INC.            | 191                   | 0             | 0      | 191   |

Note: Applicants with the same total of patent applications are given the same ranking, but the order of placement is arranged by the number of invention patents, from most to least.

## 10. Non-Residents Certificate Issued in 2006 (Top 20)

| Rank | Applicant                                | Number of Certificate Issued |               |        |       |
|------|--|------------------------------|---------------|--------|-------|
|      |  | Invention                    | Utility Model | Design | Total |
| 1    | SONY CORPORATION                         | 360                          | 0             | 41     | 401   |
| 2    | INTEL CORPORATION                        | 364                          | 0             | 0      | 364   |
| 3    | SEIKO EPSON CORPORATION                  | 340                          | 2             | 13     | 355   |
| 4    | SAMSUNG ELECTRONICS CO., LTD.            | 323                          | 4             | 2      | 329   |
| 5    | SHARP KABUSHIKI KAISHA                   | 267                          | 0             | 15     | 282   |
| 6    | KABUSHIKI KAISHA TOSHIBA                 | 251                          | 0             | 27     | 278   |
| 6    | INTERDIGITAL TECHNOLOGY CORPORATION      | 218                          | 60            | 0      | 278   |
| 8    | SANYO ELECTRIC CO., LTD.                 | 257                          | 0             | 12     | 269   |
| 9    | MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD. | 160                          | 1             | 88     | 249   |
| 10   | HYNIX SEMICONDUCTOR INC.                 | 227                          | 0             | 0      | 227   |
| 11   | HONDA GIKEN KOGYO KABUSHIKI KAISHA       | 126                          | 1             | 85     | 212   |
| 12   | TOYOTA JIDOSHA KABUSHIKI KAISHA          | 3                            | 0             | 162    | 165   |
| 13   | FUJITSU LIMITED                          | 144                          | 0             | 2      | 146   |
| 13   | INTERNATIONAL BUSINESS MACHINES CORP.    | 142                          | 0             | 4      | 146   |
| 15   | HITACHI, LTD.                            | 121                          | 0             | 2      | 123   |
| 16   | ASML NETHERLANDS B.V.                    | 121                          | 0             | 0      | 121   |
| 16   | TDK CORPORATION                          | 117                          | 1             | 3      | 121   |
| 18   | mitsubishi denki kabushiki kaisha        | 116                          | 0             | 1      | 117   |
| 19   | TOKYO ELECTRON LIMITED                   | 103                          | 0             | 9      | 112   |
| 20   | SHIMANO INC.                             | 92                           | 0             | 18     | 110   |

Note: 1. The above figures are the number of published certificates in 2006.

2. Applicants with the same total of certificate issued are given the same ranking, but the order of placement is arranged by the number of invention patents, from most to least.

## 11. Certificates Issued by Type of Industry

| Type of Industry                                   | Corresponding International Patent Classification   | Residents Application |               | Non-Residents Application |               | Total              |            |
|--|---|-----------------------|---------------|---------------------------|---------------|--------------------|------------|
|  |   | Invention             | Utility Model | Invention                 | Utility Model | No. of Application | Percentage |
| Agriculture, Forest, Fishery & Animal Husbandry    | A01, (exclude A01H, A01K67, A01N)   | 50                    | 416           | 48                        | 6             | 520                | 1.21       |
| Foods & Tobacco                                    | A21-A24   | 38                    | 144           | 78                        | 2             | 262                | 0.61       |
| Domestic Articles                                  | A41-A47   | 187                   | 2,638         | 200                       | 47            | 3,072              | 7.12       |
| Pharmaceutical & Entertainment                     | A61-A63, (exclude A61K&A61P)  | 316                   | 1,540         | 204                       | 55            | 2,115              | 4.90       |
| Biotech  | A01H,A01K67,A01N,A61K 35/66-35/76,38,39,47/42, 48,49/14,49/16,51/08,51/10, A61P,C07K,C12,G01N33 | 88                    | 28            | 169                       | 0             | 285                | 0.66       |
| Preparation for Medical, Dental or Toilet Purposes | A61K (exclude 35/66-35/76, 38,39,47/42,48,49/14,49/16, 51/08,51/10)                             | 47                    | 4             | 245                       | 0             | 296                | 0.69       |
| Separation & Mixing                                | B01-B09   | 152                   | 405           | 215                       | 1             | 773                | 1.79       |
| Working of Metal                                   | B21-B32, (exclude B31)  | 542                   | 1,489         | 474                       | 42            | 2,547              | 5.90       |
| Printing   | B41-B44   | 150                   | 305           | 156                       | 11            | 622                | 1.44       |
| Transporting                                       | B60-B68   | 432                   | 2,457         | 457                       | 71            | 3,417              | 7.92       |
| Micro-structural technology; nano-technology       | B81-B82   | 27                    | 4             | 10                        | 0             | 41                 | 0.10       |
| Inorganic Chemistry, Treatment of Waste Water      | C01-C05,C30   | 145                   | 73            | 232                       | 1             | 451                | 1.05       |
| Organic Chemistry                                  | C07, (exclude C07K)   | 27                    | 0             | 405                       | 0             | 432                | 1.00       |
| Organic Macromolecular Compound                    | C08   | 102                   | 0             | 436                       | 0             | 538                | 1.25       |
| Dyes, Petroleum, Animal or Vegetable Oils          | C09-C11   | 83                    | 13            | 282                       | 0             | 378                | 0.88       |
| Sugar Industries & Pelts/Leather                   | C13-C14   | 1                     | 4             | 2                         | 0             | 7                  | 0.02       |
| Metallurgy, Coating Metallic Material & Alloys     | C21-C23,C25   | 102                   | 30            | 297                       | 3             | 432                | 1.00       |
| Textiles & Flexible Materials                      | D01-D07   | 61                    | 228           | 108                       | 3             | 400                | 0.93       |

| Type of Industry   | Corresponding International Patent Classification | Residents Application |               | Non-Residents Application |               | Total              |               |
|--|---|-----------------------|---------------|---------------------------|---------------|--------------------|---------------|
|  |   | Invention             | Utility Model | Invention                 | Utility Model | No. of Application | Percentage    |
| Paper Making & Making Paper Articles   | D21,B31   | 5                     | 26            | 24                        | 1             | 56                 | 0.13          |
| Fixed Constructions  | E01-E06   | 146                   | 1,293         | 67                        | 13            | 1,519              | 3.52          |
| Mining or Quarrying  | E21   | 3                     | 5             | 2                         | 0             | 10                 | 0.02          |
| Engines and Pumps  | F01-F04   | 105                   | 403           | 119                       | 3             | 630                | 1.46          |
| Hydraulics or Pneumatics in General  | F15-F17   | 231                   | 749           | 106                       | 17            | 1,103              | 2.56          |
| Lighting; Heating  | F21-F28   | 254                   | 945           | 99                        | 12            | 1,310              | 3.04          |
| Weapons; Explosive Charges   | F41-F42,C06                                       | 24                    | 83            | 5                         | 1             | 113                | 0.26          |
| Optics   | G01-G03, (exclude G01N33)                         | 1,314                 | 832           | 1,436                     | 29            | 3,611              | 8.37          |
| Measuring  | G04-G08, (exclude G06F)                           | 346                   | 337           | 207                       | 6             | 896                | 2.08          |
| Semi-Conductor Applications  | G09-G12   | 757                   | 418           | 1,120                     | 7             | 2,302              | 5.33          |
| Nuclear Engineering  | G21   | 3                     | 0             | 16                        | 0             | 19                 | 0.04          |
| Electric Power; Generation, Distribution or Conversion of Electric Power, Electric Heating | H02,H05   | 723                   | 1,098         | 407                       | 24            | 2,252              | 5.22          |
| Basic Electronic Elements  | H01, (exclude H01L)                               | 699                   | 1,558         | 767                       | 94            | 3,118              | 7.23          |
| Semiconductor Devices  | H01L  | 1,744                 | 267           | 1,760                     | 10            | 3,781              | 8.76          |
| Basic Electronic Circuitry ; Electric Communication Technique                              | H03,H04   | 1,433                 | 627           | 1,128                     | 74            | 3,262              | 7.56          |
| Electric Digital Data Processing   | G06F (exclude 17/60)                              | 1,071                 | 831           | 523                       | 28            | 2,453              | 5.68          |
| E-business   | G06F17/60   | 75                    | 15            | 37                        | 2             | 129                | 0.30          |
| <b>Total</b>   |   | <b>11,483</b>         | <b>19,265</b> | <b>11,841</b>             | <b>563</b>    | <b>43,152</b>      | <b>100.00</b> |

