Procedures to file a request with the Taiwan Intellectual Property Office (TIPO) for Patent Prosecution Highway (PPH) Program between TIPO and the Japan Patent Office (JPO)

Amended and enacted on May 1, 2020

The Patent Prosecution Highway (PPH) MOTTAINAI program enables an application, whose claims have been determined to be allowable/patentable in the Office of Earlier Examination (OEE), JPO, to undergo an accelerated examination under the PPH in the Office of Later Examination (OLE), TIPO, with a simple procedure according to a request from an applicant.

The PPH MOTTAINAI program between TIPO and JPO will be fully implemented on May 1, 2020.

1. Request with TIPO for accelerated examination under PPH

An applicant should file a request for accelerated examination under the PPH with TIPO by submitting a completed "Request for Accelerated Examination under TIPO-JPO PPH Program" request form accompanied by the relevant supporting documents. The requirements for filing accelerated examination with TIPO under the PPH are given in paragraph 2. Relevant supporting documentations and procedure for accelerated examination under the PPH program at TIPO are discussed under paragraphs 3 and 4. The PPH request form is available on TIPO's web site, https://topic.tipo.gov.tw/patents-tw/cp-721-870867-dfb82-101.html.

2. Requirements for requesting accelerated examination under the PPH Program at TIPO

There are four requirements for requesting accelerated examination under the PPH program at TIPO. These are:

a) Both the TW application on which PPH is requested and the JPO application(s) forming the basis of the PPH request shall have the same earliest date (whether this be a priority date or a filing date).

For example, the TW application requesting PPH must meet one of the following conditions:

- (i) an application which has validly claimed priority under Taiwan's Patent Act §28 to the JP application(s) (see Figures A, B, C and D in Annex 1), or
- (ii) an application which has validly claimed priority under Taiwan's Patent Act \$28 to the PCT application(s) without priority claim (see Figures E and F in Annex 1), or
- (iii) an application which provides the basis of a valid priority claim under Japan's

National Law for the JP application(s) (see Figures G, H and I in Annex 1), or (iv) an application which shares a common priority document with the JP application(s) (see Figures J and K in Annex 1).

The program is not applicable to utility model applications and design applications.

b) At least one corresponding JP application has one or more of those claims that have been determined to be allowable/patentable by the JPO

Claims are "determined to be allowable/patentable" when a JPO examiner clearly identifies the claims to be allowable/patentable in the latest office action, even if the application has not been granted for patent.

The office action may be either:

- (a) Decision to Grant a Patent
- (b) Notification of Reason for Refusal
- (c) Decision of Refusal
- (d) Appeal Decision

For example, if the following routine expression is described in the "Notification of Reason for Refusal" of the JPO, then the claims are explicitly identified to be allowable/patentable.

"<No reason for refusal has been found for Claims >

At present, no reason for refusal is found for invention concerning Claim__."

c) All claims on file, as originally filed or as amended, for accelerated examination under the PPH must sufficiently correspond to one or more claims indicated as allowable in JPO

Claims shall be considered sufficiently corresponding where, accounting for differences in translations and claim format, the claims on file are of the same or similar scope as the claims in JPO, or the claims on file are narrower in scope than the claims in JPO. In this regard, a claim on file that is narrower in scope occurs when a JPO claim is amended to be further limited by an additional technical feature that is supported in the specification (and/or claims). When possible, the claim on file should be presented in dependent form.

A claim in TIPO that introduces a new/different category of claims to those claims indicated as allowable in the JPO is not considered sufficiently corresponding. For example, where the JPO claims only contain claims to a process of manufacturing a product, then the claims in TIPO would not be considered sufficiently corresponding if the TIPO claims introduce product claims that are dependent on the corresponding process claims.

d) The applicant has been notified that a substantive examination will begin

shortly; and TIPO has not issued a first examination report on the application

3. Documents to be submitted for accelerated examination under the PPH program:

Documents (a) to (d) below should be submitted by attaching to "Request for Accelerated Examination under the PPH Program". The request form is in Annex 2.

a) A copy of all office action(s) relating to the corresponding JPO application(s) and translations of them if they are not in English

