#### Procedures to file a request with the Taiwan Intellectual Property Office (TIPO) for Patent Prosecution Highway (PPH) Program between TIPO and the Spanish Patent and Trade Mark Office (SPTO)

Amended and enacted on April 15, 2016

The Patent Prosecution Highway (PPH) MOTTAINAI program enables an applicant, whose claims have been determined to be allowable/patentable in the Office of Earlier Examination (OEE), SPTO, to undergo an accelerated examination under the PPH in the Office of Later Examination (OLE), TIPO, with a simple procedure according to a request from an applicant.

The PPH MOTTAINAI program between TIPO and SPTO commence on April 15, 2016 and end on April 14, 2019. After three years, the program will be automatically implemented under the same conditions unless, at least two months before the end of the program, either Office gives the other one written notice of its intention to end the application of the program.

#### 1. Request with TIPO for accelerated examination under PPH

An applicant should file a request for accelerated examination under the PPH with TIPO by submitting a completed "Request for Acceleration Examination under TIPO-SPTO PPH Program" request form accompanied by the relevant supporting documents. The requirements for filing accelerated examination with TIPO under the PPH are given in paragraph 2. Relevant supporting documentations (paragraph 3) and procedure for accelerated examination under the PPH program at TIPO is discussed under paragraph 4. The PPH request form is available on TIPO's web site, http://www.tipo.gov.tw/pph.

# 2. Requirements for requesting accelerated examination under the PPH Program at TIPO

There are four requirements for requesting accelerated examination under the PPH program at TIPO. These are:

# (a) Both the TW application on which PPH is requested and the ES application(s) forming the basis of the PPH request shall have the same earliest date (whether this be a priority date of a filing date).

For example, the TW application may be either:

- (i) an application which has validly claimed priority under Taiwan's Patent Act §28 to the ES application(s) (see Figures A, B and C in Annex 1), or
- (ii) an application which has validly claimed priority under Taiwan's Patent Act \$28 to the PCT application(s) entering without priority claim (see Figure D and

E in Annex 1), or

- (iii) an application which provides the basis of a valid priority claim under Spain's National Law for the ES application(s) (see Figures F, G and H in Annex 1), or (iv) an application which shares a common priority document with the ES
  - applications(s) (see Figures I, J and K in Annex 1).

The TW application which has validly claimed priority to multiple ES or PCT applications, or which is a divisional application validly based on the originally filed application that is included in (i) to (iv) above, is also eligible.

The program is not applicable to utility model applications and design applications.

# b) At least one corresponding ES application has one or more of those claims that have been determined to be allowable/patentable by the SPTO

Claims are "determined to be allowable/patentable" when a SPTO examiner clearly identifies the claims to be allowable/patentable in the latest office action, even if the application has not been granted for patent.

#### c) All claims on file, as originally filed or as amended, for accelerated examination under the PPH must sufficiently correspond to one or more claims indicated as allowable in SPTO

Claims shall be considered sufficiently corresponding where, accounting for differences in translations and claim format, the claims on file are of the same or similar scope as the claims in SPTO, or the claims on file are narrower in scope than the claims in SPTO. In this regard, a claim on file that is narrower in scope occurs when a SPTO claim is amended to be further limited by an additional technical feature that is supported in the specification (and/or claims). When possible, the claim on file should be presented in dependent form.

A claim in TIPO that introduces a new/different category of claims to those claims indicated as allowable in the SPTO is not considered sufficiently corresponding. For example, where the SPTO claims only contain claims to a process of manufacturing a product, then the claims in TIPO would not be considered sufficiently corresponding if the TIPO claims introduce product claims that are dependent on the corresponding process claims.

#### d) The applicant has been notified that a substantive examination will begin shortly; and TIPO has not issued a first examination report on the application

# 3. Documents to be submitted for accelerated examination under the PPH program:

Documents (a) to (d) below should be submitted by attaching to "Request for Accelerated Examination under the PPH Program". The request form is in Annex 2.

# a) A copy of all office action(s) relating to the corresponding SPTO application(s) and translations of them if they are not in English

The translated copy could either be in Chinese or English. The applicant does not have to submit a copy of SPTO's office actions if these documents are provided via SPTO's Expedientes Digitalizados system.<sup>1</sup> If these documents could not be obtained by TIPO examiner via the SPTO's Expedientes Digitalizados system, the applicant may be notified and requested to provide the necessary documents. However, the translations of these documents must be submitted by the applicant because such translations are not provided via SPTO's Expedientes Digitalizados system.

# b) A copy of the claims determined to be allowable/patentable by SPTO, and their translations

The translated copy could either be in Chinese or English. The applicant does not have to submit a copy of claims indicated to be allowable/patentable by SPTO when the documents are provided via SPTO's Expedientes Digitalizados system. If these documents could not be obtained by TIPO examiner via the SPTO's Expedientes Digitalizados system, the applicant may be notified and requested to provide the necessary documents. However, the translations of these documents must be submitted by the applicant because such translations are not provided via SPTO's Expedientes Digitalizados system.