## 12. Statistics for Valid Patent Invention & Utility Model

| Classification | Invention | Utility Model | Classification | Invention | Utility Model |
|----------------|-----------|---------------|----------------|-----------|---------------|
| A01            | 1,122     | 2,164         | B30            | 118       | 105           |
| A21            | 97        | 206           | B31            | 64        | 151           |
| A22            | 10        | 36            | B32            | 1,006     | 380           |
| A23            | 537       | 428           | B41            | 1,441     | 738           |
| A24            | 105       | 72            | B42            | 61        | 452           |
| A41            | 132       | 897           | B43            | 100       | 545           |
| A42            | 37        | 348           | B44            | 111       | 233           |
| A43            | 188       | 897           | B60            | 1,264     | 4,013         |
| A44            | 269       | 719           | B61            | 60        | 23            |
| A45            | 144       | 1,596         | B62            | 1,345     | 3,285         |
| A46            | 46        | 165           | B63            | 122       | 261           |
| A47            | 740       | 7,805         | B64            | 33        | 39            |
| A61            | 5,011     | 4,565         | B65            | 1,947     | 5,471         |
| A62            | 87        | 353           | B66            | 385       | 380           |
| A63            | 995       | 3,926         | B67            | 69        | 147           |
| B01            | 1,928     | 1,100         | B68            | 2         | 11            |
| B02            | 89        | 204           | B81            | 184       | 22            |
| B03            | 52        | 36            | B82            | 6         | 2             |
| B04            | 16        | 13            | C01            | 701       | 56            |
| B05            | 553       | 800           | C02            | 396       | 280           |
| B06            | 15        | 6             | C03            | 552       | 59            |
| B07            | 51        | 159           | C04            | 522       | 8             |
| B08            | 238       | 224           | C05            | 41        | 11            |
| B09            | 144       | 54            | C06            | 16        | 7             |
| B21            | 695       | 661           | C07            | 5,078     | 1             |
| B22            | 558       | 124           | C08            | 4,822     | 15            |
| B23            | 1,500     | 2,189         | C09            | 2,142     | 35            |
| B24            | 973       | 642           | C10            | 411       | 14            |
| B25            | 820       | 3,063         | C11            | 305       | 21            |
| B26            | 261       | 789           | C12            | 762       | 53            |
| B27            | 152       | 556           | C13            | 6         | 3             |
| B28            | 115       | 109           | C14            | 20        | 8             |
| B29            | 1,598     | 1,283         | C21            | 361       | 36            |

| Classification | Invention | Utility Model | Classification | Invention      | Utility Model  |
|----------------|-----------|---------------|----------------|----------------|----------------|
| C22            | 542       | 6             | F23            | 383            | 723            |
| C23            | 1,471     | 71            | F24            | 559            | 2,059          |
| C25            | 514       | 153           | F25            | 430            | 335            |
| C30            | 332       | 9             | F26            | 57             | 102            |
| D01            | 514       | 92            | F27            | 111            | 53             |
| D02            | 130       | 29            | F28            | 265            | 282            |
| D03            | 171       | 87            | F41            | 76             | 413            |
| D04            | 432       | 387           | F42            | 32             | 96             |
| D05            | 301       | 366           | G01            | 4,585          | 2,125          |
| D06            | 574       | 468           | G02            | 6,594          | 1,893          |
| D07            | 10        | 20            | G03            | 2,982          | 667            |
| D21            | 275       | 37            | G04            | 205            | 183            |
| E01            | 111       | 229           | G05            | 714            | 218            |
| E02            | 206       | 294           | G06            | 11,930         | 6,475          |
| E03            | 108       | 585           | G07            | 362            | 372            |
| E04            | 614       | 2,253         | G08            | 300            | 432            |
| E05            | 349       | 2,405         | G09            | 3,150          | 1,042          |
| E06            | 186       | 2,075         | G10            | 555            | 364            |
| E21            | 55        | 24            | G11            | 7,646          | 1,297          |
| F01            | 343       | 317           | G12            | 17             | 49             |
| F02            | 636       | 468           | G21            | 300            | 10             |
| F03            | 51        | 128           | H01            | 40,383         | 12,393         |
| F04            | 624       | 1,294         | H02            | 2,455          | 2,044          |
| F15            | 105       | 89            | H03            | 2,953          | 272            |
| F16            | 1,727     | 4,055         | H04            | 12,302         | 3,878          |
| F17            | 140       | 154           | H05            | 4,032          | 4,318          |
| F21            | 321       | 1,446         |                |                |                |
| F22            | 35        | 17            | <b>Total</b>   | <b>157,986</b> | <b>112,707</b> |

Note: 1. The figures above are calculated based on existing patents as of December 31, 2006.  
2. Invention patents and utility model patents are classified by the IPC Classification.

## Design

| Classification | Design | Classification | Design | Classification | Design        |
|----------------|--------|----------------|--------|----------------|---------------|
| 01             | 39     | 19             | 863    | 37             | 284           |
| 02             | 642    | 20             | 186    | 38             | 59            |
| 03             | 403    | 21             | 803    | 39             | 14            |
| 04             | 206    | 22             | 241    | 40             | 48            |
| 05             | 273    | 23             | 1,774  | 41             | 13            |
| 06             | 1,228  | 24             | 580    | 42             | 21            |
| 07             | 763    | 25             | 594    | 43             | 7             |
| 08             | 1,413  | 26             | 1,086  | 44             | 5             |
| 09             | 1,246  | 27             | 167    | 45             | 29            |
| 10             | 1,086  | 28             | 790    | 46             | 402           |
| 11             | 696    | 29             | 42     | 47             | 16            |
| 12             | 1,958  | 30             | 340    | 48             | 28            |
| 13             | 2,359  | 31             | 159    | 49             | 61            |
| 14             | 4,583  | 32             | 276    | 50             | 66            |
| 15             | 1,328  | 33             | 44     | 99             | 167           |
| 16             | 1,038  | 34             | 68     | <b>Total</b>   | <b>29,605</b> |
| 17             | 774    | 35             | 21     |                |               |
| 18             | 248    | 36             | 68     |                |               |

Note: 1. The figures above are calculated based on existing patents as of December 31, 2006.