The translated copy could either be in Chinese or English. The applicant does not have to submit a copy of JPO office actions and their corresponding translations if these documents are provided via JPO's dossier access systems (AIPN system or One Portal Dossier (OPD) on J-platpat web page), since the office actions and their machine translations are available for TIPO examiner via the JPO's dossier access systems. If these documents could not be obtained by TIPO examiner via the JPO's dossier access systems or if it is impossible for the examiner to understand the outline of the translated office action due to insufficient translation, the applicant may be notified and requested to provide the necessary documents.

b) A copy of the claims determined to be allowable/patentable by JPO, and their translations if they are not in English

The translated copy could either be in Chinese or English. The applicant does not have to submit a copy of claims indicated to be allowable/patentable by JPO and translations thereof when the documents are provided via JPO's dossier access systems, since the claims and their machine translations are available for TIPO examiner via the JPO's dossier access systems. If these documents could not be obtained by TIPO examiner via the JPO's dossier access systems or if it is impossible for the examiner to understand the outline of the claims due to insufficient translation, the applicant may be notified and requested to provide the necessary documents.

c) Copies of the references cited by the JPO examiner

If the cited references are patent documents, submission is not necessary, as they are usually available to TIPO. If TIPO could not access these relevant patent documents, the applicant must then submit these documents at the request of TIPO. If the cited reference is a non-patent literature, the applicant has to submit it.

A translation of the references is not required.

d) A claim correspondence table

The applicant must submit a claim correspondence table which explains the claims of

the TIPO application for accelerated examination under the PPH program are sufficiently corresponding to the claims determined to be allowable/patentable by JPO.

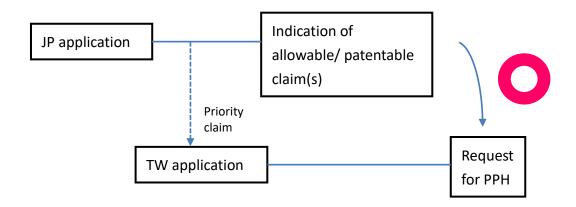
If the claims on file do not sufficiently correspond to one or more claims determined to be allowable/patentable by JPO, but the applicant would like to amend the claims to sufficiently correspond to the allowable/patentable clams in the JPO application(s), the applicant should amend the claims to fully comply with the corresponding terms at the same time as the request for expedited examination under the PPH program. The claim correspondence table is given in Annex 3.

4. Procedure for accelerated examination under the PPH Program at TIPO

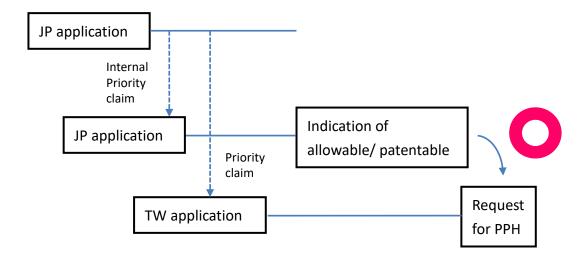
The applicant files a form requesting accelerated examination under the PPH program with TIPO, including relevant supporting documents. If the requirements are met, TIPO will conduct the accelerated examination. If the application does not qualify for participation in the PPH program, the applicant will be notified accordingly and given opportunity to perfect the request. If not perfected, the applicant will be notified and the application will await action in its regular turn.

The applicant must use the "Amendment Request Form under the PPH Program" (Annex 4) to make amendments during and after the request for expedited examination under the PPH program. Other relevant documents must also be specified in terms of their connection to the request for PPH to ensure prompt and proper processing by TIPO.