#### c) Copies of the references cited by the SPTO examiner

If the cited reference is a patent document, submission is not necessary, as they are usually available to TIPO. If TIPO could not access these relevant patent documents, the applicant must then submit these documents at the request of TIPO. If the cited reference is a non-patent literature, the applicant has to submit it.

A translation of the references is not required.

#### d) A claim correspondence table

The applicant must submit a claim correspondence table which explains the claims of the TIPO application for accelerated examination under the PPH program are sufficiently corresponding to the claims determined to be allowable/patentable by

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<sup>&</sup>lt;sup>1</sup> http://archivoenlinea.oepm.es/register/regviewer?lng=en

#### SPTO.

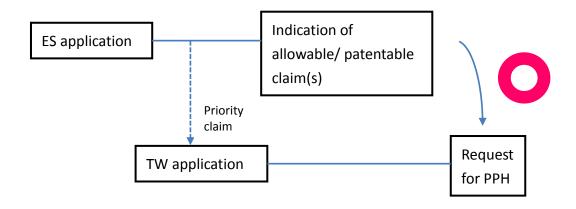
If the claims on file do not sufficiently correspond to one or more claims determined to be allowable/patentable by SPTO, but the applicant would like to amend the claims to sufficiently correspond to the allowable/patentable clams in the SPTO application(s), the applicant should amend the claims to fully comply with the corresponding terms at the same time as the request for accelerated examination under the PPH program. The claim correspondence table is given in Annex 3.

#### 4. Procedure for accelerated examination under the PPH Program at TIPO

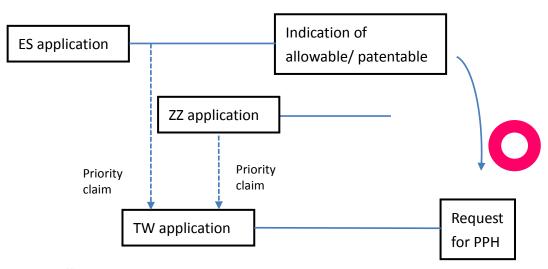
The applicant files a form requesting accelerated examination under the PPH program with TIPO, including relevant supporting documents. If the requirements are met, TIPO will conduct the accelerated examination. If the application does not qualify for participation in the PPH program, the applicant will be notified accordingly and given opportunity to perfect the request. If not perfected, the applicant will be notified and the application will await action in its regular turn.

The applicant must use the "Amendment Request Form under the PPH Program" (Annex 4) to make amendments during and after the request for accelerated examination under the PPH program. Other relevant documents must also be specified in terms of their connection to the request for PPH to ensure prompt and proper processing by TIPO.

#### A.A case meeting requirement (a)(i)

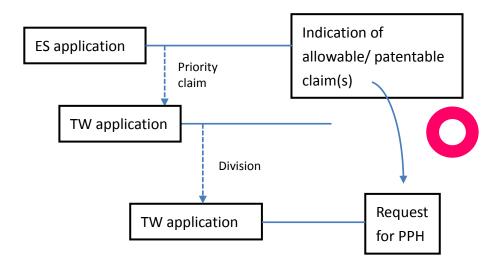


### $B. \, \text{A case meeting requirement (a)(i)}$

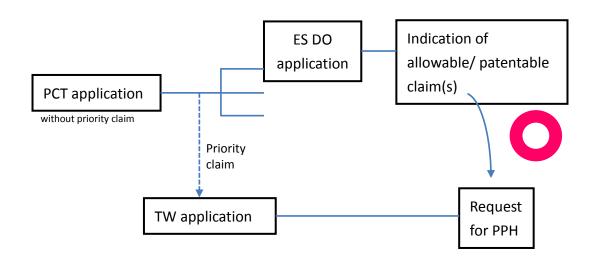


ZZ: any office

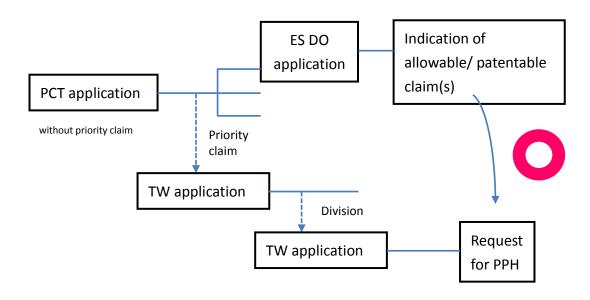
#### C. A case for divisional application meeting requirement (a)(i)