2. Prior to January 1, 2002, applications were classified by items under the design patent classification system. After January 1, 2002, classifications follow that of the International Classification for Industrial Design System.



## D. Trend Table of Invention Requests for Examination

Number and Percentage of requests for examination (shown by the year of filing)

| Filing Year | Invention Applications (Total Applications In This Year) | In the First Year Since the Filing Date |              | In the Second Year Since the Filing Date |             | In the Third Year Since the Filing Date |             | After the Third Year Since the Filing Date |             | Sum of the Number/ Percentage of Applications Requested for Examination |              |
|-------------|--|---|--------------|--|-------------|---|-------------|--|-------------|---|--------------|
|             |  | Applications                            | Percentage   | Applications                             | Percentage  | Applications                            | Percentage  | Applications                               | Percentage  | Applications  | Percentage   |
| 2002        | 6,221  | 3,430                                   | 55.14        | 390                                      | 6.27        | 1,577                                   | 25.35       | 91   | 1.46        | 5,488   | 86.75        |
| 2003        | 35,823   | 21,962                                  | 61.31        | 1,292                                    | 3.61        | 8,898                                   | 24.84       | 462  | 1.29        | 32,614  | 89.75        |
| 2004        | 41,919   | 25,834                                  | 61.63        | 1,645                                    | 3.92        | 2,910                                   | 6.94        | 166  | 0.40        | 30,555  | 72.49        |
| 2005        | 47,841   | 29,671                                  | 62.02        | 845                                      | 1.77        | 84                                      | 0.18        | 199  | 0.42        | 30,799  | 63.96        |
| <b>2006</b> | <b>50,111</b>  | <b>30,957</b>                           | <b>61.78</b> | <b>68</b>                                | <b>0.14</b> | <b>131</b>                              | <b>0.26</b> | <b>275</b>                                 | <b>0.55</b> | <b>31,431</b>   | <b>62.17</b> |

- Note: 1. The number of requests for examination (including new applications, divisional applications, and conversion applications) refers to the number of requests for examination according to the provisions of Article 37.1 and 2 of the Patent Act of the ROC.
2. Except for the divisional applications and conversion applications conforming to the provisions of Article 33 or 102 of the Patent Act, the invention applications failing to be submitted a request for examination within three years from the filing date are deemed to have been withdrawn.
3. The percentage of requests for examination refers to the percentage of the total number of the requests for examination in each year within three years from the filing dates, divisional and conversion applications divided or converted in 30 days from the filing date according to the provisions of Articles 33 and 102 of the Patent Act, to the total number of new applications for invention.
4. The total number of invention applications in each year, besides the new applications filed in the year, further includes the number of the divisional applications and the number of conversion applications divided or converted in the year but originally filed before the year.
5. The statistics above were calculated on February 13, 2007.

## E. Statistics for IC Layout Applications and Certificates Issued

| Year        | Application | Certificate Issued |
|-------------|-------------|--------------------|
| 1996        | 243         | 40                 |
| 1997        | 148         | 224                |
| 1998        | 125         | 204                |
| 1999        | 135         | 52                 |
| 2000        | 102         | 150                |
| 2001        | 206         | 55                 |
| 2002        | 76          | 91                 |
| 2003        | 53          | 79                 |
| 2004        | 56          | 34                 |
| 2005        | 26          | 93                 |
| <b>2006</b> | <b>63</b>   | <b>28</b>          |

## II. Trademark Cases Filed & Disposed

### A. General Statistics of Trademark Cases (1982-2006)

| Year \ Item | Application   | Registration  | Approval | Rejection    |
|-------------|---------------|---------------|----------|--------------|
| 1982        | 42,819        | 35,102        | 34,835   | 12,656       |
| 1983        | 53,641        | 30,587        | 34,353   | 11,295       |
| 1984        | 62,968        | 39,236        | 41,563   | 21,245       |
| 1985        | 55,973        | 45,026        | 46,301   | 13,472       |
| 1986        | 64,772        | 45,106        | 45,245   | 18,459       |
| 1987        | 69,543        | 40,388        | 39,874   | 22,023       |
| 1988        | 60,788        | 42,114        | 46,467   | 19,063       |
| 1989        | 59,071        | 47,788        | 46,912   | 11,955       |
| 1990        | 56,925        | 44,033        | 45,475   | 14,087       |
| 1991        | 60,500        | 41,195        | 42,460   | 15,402       |
| 1992        | 64,394        | 39,301        | 42,368   | 15,304       |
| 1993        | 64,799        | 50,773        | 53,707   | 12,681       |
| 1994        | 67,641        | 44,287        | 42,114   | 9,870        |
| 1995        | 63,797        | 41,416        | 43,797   | 6,219        |
| 1996        | 67,063        | 44,973        | 50,657   | 7,272        |
| 1997        | 70,502        | 57,541        | 53,973   | 9,306        |
| 1998        | 69,371        | 49,512        | 54,257   | 9,875        |
| 1999        | 73,212        | 60,302        | 56,764   | 7,665        |
| 2000        | 88,002        | 52,954        | 68,168   | 6,543        |
| 2001        | 59,158        | 76,413        | 75,731   | 9,467        |
| 2002        | 61,729        | 70,842        | 64,032   | 9,253        |
| 2003        | 65,907        | 74,572        | 54,335   | 7,451        |
| 2004        | 61,667        | 54,912        | —        | 6,440        |
| 2005        | 63,580        | 55,181        | —        | 7,929        |
| <b>2006</b> | <b>65,457</b> | <b>54,597</b> | <b>—</b> | <b>7,393</b> |

- Note: 1. The figures for "Application" are calculated based on the total number of registration applications collected each year.
2. The figures for "Registration," "Approval," and "Rejection" are calculated based on the total number of cases published each year.
3. The published approval system was abolished after the new Trademark Act took effect on November 28, 2003; a trademark shall be registered and published after the registration fee payment is made.

## B. Statistics for Trademark from 1997 to 2006

### 1. Statistics for Applications

| Year \ Item | Application    |               | Opposition   | Invalidation | Revocation |
|-------------|----------------|---------------|--------------|--------------|------------|
|             | By Application | By Class      |              |              |            |
| 1997        | 70,502         | —             | 1,833        | 570          | 284        |
| 1998        | 69,371         | —             | 1,587        | 563          | 267        |
| 1999        | 73,212         | —             | 1,782        | 503          | 234        |
| 2000        | 88,002         | —             | 1,871        | 511          | 206        |
| 2001        | 59,158         | —             | 2,051        | 439          | 268        |
| 2002        | 61,729         | —             | 2,081        | 577          | 256        |
| 2003        | 65,907         | —             | 1,762        | 644          | 317        |
| 2004        | 61,667         | 72,650        | 1,536        | 440          | 288        |
| 2005        | 63,580         | 76,838        | 1,562        | 537          | 368        |
| <b>2006</b> | <b>65,457</b>  | <b>79,767</b> | <b>1,637</b> | <b>493</b>   | <b>453</b> |

| Year \ Item | Renewal       | License      | Assignment   | Alteration   |
|-------------|---------------|--------------|--------------|--------------|
| 1997        | 21,740        | 3,188        | 5,958        | 7,028        |
| 1998        | 15,283        | 2,542        | 7,376        | 7,558        |
| 1999        | 14,346        | 3,824        | 7,422        | 7,418        |
| 2000        | 19,402        | 2,300        | 8,872        | 7,561        |
| 2001        | 15,284        | 2,549        | 6,935        | 8,650        |
| 2002        | 17,896        | 3,215        | 8,607        | 8,059        |
| 2003        | 21,996        | 2,593        | 11,477       | 8,611        |
| 2004        | 21,559        | 2,056        | 7,796        | 5,451        |
| 2005        | 22,534        | 1,980        | 9,255        | 7,416        |
| <b>2006</b> | <b>26,155</b> | <b>1,864</b> | <b>9,418</b> | <b>8,461</b> |

Note: 1. The figures above reflect the total number of applications.