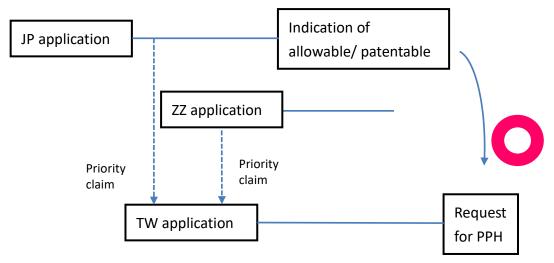
A.A case meeting requirement (a)(i)



$B. \, \text{A case meeting requirement (a)(i)}$

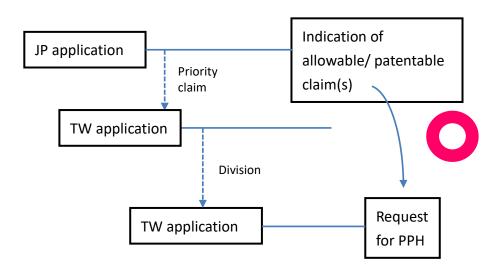


C. A case meeting requirement (a)(i)

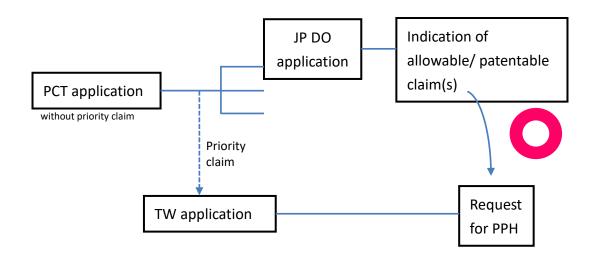


ZZ: any office

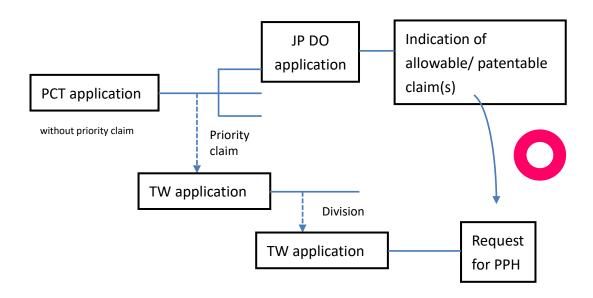
$\boldsymbol{D.} \boldsymbol{A} \ case \ for \ divisional \ application \ meeting \ requirement \ (a)(i)$



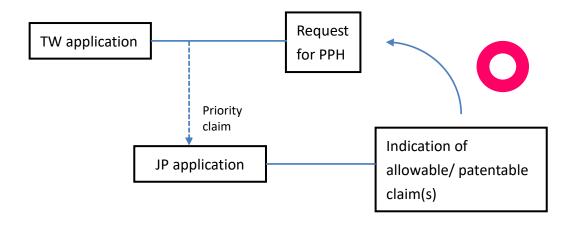
E. A case meeting requirement (a)(ii)



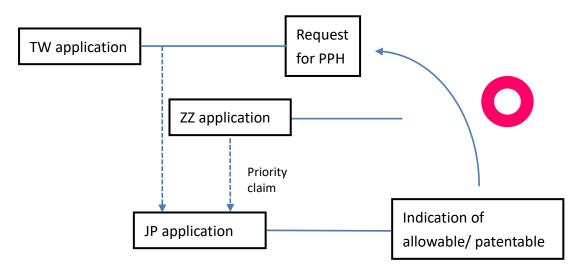
F. A case for divisional application meeting requirement (a)(ii)



G.A case meeting requirement (a)(iii)

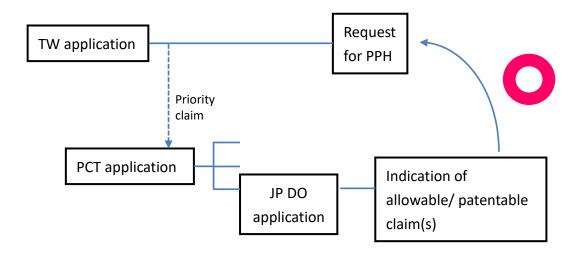


H.A case meeting requirement (a)(iii)

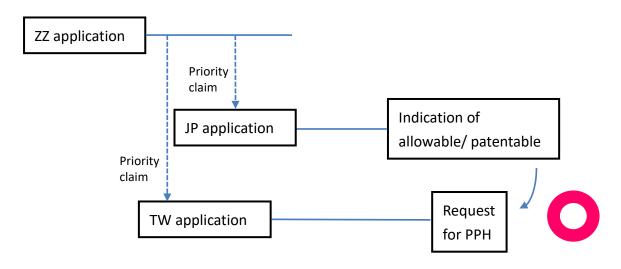


ZZ: any office

I. A case meeting requirement (a)(iii)

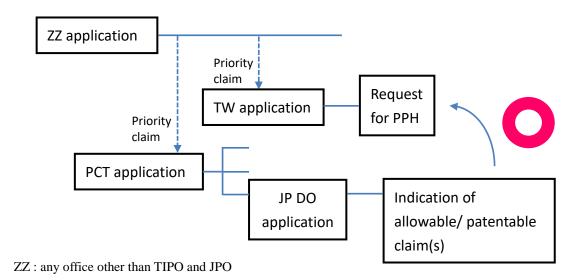


J. A case meeting requirement (a)(iv)



ZZ: any office other than TIPO and JPO

$K. \hbox{A case meeting requirement (a)(iv)}$



發明專利 PPH 審查申請書

(本申請書格式、順序,請勿任意更動,※記號部分請勿填寫)

申請案號:	※案	由: 24714	
□ 一併申請 PPH 修正 □ 一併申請	誤譯訂正		
一、發明名稱:			
二、申請人:(共 人)(多位 名或: 除)		立完整複製後依序填寫,姓 、,不須填寫的部分可自行刪	
	陸地區(□大陸	、□香港、□澳門)	
身分種類: □自然人	□法人	、公司、機關、學校	
ID:			
姓名: 姓:	名:		
Last • name •	First • name		(簽章)
名稱: (中文)			
(英文)			(簽章)
代表人: (中文)			
(英文)			(簽章)
地址: (中文)			(**
(英文)			
聯絡電話及分機:			

〇代理人: (多位代理人時,應將本欄位完整複製後依序填寫)	
${\mathbb I}{\mathbb D}$:	
姓名:	(簽章)
證書字號:	.,,,,
地址:	
聯絡電話及分機:	
三、對應之□美國□日本□西班牙□韓國□波蘭 □加拿大申請案:	
申請案:	
【格式請依:申請案號、公開編號、公告編號 順序註記,惟如尚未取 得公開編號或公告編號者,得不註記】	
1.	
四、附送書件:	
(*個人資料保護注意事項: 申請人已詳閱申請須知所定個人資料保護注意事項,並已確認所檢附之 說明書、申請專利範圍、圖式、修正說明書、修正理由書、申復書及其 附件(除委任書外),不包含應予保密之個人資料;其載有個人資料者,同 意智慧財產局提供任何人以自動化或非自動化之方式閱覽、抄錄、攝影 或影印。)	
□1、所有審查意見書影本(含中譯本或英譯本)。	
1-1 審查意見書請經由 USPTO Public PAIR 系統取得(台美 PPH)。	
1-2 審查意見書及英譯本請經由 JPO 檔卷歷程系統(AIPN 或 OPD)取得(台	
∃ PPH) ∘	
1-3 審查意見書請經由 SPTO Expedientes Digitalizados 系統取得(台西 PPH)。 (勾選此項時,仍應檢附審查意見書之翻譯本)	
1-4 審查意見書及英譯本請經由 KIPO K-PION 系統取得(台韓 PPH)。	
1-5 審查意見書請經由 PPO Publication Server 系統取得(台波 PPH)。 (勾選此項時,仍應檢附審查意見書之翻譯本)	

1-6 審查意見書請經由 CIPO Canadian Par	tents Database 系統取得(台加 PPH)。
文件名稱	日期
73.1. —	
②、審查達到可核准之申請專利範圍影本	(含中譯本或英譯本)。
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4、申請專利範圍對應表。	
□ 5、其他有利於本局 PPH 審查之文件。 □ 6、發明專利 PPH 修正申請書。(發明專利 PPH 審查 資料,可註明「同發明專利 PPH 審查 □ 7、專利誤譯訂正申請書。(專利誤譯訂正申請書 □ 1 包 1 包 2 包 3 包 3 包 4 包 4 包 4 包 4 包 4 包 4 包 4 包 4	刊 PPH 修正申請書之一、二項基本 臣申請書」而不須重複填寫。) E申請書之一、二項基本資料,可