2. The term "Application" includes applications for certification mark, collective membership mark and collective trademark.

3. The term "License" includes applications for sub-license from 2000 on.

4. The term "Alteration" includes applications for "goods /service reduction" from 2001 on.

5. Beginning from November 28, 2003, application for trademark registration may contains two more classes of goods or services, thus the number of applications and the number of classes are not the same.

## 2. Trademark Opposition

| Year \ Item | Sustained  | Denied     | Others     |
|-------------|------------|------------|------------|
| 1997        | 836        | 573        | 234        |
| 1998        | 862        | 636        | 237        |
| 1999        | 483        | 601        | 186        |
| 2000        | 723        | 763        | 240        |
| 2001        | 1,196      | 817        | 316        |
| 2002        | 1,450      | 593        | 311        |
| 2003        | 896        | 501        | 206        |
| 2004        | 806        | 399        | 261        |
| 2005        | 804        | 520        | 231        |
| <b>2006</b> | <b>804</b> | <b>445</b> | <b>199</b> |

Note: 1. The chart is arranged based on number of cases disposed each year.  
 2. The term "Others" includes rejection, revocation, and other types of rejection.

## 3. Trademark Invalidation

| Year \ Item | Sustained  | Denied     | Others    |
|-------------|------------|------------|-----------|
| 1997        | 263        | 173        | 55        |
| 1998        | 211        | 227        | 105       |
| 1999        | 295        | 202        | 48        |
| 2000        | 239        | 192        | 91        |
| 2001        | 234        | 174        | 72        |
| 2002        | 354        | 164        | 60        |
| 2003        | 296        | 134        | 50        |
| 2004        | 293        | 94         | 48        |
| 2005        | 255        | 180        | 76        |
| <b>2006</b> | <b>267</b> | <b>135</b> | <b>65</b> |

Note: 1. The chart is arranged based on number of cases disposed each year.  
 2. The term "Others" includes rejection, revocation, and other types of rejection.

#### 4. Trademark Revocation (Cancellation)

| Year \ Item | Sustained  | Denied    | Others    |
|-------------|------------|-----------|-----------|
| 1997        | 114        | 39        | 101       |
| 1998        | 86         | 39        | 150       |
| 1999        | 147        | 39        | 94        |
| 2000        | 96         | 59        | 82        |
| 2001        | 189        | 51        | 59        |
| 2002        | 168        | 58        | 52        |
| 2003        | 193        | 42        | 48        |
| 2004        | 198        | 39        | 51        |
| 2005        | 216        | 71        | 45        |
| <b>2006</b> | <b>307</b> | <b>66</b> | <b>73</b> |

Note: 1. The chart is arranged based on number of cases disposed each year.  
 2. The term "Others" includes rejection, revocation, and other types of rejection.  
 3. Beginning from November 28, 2003, "disciplinary cancellation" is changed to "revocation."

#### 5. Residents and Non-Residents Trademark Applications (By Application)

| Year \ Item | Residents     | Non-Residents |
|-------------|---------------|---------------|
| 1997        | 53,526        | 16,976        |
| 1998        | 53,417        | 15,954        |
| 1999        | 56,933        | 16,279        |
| 2000        | 64,683        | 23,319        |
| 2001        | 41,299        | 17,859        |
| 2002        | 45,403        | 16,326        |
| 2003        | 48,878        | 17,029        |
| 2004        | 48,613        | 13,054        |
| 2005        | 50,263        | 13,317        |
| <b>2006</b> | <b>51,107</b> | <b>14,350</b> |

## 6. Residents and Non-Residents Trademark Registrations (By Application)

| Year \ Item | Residents     | Non-Residents |
|-------------|---------------|---------------|
| 1997        | 43,991        | 13,550        |
| 1998        | 37,465        | 12,047        |
| 1999        | 45,734        | 14,568        |
| 2000        | 40,650        | 12,304        |
| 2001        | 56,785        | 19,628        |
| 2002        | 49,151        | 21,691        |
| 2003        | 52,942        | 21,630        |
| 2004        | 40,224        | 14,688        |
| 2005        | 42,782        | 12,399        |
| <b>2006</b> | <b>41,974</b> | <b>12,623</b> |

## C. Statistics on Trademark by Class and Nationality

### 1. Trademark Applications and Registrations by Class (2004-2006)

| Class | Application |        |        | Registration |        |        |
|-------|-------------|--------|--------|--------------|--------|--------|
|       | 2004        | 2005   | 2006   | 2004         | 2005   | 2006   |
| Total | 72,555      | 76,742 | 79,678 | 55,955       | 63,685 | 66,731 |
| 1     | 1,273       | 1,245  | 1,277  | 934          | 1,168  | 1,179  |
| 2     | 305         | 416    | 328    | 226          | 279    | 384    |
| 3     | 5,297       | 5,750  | 5,540  | 4,313        | 4,439  | 4,562  |
| 4     | 533         | 500    | 517    | 397          | 437    | 441    |
| 5     | 5,660       | 5,458  | 5,756  | 4,676        | 5,054  | 4,391  |
| 6     | 904         | 994    | 1,077  | 711          | 783    | 965    |
| 7     | 1,588       | 1,566  | 1,741  | 1,314        | 1,624  | 1,556  |
| 8     | 557         | 555    | 515    | 379          | 557    | 506    |
| 9     | 6,508       | 6,618  | 6,274  | 5,443        | 6,169  | 5,925  |
| 10    | 1,011       | 1,107  | 1,077  | 917          | 948    | 967    |
| 11    | 1,588       | 1,662  | 1,571  | 1,206        | 1,578  | 1,464  |
| 12    | 1,535       | 1,652  | 1,514  | 1,094        | 1,533  | 1,460  |
| 13    | 98          | 38     | 89     | 28           | 88     | 41     |
| 14    | 1,219       | 1,359  | 1,417  | 869          | 1,113  | 1,199  |
| 15    | 97          | 109    | 126    | 98           | 84     | 136    |
| 16    | 2,454       | 2,453  | 2,678  | 1,881        | 2,054  | 2,284  |
| 17    | 414         | 504    | 512    | 383          | 367    | 469    |
| 18    | 1,590       | 1,984  | 2,068  | 1,214        | 1,392  | 1,653  |
| 19    | 453         | 494    | 479    | 405          | 351    | 427    |
| 20    | 1,141       | 1,169  | 1,264  | 903          | 1,008  | 1,050  |
| 21    | 1,109       | 1,217  | 1,315  | 893          | 1,092  | 1,152  |
| 22    | 122         | 165    | 167    | 114          | 127    | 160    |
| 23    | 83          | 111    | 115    | 91           | 78     | 104    |
| 24    | 903         | 1,043  | 976    | 786          | 848    | 846    |
| 25    | 4,966       | 5,349  | 5,218  | 3,581        | 4,180  | 4,228  |
| 26    | 286         | 280    | 342    | 264          | 241    | 270    |
| 27    | 141         | 123    | 162    | 145          | 114    | 125    |
| 28    | 1,516       | 1,560  | 1,681  | 1,294        | 1,322  | 1,379  |