Annex 2 (English translation added)

發明專利 PPH 審查申請書

Request for Accelerated Examination under the PPH Program

申請案號	: Appl	ication number	* =	案 [由: 24714		
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二、申	請人:	(共 人)App	olicant informa	ation			
國 籍 Nationality		中華民國大陸 R.O.C Mainl 外國籍: Foreign nationality	左地區(□= and area Ma				
身分種類 ID:]自然人 Natural person		Juristic p	公司、機關 person, busing tion, school		
姓名: Name (natural person)	姓: Last name Last name	e in Chinese	名: First name in (First :	Chinese		(signature or seal)	(簽章
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代表人: Representative	(中文) (英文)	(Name of representative	,			(signature	or seal (簽章
地址: Address	(中文) (英文)	(Address in Chinese) (Address in English)				(signature	
聯絡電話	i 及分機	:					

Phone number and extension number

○代理人: Patent attorney information
性名: Name 證書字號: Certificate number 地址: Address 聯絡電話及分機: Phone number and extension number
三、對應之□美國□日本□西班牙□韓國□波蘭□加拿大申請案: 申請案:The corresponding application(s) is/are □US □JP □ES □KR□PL□CA
中间来·application(s) 【格式請依:申請案號、公開編號、公告編號 順序註記,惟如尚未取 得公開編號或公告編號者,得不註記】 1. 【Application number / Publication number / Patent number of the corresponding application(s)】
(*Privacy Act Warning: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Please be aware that when you apply for a patent registration you are making a public record. Accordingly, all of the specification, scope of claim(s), drawing(s) and supporting explanation(s) and supplementary documentation(s) shall not contain confidential personal information. Any
individual may directly or indirectly employ this information.) 1、所有審查意見書影本(含中譯本或英譯本)。 Check if copies of all office action(s) (which are relevant to substantial examination for patentability) issued for the corresponding application(s) (and translations of them) are attached or could be obtained from the dossier access system 1-1
1-2 審查意見書及英譯本請經由 JPO 檔卷歷程系統(AIPN 或 OPD)取得(台日 PPH)。 Check if TIPO is to obtain the documents from JPO's dossier access systems (AIPN system or One Portal Dossier (OPD) on J-platpat web page) (TIPO-JPO PPH)
1-3 審查意見書請經由 SPTO Expedientes Digitalizados 系統取得(台西 PPH)。 (勾選此項時,仍應檢附審查意見書之翻譯本)

Check if TIPO is to obtain the documents from SPTO Expedientes Digitalizados system (TIPO-SPTO PPH) (The translations of the documents have to be attached still.)

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文件名稱 Document name	日期	Date	

3、引用作為專利准、駁判斷依據之引證文獻。	
Check if copies of the references cited in the office action(s) are attached	
(※█引證文獻屬專利文獻無需檢送。)	
Check if the cited references are patent documents; submission is not necessary	
4、申請專利範圍對應表。	
Check if a claim correspondence table is attached 5、其他有利於本局 PPH 審查之文件。(請敘明)	
Check if other supporting documents are attached (please write down the name of documents) 6、發明專利 PPH 修正申請書。	ıts)
Check if an Amendment Request Form under the PPH Program is attached 7、專利誤譯訂正申請書。 Check if an Request Form for correction of translation errors is attached	

申請專利範圍對應表

第 號申請案	對應之外國申請案經審查達	對應我國申請案之
申請專利範圍	到可核准之申請專利範圍	充分對應說明

Annex 3 (English translation added)

申請專利範圍對應表

Claim Correspondence Table

第 號申請案 申請專利範圍 Claims in TW application	對應之外國申請案經審查達 到可核准之申請專利範圍 Patentable/Allowable claims in the corresponding application	對應我國申請案之 充分對應說明 Comments on the correspondence

發明專利PPH修正申請書

(本申請書格式、順序,請勿任意更動,※記號部分請勿填寫)