| Class | Application |       |       | Registration |       |       |
|-------|-------------|-------|-------|--------------|-------|-------|
|       | 2004        | 2005  | 2006  | 2004         | 2005  | 2006  |
| 29    | 2,396       | 2,523 | 2,674 | 1,853        | 2,081 | 2,014 |
| 30    | 4,425       | 4,638 | 4,887 | 3,159        | 3,749 | 3,634 |
| 31    | 825         | 862   | 952   | 622          | 776   | 740   |
| 32    | 2,134       | 2,092 | 2,110 | 1,437        | 1,724 | 1,611 |
| 33    | 1,255       | 1,092 | 1,162 | 908          | 1,034 | 835   |
| 34    | 363         | 314   | 268   | 258          | 288   | 232   |
| 35    | 5,404       | 6,101 | 6,606 | 4,108        | 4,787 | 5,771 |
| 36    | 905         | 1,016 | 1,061 | 659          | 723   | 917   |
| 37    | 819         | 940   | 1,033 | 652          | 708   | 923   |
| 38    | 741         | 840   | 882   | 627          | 603   | 754   |
| 39    | 532         | 640   | 670   | 463          | 445   | 605   |
| 40    | 402         | 374   | 454   | 286          | 359   | 377   |
| 41    | 2,480       | 2,632 | 2,933 | 1,846        | 2,083 | 2,474 |
| 42    | 2,136       | 2,176 | 2,335 | 1,617        | 1,713 | 2,000 |
| 43    | 3,070       | 3,606 | 4,202 | 1,979        | 2,460 | 3,150 |
| 44    | 1,054       | 1,120 | 1,293 | 706          | 910   | 1,064 |
| 45    | 263         | 295   | 360   | 216          | 214   | 307   |

Note: 1. The above figures are for trademark cases, not including certification mark and collective membership mark.

2. The Trademark Act adopts a system to allow for single registration for goods and/or services in several classes from November 28, 2003 on.



## 2. Trademark Applications by Nationality in 2006 (By Application)

| Nationality               | Application | Percentage | Nationality                      | Application | Percentage |
|---------------------------|-------------|------------|----------------------------------|-------------|------------|
| TAIWAN, REPUBLIC OF CHINA | 51,107      | 78.08      | MACAO                            | 34          | 0.05       |
| UNITED STATES OF AMERICA  | 3,912       | 5.98       | UNITED ARAB EMIRATES             | 33          | 0.05       |
| JAPAN                     | 2,980       | 4.55       | CHILE                            | 32          | 0.05       |
| CHINA                     | 896         | 1.37       | BERMUDA                          | 29          | 0.04       |
| GERMANY                   | 802         | 1.23       | PHILIPPINES                      | 27          | 0.04       |
| FRANCE                    | 638         | 0.97       | BRAZIL                           | 24          | 0.04       |
| HONG KONG                 | 576         | 0.88       | TURKEY                           | 23          | 0.04       |
| SWITZERLAND               | 554         | 0.85       | FINLAND                          | 20          | 0.03       |
| UNITED KINGDOM            | 467         | 0.71       | MEXICO                           | 20          | 0.03       |
| REPUBLIC OF KOREA         | 418         | 0.64       | NORWAY                           | 18          | 0.03       |
| ITALY                     | 380         | 0.58       | RUSSIAN FEDERATION               | 16          | 0.02       |
| BRITISH VIRGIN ISLANDS    | 292         | 0.45       | VIETNAM                          | 13          | 0.02       |
| NETHERLANDS               | 252         | 0.38       | MONACO                           | 12          | 0.02       |
| SINGAPORE                 | 211         | 0.32       | PORTUGAL                         | 12          | 0.02       |
| AUSTRALIA                 | 187         | 0.29       | NETHERLANDS ANTILLES             | 11          | 0.02       |
| SWEDEN                    | 137         | 0.21       | INDIA                            | 11          | 0.02       |
| CANADA                    | 134         | 0.20       | POLAND                           | 11          | 0.02       |
| MALAYSIA                  | 119         | 0.18       | GREECE                           | 10          | 0.02       |
| SPAIN                     | 115         | 0.18       | BULGARIA                         | 9           | 0.01       |
| SOUTH AFRICA              | 106         | 0.16       | COLOMBIA                         | 9           | 0.01       |
| CAYMAN ISLANDS            | 103         | 0.16       | MAURITIUS                        | 8           | 0.01       |
| BELGIUM                   | 74          | 0.11       | SAUDI ARABIA                     | 8           | 0.01       |
| LUXEMBOURG                | 61          | 0.09       | ARGENTINA                        | 7           | 0.01       |
| LIECHTENSTEIN             | 58          | 0.09       | BARBADOS                         | 7           | 0.01       |
| AUSTRIA                   | 55          | 0.08       | BRUNEI                           | 7           | 0.01       |
| SAMOA                     | 55          | 0.08       | CYPRUS                           | 7           | 0.01       |
| NEW ZEALAND               | 46          | 0.07       | HUNGARY                          | 7           | 0.01       |
| ISRAEL                    | 45          | 0.07       | ROMANIA                          | 6           | 0.01       |
| DENMARK                   | 44          | 0.07       | KUWAIT                           | 5           | 0.01       |
| THAILAND                  | 44          | 0.07       | SAN MARINO                       | 5           | 0.01       |
| IRELAND                   | 40          | 0.06       | SAINT VINCENT AND THE GRENADINES | 5           | 0.01       |
| INDONESIA                 | 34          | 0.05       | OTHERS                           | 69          | 0.11       |
| Subtotal                  |             | Ratio (%)  |                                  |             |            |
| Residents                 | 51,107      | 78.08      |                                  |             |            |
| Non-Residents             | 14,350      | 21.92      |                                  |             |            |
| Total                     | 65,457      | 100.00     |                                  |             |            |

Note: Countries with fewer than five applications are listed as "Others."