申請案號	<u>.</u>					※案	曲:2	24716		
依據: 一、發					字第	i i		號函辦理	Į°	
二、申	請人	:(共	人)(多位申 名或名称 除)				後依序填寫 的部分可自?		
(第1申	請人)								
國 籍	:		華民國籍		也區([]大陸、[□香港	、□澳門	月)	
身分種類	į :		然人]法人、/	公司、	機關、导	是校	
<pre>ID:</pre>										
姓名:	姓:			名	三:					
	Last • name			Fir na	rst • me •					(簽章)
名稱:	(中文	<u></u>								(, , , , , , , , , , , , , , , , , , ,
	(英文	()								(簽章)
代表人:	(中文	()								(数字)
	(英文	()								(簽章)
地址:	(中文	<u></u>								(双早)
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聯絡電記	FA公	松・								
세계성다 나타다	コノメノノ									

◎f id:	七理人:(多位代理人時,應將本	闌位完整複製後依序填寫)	
姓名	1: 姓:	名:	(簽章)
證書	字號:		
地址	: :		
聯絡	宿話及分機:		
三、儋	修正事項:		
	請於所勾選修正說明事項之後,敘明修正 直式橫書繕打,以附件標示並備具一式 2	E理由或說明,如字數過多者,請另以 A4 紙張 2 份,俾利審查。)	
說	明書修正之頁數、段落編號及行	數及修正理由:	
	請專利範圍修正之請求項及修正 9年1月1日起提出之發明申請案,請Ē	理由: F填寫*申請專利範圍請求項及規費之說明)	
国	式修正之圖號及修正理由:		
其	他說明事項如附件:		
四、附	付送書件:(不須填寫的部分可能	1行刪除)	
申明應	書、修正申請專利範圍、修正圖	(料保護注意事項,並已確認檢附之修正語式、申復書及其附件(除委任書外),不包括 資料者,同意智慧財產局提供任何人以自 、攝影或影印。)	含
<u> </u>	本發明專利 PPH 修正申請書 1 份	}。	
<u></u>	發明專利修正部分劃線之說明書 頁右上角註記送件申請修正之日	或申請專利範圍修正頁 1 份。(請於每 期)	
(明書內容者,應劃線於新增文字	別線貫穿於刪除之文字上;如為增加說 下方。各次修正應劃線註記之部分, 別範圍之請求項項次改變者,其後之項	
$\square 3$	發明專利修正後無劃線之說明書	或申請專利範圍或圖式替換頁各1份(
<u> 4 </u>	委任書1份。		
<u></u> 5、	申復書一式 1 份。		

□6、申請專利範圍對應表 1 份。
*申請專利範圍請求項及規費之說明: (本欄位僅為99年1月1日起提出之發明專利申請案適用。)
(一) 申請案發給第一次審查意見通知前,提出本次修正申請專利範圍者:
□本案已提出實體審查申請,本次僅修正請求項,未有新增或刪除請求項之情事,應繳規費不變。
本案已提出實體審查申請,本次有新增或刪除請求項者:
新增()項,刪除()項,修正後共計()項。
本次應 加收或 退還規費共計新台幣() 元整。
(二) 申請案發給第一次審查意見通知後,提出本次修正申請專利範圍者:
本次僅修正或刪除請求項,未有新增請求項之情事,應繳規費不變。
本次有新增請求項者:
新增()項與修正前合計共()項。 本次應加收規費共計新台幣()元整。

Annex 4 (English translation added)

發明專利PPH修正申請書

Amendment Request Form under the PPH Program

申請案號	E : App	lication number	※ 5	素 由:2471	.6	
When amend	ment is sub	月 日()智專 mitted according to notific 肖: (中文/英文)	cation from TIPO,	write down the notifica	函辦理。 ation number	
二、申	請人:	:(共 人)App	licant informati	on		
國 籍 Nationality				陸、□香港、[land Hong Kong M		
身分種類 ID:	: []自然人 Natural person	Jı	人、公司、機關 uristic person, busin rganization, school		
姓名: Name (natural person)	姓: Last name	e in Chinese	名: First name in Chi First iname •	nese	(signature or seal)	(簽章)
名稱: Name	(中文) (英文)	(Name of juristic person	•			(簽章
代表人: Representative	(中文) (英文)	(Name of representative			(signature o	
地址: Address	(中文) (英文)	(Address in Chinese) (Address in English)			(signature o	