### 3. Trademark Registrations by Nationality in 2006 (By Application)

| Nationality               | Registration | Percentage | Nationality                      | Registration | Percentage |
|---------------------------|--------------|------------|----------------------------------|--------------|------------|
| TAIWAN, REPUBLIC OF CHINA | 41,974       | 76.88      | CHILE                            | 25           | 0.05       |
| UNITED STATES OF AMERICA  | 3,458        | 6.33       | INDIA                            | 25           | 0.05       |
| JAPAN                     | 2,694        | 4.93       | IRELAND                          | 24           | 0.04       |
| GERMANY                   | 743          | 1.36       | BRAZIL                           | 23           | 0.04       |
| FRANCE                    | 653          | 1.20       | MAURITIUS                        | 23           | 0.04       |
| CHINA                     | 595          | 1.09       | PORTUGAL                         | 23           | 0.04       |
| UNITED KINGDOM            | 546          | 1.00       | ISRAEL                           | 22           | 0.04       |
| SWITZERLAND               | 494          | 0.90       | VIETNAM                          | 21           | 0.04       |
| ITALY                     | 407          | 0.75       | POLAND                           | 20           | 0.04       |
| REPUBLIC OF KOREA         | 374          | 0.69       | RUSSIAN FEDERATION               | 15           | 0.03       |
| HONG KONG                 | 370          | 0.68       | SAMOA                            | 14           | 0.03       |
| BRITISH VIRGIN ISLANDS    | 289          | 0.53       | MACAO                            | 14           | 0.03       |
| NETHERLANDS               | 212          | 0.39       | NORWAY                           | 13           | 0.02       |
| SINGAPORE                 | 199          | 0.36       | BAHAMAS                          | 11           | 0.02       |
| CANADA                    | 154          | 0.28       | SOUTH AFRICA                     | 11           | 0.02       |
| AUSTRALIA                 | 119          | 0.22       | MONACO                           | 10           | 0.02       |
| SWEDEN                    | 107          | 0.20       | TURKEY                           | 10           | 0.02       |
| SPAIN                     | 95           | 0.17       | NETHERLANDS ANTILLES             | 9            | 0.02       |
| CAYMAN ISLANDS            | 89           | 0.16       | ARGENTINA                        | 9            | 0.02       |
| BELGIUM                   | 81           | 0.15       | INDONESIA                        | 9            | 0.02       |
| MEXICO                    | 76           | 0.14       | UNITED ARAB EMIRATES             | 8            | 0.01       |
| MALAYSIA                  | 73           | 0.13       | BRUNEI                           | 8            | 0.01       |
| DENMARK                   | 52           | 0.10       | HUNGARY                          | 8            | 0.01       |
| LUXEMBOURG                | 47           | 0.09       | PANAMA                           | 8            | 0.01       |
| NEW ZEALAND               | 46           | 0.08       | PHILIPPINES                      | 8            | 0.01       |
| BERMUDA                   | 41           | 0.08       | GREECE                           | 6            | 0.01       |
| THAILAND                  | 39           | 0.07       | SLOVAKIA                         | 5            | 0.01       |
| LIECHTENSTEIN             | 38           | 0.07       | SAINT VINCENT AND THE GRENADINES | 5            | 0.01       |
| AUSTRIA                   | 31           | 0.06       | OTHERS                           | 84           | 0.15       |
| FINLAND                   | 30           | 0.05       |                                  |              |            |
| Subtotal                  |              | Ratio (%)  |                                  |              |            |
| Residents                 | 41,974       | 76.88      |                                  |              |            |
| Non-Residents             | 12,623       | 23.12      |                                  |              |            |
| Total                     | 54,597       | 100.00     |                                  |              |            |

Note: Countries with fewer than five registrations are listed as "Others."

**D. Statistics for Certification Mark and Collective Membership Mark**

| Year        | Certification Mark |              | Collective Membership Mark |              |
|-------------|--------------------|--------------|----------------------------|--------------|
|             | Application        | Registration | Application                | Registration |
| 1996        | 13                 | 3            | 85                         | 59           |
| 1997        | 8                  | 6            | 91                         | 73           |
| 1998        | 14                 | 3            | 95                         | 49           |
| 1999        | 13                 | 10           | 100                        | 36           |
| 2000        | 29                 | 6            | 117                        | 76           |
| 2001        | 27                 | 32           | 110                        | 68           |
| 2002        | 34                 | 14           | 109                        | 112          |
| 2003        | 36                 | 37           | 91                         | 83           |
| 2004        | 34                 | 27           | 61                         | 74           |
| 2005        | 40                 | 33           | 56                         | 41           |
| <b>2006</b> | <b>29</b>          | <b>37</b>    | <b>60</b>                  | <b>44</b>    |

## ► Annual Publications

### Books and CDs

| Title   | Publishing Date |
|---|-----------------|
| Patent Act  | 4/2006          |
| Patent Search and Analysis  | 4/2006          |
| Procedures and Guidelines for Patent Application  | 4/2006          |
| Substantive Examination Guidelines for Invention Patent (1)   | 4/2006          |
| Guidelines for Formality Examination of Utility Model Patent and Substantive Examination of Design Patent     | 4/2006          |
| Patent Application Strategy and International Practice  | 4/2006          |
| Substantive Examination Guidelines for Invention Patent (2)   | 4/2006          |
| Patent Specifications Drafting and Interpretation   | 4/2006          |
| Responses to Substantive Examinations of Invention Patents  | 4/2006          |
| Practices in Applying for Invention Patents: Machinery, Electronics and Software                              | 4/2006          |
| Practices in Applying for Invention Patents: Chemistry, Pharmaceuticals and Bio-technology Related Inventions | 4/2006          |
| Practices in Applying for Utility Model Patents   | 4/2006          |
| Practices in Drafting Design Patent Specification and Design Patent Prosecution                               | 4/2006          |
| Practices in Patent Invalidations   | 4/2006          |
| Theories in Patent Infringement Assessment  | 4/2006          |
| Patent Infringement Evaluation Reports and Case Studies for Invention and Utility Model Patent                | 4/2006          |
| Identifying Design Patent Infringement  | 4/2006          |
| Approaches to Handling Patent Infringement – Winning Solutions and Practices                                  | 4/2006          |
| Innovative Designing Around Patent  | 4/2006          |
| Papers on Patent Legal System and Practice (1)  | 4/2006          |
| Papers on Patent Legal System and Practice (2)  | 4/2006          |
| Procedures for Patent Administrative Remedy   | 4/2006          |
| Conditions for Patent Infringement and Calculating Compensation for Losses                                    | 4/2006          |
| Practices in Patent Litigation  | 4/2006          |
| Practices in Patent Security Procedures   | 4/2006          |

|   |         |
|---|---------|
| Practices in Licensing Negotiations   | 4/2006  |
| Practices in U.S. Patent Litigation   | 4/2006  |
| Patent Valuation  | 4/2006  |
| International Patent Classification   | 4/2006  |
| 2005 Selected Case Studies of Patent-Related Administrative Litigation Decisions  | 5/2006  |
| 2006 Training Manual for Examination Practices in Patent Disputes   | 5/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 1: User's Guide   | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 2, Section A: Human Necessities   | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 3, Section B: Performing Operations;<br>Transporting                          | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 4, Section C: Chemistry; Metallurgy   | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 5, Section D: Textiles; Paper   | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 6, Section E: Fixed Constructions   | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 7, Section F: Mechanical Engineering;<br>Lighting; Heating; Weapons; Blasting | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 8, Section G: Physics   | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 9, Section H: Electricity   | 7/2006  |
| International Patent Classification Eighth Edition (January 2006):<br>Advanced Level - Volume 10, Section A: Comparison of 7th and 8th<br>Editions                          | 7/2006  |
| Papers on Trademark Legal System and Practice   | 4/2006  |
| Trademark Act   | 4/2006  |
| Trademark Application and maintenance Procedures  | 4/2006  |
| Trademark Acts & Regulations and Examination Guidelines   | 5/2006  |
| 2006 Selected Case Studies of Trademark Administrative Disputes   | 8/2006  |
| Q&A About Trademark 2006  | 10/2006 |