聯絡電話及分機:

Phone number and extension number

0 1	代理人 P	atent attorney infor	mation		
ID:					
Name 證書 Certif 地址 Addre 聯絡	字號: Ticate number :: ess 客電話及分	teme in Chinese 株: xtension number	名: First na	me in Chinese	(簽章) (signature or seal)
三、作	多正事項	Comments of	on amendment		
		the nature and purpose t(s), typed, left to right			needed, use
Ch sec 口申 Ch 1, Ch	neck to specify ction(s) and cla 請專利範圍 neck to specify 2012 shall app 式修正之圖 neck to specify 他說明事項	修正之項號及修立 the claim(s) and explan ly for scope of claims a 號及修正理由: the drawing(s) and exp	s) of specification E理由: nation(s) of amen and application fe	n with respect to the nundered adment. (Patent applicates.)	umbering of page(s),
		: Attached docume		endices	
		(Use only for in	ing attached docu	aments relating to appl	ications)
Pe pa pa cla co	tent application tent registration aim(s), drawing	ing: ant is cautioned to avoice that may contribute to n you are making a pub g(s) and supporting exp tial personal informatio	o identity theft. blic record. Accordanation(s) and s	Please be aware that we cordingly, all of the spe upplementary documentary doc	when you apply for a ecification, scope of ntation(s) shall not
	Check to spec 發明專利修 Check to spec	PPH 修正申請書 1 cify if one copy of requ 多正部分劃線之說 cify one copy of specifi (Filing date appears in	est form for ame 明書或申請真 ication or scope (郭利範圍修正頁 1 (of claim(s) with underl	
	omitted by re be shown by the original p	ust indicate the precise issue must be shown by underlining the added to latent. The numbering reexamination proceeding	y strike-through. text. All under g of patent claims	The text of any adderlined changes shall be preserved. The num	d subject matter must made in comparison to bering of any claims
3、	·發明專利修	多正後無劃線之說	明書或申請專	科範圍或圖式替	換頁各1份
		ecify one copy if replacerlines are provided.	ement sheet(s) or	f specification, claim(s) or drawing(s) that

□ 4、委任書 1 份。 Check if Power of Attorney is attached □ 5、申復書一式 1 份。 Check if one copy of response are attached □ 6、申請專利範圍對應表 1 份。 Check if a claim correspondence table is attached □ 7、其他: Check if other documents are attached
*申請專利範圍請求項及規費之說明: Explanation of claims and fee (本欄位僅為99年1月1日起提出之發明專利申請案適用。) (only for invention applications filed after January 1, 2010)
(一) 申請案發給第一次審查意見通知前,提出本次修正申請專利範圍者: If first office action has not been issued, substantive examination fee shall be calculated in accordance with the amended claims: 本案已提出實體審查申請,本次僅修正請求項,未有新增或刪除請求
項之情事,應繳規費不變。 Request for substantive examination has been made, but amended claims do not include newly added claims or deleted claims; fee unchanged 本案已提出實體審查申請,本次有新增或刪除請求項者: Request for substantive examination has been made and the amended claims include newly added claims or deleted claims: 新增()項,刪除()項,修正後共計()項。 Newly added claims, deleted claims, total claims after amendment 本次應 如收或 是還規費共計新台幣()元整。 NT \$ □ added □ refunded
(二) 申請案發給第一次審查意見通知後,提出本次修正申請專利範圍者: Where a first office action has been issued, substantive examination fee shall be calculated in accordance with the newly added claims and pending claims before first office action:
本次僅修正或刪除請求項,未有新增請求項之情事,應繳規費不變。 Amendment only amends or deletes claims and does not add any new claims; fee unchanged 本次有新增請求項者: The amendment adds new claims: 新增()項,修正後共計()項。 Newly added claims, total claims after amendment 本次應加收規費共計新台幣()元整。 NT\$ added