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| Papers on Legal System and Practice of Copyright and Trade Secrets  | 4/2006  |
| Copyright Act and Case Studies                                      | 4/2006  |
| Internet Copyright  | 4/2006  |
| Copyright Case Studies – Volume 1: Literary Works                   | 8/2006  |
| Copyright Case Studies – Volume 2: Musical Works                    | 8/2006  |
| Copyright Case Studies – Volume 3: Dramatic and Choreographic Works | 8/2006  |
| Copyright Case Studies – Volume 4: Photographic Works               | 8/2006  |
| Copyright Case Studies – Volume 5: Artistic Works                   | 8/2006  |
| Copyright Case Studies – Volume 6: Graphic Works                    | 8/2006  |
| Copyright Case Studies – Volume 7: Audio-visual Works               | 8/2006  |
| Copyright Case Studies – Volume 8: Recorded Works                   | 8/2006  |
| Copyright Case Studies – Volume 9: Architectural Works              | 8/2006  |
| Copyright Case Studies – Volume 10: Computer Program Works          | 8/2006  |
| The Copyright Act and Related Laws 2006                             | 12/2006 |
| Copyright Act & Related Laws  | 12/2006 |
| Trade Secret Act and Related IP Issues                              | 4/2006  |
| Practices in Non-Competition and Confidentiality Agreements         | 4/2006  |
| IPR Contracts   | 4/2006  |
| IPR Enforcement and Competition Law                                 | 4/2006  |
| Practices in IP Infringement Analysis and Approaches to Litigations | 4/2006  |
| Practices in and Approaches to IPR Management                       | 4/2006  |
| Standardization of IPR Management                                   | 4/2006  |
| Commercialization of Intellectual Property                          | 4/2006  |
| Introduction to IPR Laws  | 4/2006  |
| International IP Conventions and Development Trends                 | 4/2006  |
| Collection of Major IPR Laws and Regulations                        | 7/2006  |
| Reference Materials on Goods and Services Classification and Search | 9/2006  |
| Annual Report 2005 (Chinese)  | 3/2006  |
| Annual Report 2005 (English)  | 5/2006  |

# Journals

| Title   | First Issue    |
|---|----------------|
| Patent Gazette (Hardcopy/CD) (Every 10 days)                    | 1/1974; 7/2001 |
| Patent Application Publication Gazette (Hardcopy/CD) (Biweekly) | 5/2003; 5/2003 |
| Trademark Gazette (Hardcopy/CD) (Biweekly)                      | 1/1974; 1/2005 |
| IPR Journal (Monthly)   | 1/1999         |

### ► Calendar of Events

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| 1.1        | The Executive Yuan revoked “Administrative Guideline of Anti-counterfeiting Committee,” and relevant operations were transferred under TIPO.  |
| 3.1        | The “Trademark Newsletter” was created to send trademark messages through e-mail.   |
| 3.6        | The services of written notice about unpaid trademark registration fee began.   |
| 3.14-3.22  | “2006-Trademark Business Consultation & Graphic Classification Standard Conference” was held three times in Taichung, Kaohsiung, and Taipei, and altogether 198 people participated.  |
| 3.15       | TIPO Director General was invited to BSA to attend the press conference of “Original IN! Piracy OUT! -2006 Anti-Piracy Software Activity” to declare the determination and specific achievements of the government together with copyright holder groups in fighting against piracy, and to call citizens to pay attention to the importance of IPR protection. |
| 3.25       | The “Directions Defining the Content of the Subparagraphs of Paragraph 3 of Article 80-2 of the Copyright Act” was legislated and promulgated.  |
| 3.29       | The “Consultant Conference of Copyright Act Legal System” was held and hosted by Mr. Lu, the Deputy Director General.   |
| 3.31       | 570,000 volumes of the comic strip “2006 BG’s IPR Secret Tips” were compiled and printed and sent to 1,207 high schools and junior high schools.  |
| 4.11, 4.17 | The public hearing of the amendments to some articles of the Patent Act on public health and study of responsibility-free experiments was held twice.   |
| 4.20       | Based on the “Main Points of Hearing of Trademark Dispute Cases,” two trademark dispute case hearings were arranged for the first time to provide an opportunity for face-to-face oral arguments for the two parties involved in a dispute case.  |
| 4.21       | For the World Intellectual Property Day, TIPO together with the Economic Daily News held “Ideas, Inspiration, Ingenuity-Workshop on IPR Protection and Development of Ideas and Research.”  |
| 4.26       | On the World Intellectual Property Day, TIPO first employed Creative Commons (CC) licensing mechanism for the TIPO publications.  |
| 4.27       | A seminar on “Trademark Infringement and Reasonable Use—Marking of Automobile Parts” was held.  |
| 4.28       | “Principle for Verifying Seal and Signature in Patent-Related Applications” was prescribed and promulgated.   |



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| 5.1       | TIPO decentralized some services into its branch offices, including “Issue of Priority Documents,” “Reissue of Patent Certificates,” and “Reissue of Receipt for Patent Application Fee”  |
| 5.3       | “Principles for Verifying Seal and Signature in Various Trademark Applications” and “Principle Table of Verifying Seal and Signature in Various Trademark Applications” were prescribed and promulgated.  |
| 5.4-5.18  | Workshops on IPR business were held in Taipei, Hsinchu, Tainan, Taichung, Kaohsiung, and other places to introduce new measures adopted by TIPO and receive suggestions from various circles.   |
| 5.5       | The “Draft of Amendment to the Copyright Act” was passed by the Legislative Yuan after the third reading and verification, and published by Presidential Proclamation on 5/30, and Article 94 of the regulations for occupational offenders was deleted from the Copyright Act and relevant articles were amended correspondingly.  |
| 5.19      | The public hearing for amendments to some articles of the Patent Act on the topic of allowing the application for plant and animal patents was held.  |
| 5.20      | The “Taiwan Intellectual Property Training Academy (TIPA)” was set up in North, Middle, and South Taiwan and includes five main courses—“Preliminary Patent Course—Course of Legal System and Application Examination,” “Advanced Patent Course—Patent Engineer Course,” “Patent Infringement Verification Course,” “Patent Litigation Course,” and “Intellectual Property Management Course.”  |
| 5.23-6.1  | The Director General, Mr. Tsai, led relevant TIPO officers to attend the “Cross-Strait Intellectual Property Right Exchanging Visiting Group” organized by the Chinese National Federation of Industries to visit China, and exchanged opinions with some agencies, such as “the State Office of Intellectual Property Protection of the PRC,” “the Taiwan Affairs Office of the State Council,” “the Trademark Office of the State Administration for Industry and Commerce,” “the Trademark Assessment Committee of the State Administration for Industry and Commerce,” “National Copyright Administration of the PRC,” “the State Intellectual Property Office of the PRC,” “Shanghai Customs,” and “the Shanghai Municipal Intellectual Property Rights Bureau.” |
| 5.25-5.26 | The 5 <sup>th</sup> Taiwan-U.S. Trade and Investment Framework Agreement (TIFA) meeting was held and U.S. complimented TIPO’s efforts on enhancing IPR protection and expressed positive attitudes on TIPO’s promise to fight against IPR infringement.   |
| 5.23-6.23 | Five “Conferences for Patent Examination Guidelines & Compose Invalidation Petitions” were held in Taipei, Hsinchu, Taichung, Kaohsiung, and Tainan, and altogether 470 people were present.  |

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| 5.29     | "Comparison Table of the 8 <sup>th</sup> Edition of International (Nice) Classification of Goods and Services in Traditional Chinese, Simplified Chinese, and English" was finished.  |
| 6.6      | Audio/video operators and relevant units were invited to hold "Symposium of Enforcement on Selling Original Audio-video Discs through Networks."  |
| 6.22     | "2006 First Symposium on Trademark" was held in Taiwan University, and 180 people participated.   |
| 6.26     | The hearing about amendments to some articles of design patents in the Patent Act was held.   |
| 7.1      | In response to the amendments to the Criminal Code, regulations of occupational offenders were deleted from the Copyright Act and relevant articles were amended correspondingly and came into force on July 1, 2006.               |
| 7.4      | The "The Regulation for Reducing and Exempting Annual Patent Fee" was amended and promulgated.  |
| 7.4      | The "International Patent Classification-8 <sup>th</sup> Edition" was translated into Chinese and relevant services were provided on the Internet.  |
| 7.11     | A symposium on "Doubts about Audio/video Works Marking and Suitable Copyright Act" was held.  |
| 7.13     | The "Information Sheet for Applying for Co-Ownership of Trademark" was published and implemented.   |
| 7.18     | The hearing about some amendments to the current Patent Act to discuss the planning of the whole system of utility models, the remedy for overdue annual fee payment, and foreign versions of specifications was held.              |
| 7.24     | Section 5, Chapter 1 of the Patent Examination Guidelines, "Invalidation and Ex Officio Examination of Patent Right" was promulgated.   |
| 8.8      | The establishment of COLI was approved, facilitating the establishment of the exchange platform for utilizing oral and literary works by license.   |
| 8.30-9.2 | The "2006 Taipei International Invention Show and Technomart" was held in the Exhibition Hall of the Taipei World Trade Center. Over 579 participated in the show, and occupied over 870 booths, drawing more than 50,000 visitors. |
| 9.6-9.27 | Four "Conferences for Trademark Act" were held in Taipei, Tainan, Kaohsiung, and Taichung, and 349 people participated.   |

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| 9.19        | In response to the situation the famous geographic names of Taiwan products were squatted as trademarks in China, the “Symposium of Protection Policies for Well-Known Trademarks & Origins” was held in TIPO.   |
| 9.21        | The “Principle of Implementing Disc Management Business and Examination Operation” was amended and promulgated.  |
| 9.22        | The English version of the comic strip “2006 BG’s IPR Secret Tips” was provided for American and European schools, and uploaded to the English website of TIPO.  |
| 9.25        | “Section 1, Procedural Examination, of the Patent Examination Guidelines,” was amended and promulgated.  |
| 9.28-9.29   | The “2006 International Conference on Biology-Related Patents” was held, and the honored guests were experts & scholars from U.S., Europe, Australia, Japan, and biology-related patent fields in Taiwan.  |
| 10.3        | “TIPO Tainan Branch Office” was founded to carry out the policy of simplifying administrative procedures and providing convenient and local services.  |
| 10.12       | The conference on “Improving Inappropriate Marking of Audio/video Works” was held.   |
| 10.16       | The European Chamber of Commerce in Taipei (ECCT) invited the TIPO Deputy Director General, Ms. Wang, and relevant chief managers to dine together and exchange ideas on ECCT White Paper and IPR topics concerning European businessmen.  |
| 10.18-10.19 | Personnel were sent to attend the relevant symposiums of “OECD Competition Committee” held in Paris to discuss the relation between the Patent Act and the competition policy.   |
| 10.19       | The Japan Interchange Association held the “Symposium on Taiwan-Japan Internet Infringement Prevention” in Taipei.   |
| 10.25-10.27 | Delegations were sent to attend the regular meeting of the WTO/TRIPS council held in Geneva.   |
| 10.27       | The “Seminar of Establishing Single Window Mechanism for Copyright Intermediary Organizations” was held.   |
| 11.1        | “Trademark Act Amendment Forum” was added to the TIPO website to widely receive opinions from various circles as reference for the amendments to the Trademark Act.<br>Patent Application Publication Gazettes and Patent Gazettes were marked with “IPC 8 <sup>th</sup> edition.” |

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| 11.6        | Following the set-up of the Intellectual Property Court, a judicial personnel-training course was arranged upon the request of the Judicial Yuan.   |
| 11.8        | Mr. Oliver Metzger, U.S. Copyright Office Official, was invited to Taiwan to attend the "Symposium on International Copyright Trends and U.S. Copyright Policy in Recent Years."<br>The first Taiwan-U.S. IPR videoconference was held by TIPO and the U.S. Trade Representative (USTR) to exchange opinions on the IPR topics concerning both sides. |
| 11.8-11.10  | Personnel were sent to attend the "2006 APEC Workshop on Effective Strategies for IP Public Education" held in HK.  |
| 11.9        | Personnel were sent to attend the Taiwan-Philippines ministerial economic cooperation conference and they studied and discussed the IPR cooperation agreement signed by Taiwan and the Philippines.   |
| 11.13-11.17 | Dr. Franco Benussi, Director of the Legal Research and Administration, European Patent Office DG3 came to Taiwan to give lessons.   |
| 11.15       | The "Notice of Extinguishment of Patent Right" began to be sent through e-mail.   |
| 11.16       | "2006 Second Symposium on Trademark" was held in National Taiwan University, and 190 people participated.   |
| 11.20-11.22 | TIPO together with the Council of Agriculture, the European Economic & Trade Office, and the European Chamber of Commerce held the "Workshop on the Taiwan-E.U Intellectual Property Rights" in Taipei.   |
| 11.22       | Experts of the copyright collective administration organizations from the U.K., Japan, and Singapore were invited to Taiwan to attend the "Seminar on Online Licenses of Musical Collective Management Organizations."  |
| 11.23-11.24 | TIPO together with National Chiao Tung University, the Institute of Technology Law, held the "2006 Conference on National Technology Law," and 450 people participated.   |
| 11.24       | The "Principle of Patent Interview" was amended and promulgated, and the "Patent Video Interview" began.  |
| 11.27-11.29 | Personnel were sent to Geneva to take part in workshop on "TRIPS Agreement and Public Health."  |
| 11.29-11.30 | The 31 <sup>st</sup> Economic & Trade Meeting of Taiwan and Japan was held in Taipei.   |

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| 11.30 | Reference samples of the marks on audio-visual works “Rental Version,” “Single Licensing Version,” and “Second-hand Selling Version” were sent to associations and provided operators with accurate marking references.  |
| 12.6  | The public hearing on the draft “Implementing Method of Patent and Trademark Electronic Filing” was held.  |
| 12.26 | A public hearing was held on the draft of amendments to the Patent Act about an invention patent application and a utility model patent application being filed respectively at the same time in respect of the same invention or creation, and some articles of the whole policy of utility model system. |

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