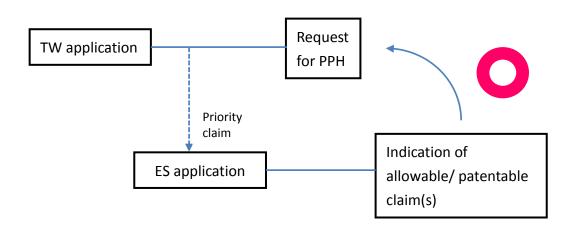
#### D.A case meeting requirement (a)(ii)



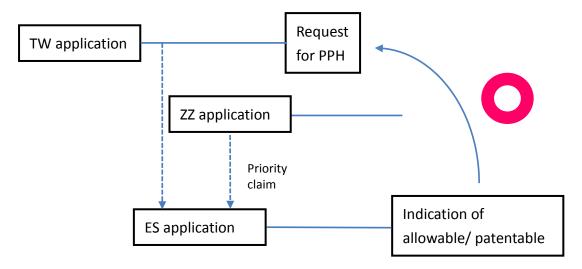
#### E. A case for divisional application meeting requirement (a)(ii)



#### F. A case meeting requirement (a)(iii)

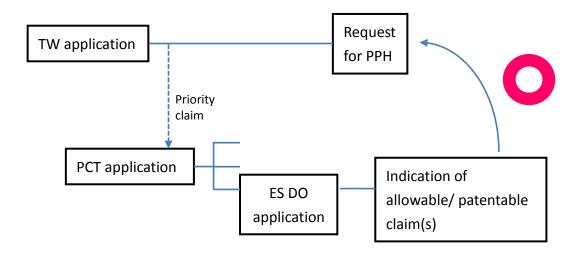


#### G.A case meeting requirement (a)(iii)

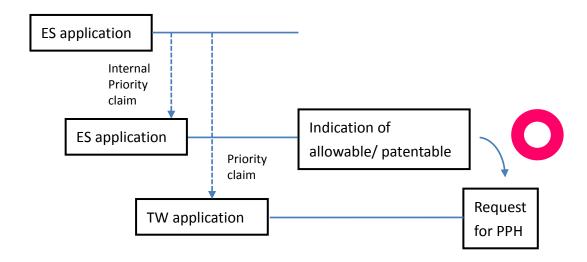


ZZ: any office

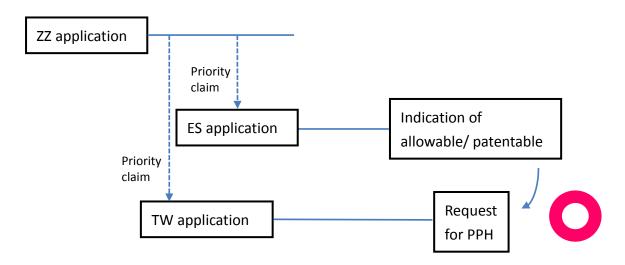
### $H. \hbox{A case meeting requirement (a)(iii)}$



#### I. A case meeting requirement (a)(iv)

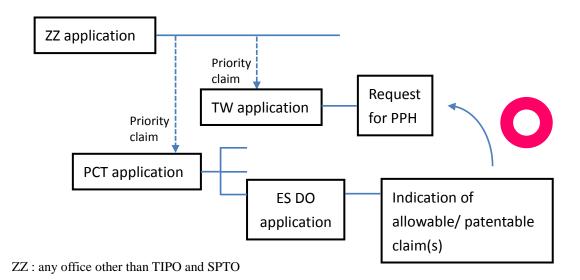


### J. A case meeting requirement (a)(iv)



ZZ: any office other than TIPO and SPTO

### $K. \hbox{A case meeting requirement (a)(iv)}$



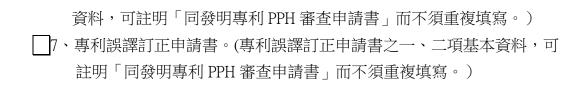
# 發明專利PPH審查申請書

(本申請書格式、順序,請勿任意更動,※記號部分請勿填寫)

申請案號:	※案	由:24714	
☐ 一併申請 PPH 修正 ☐ 一併申請	誤譯訂正		
一、發明名稱:			
二、申請人:(共 人)(多位申 名或名科 除)		立完整複製後依序填寫,姓 了,不須填寫的部分可自行刪	
國 籍: □中華民國 □大陸 <sup>□</sup> □外國籍:	也區(□大陸 	、□香港、□澳門)	
身分種類: □自然人	□法人	、公司、機關、學校	
ID:			
姓名: 姓: 名	<u>Z</u> :		
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名稱: (中文)			(***
(英文)			/ feder when '
代表人: (中文)			(簽章)
(英文)			( february)
地址: (中文)			(簽章)
(英文)			
聯絡電話及分機:			

〇代埋人: (多位代理人時,應將本欄位完整:	複製後依序填寫)
ID: 姓名:	
	(簽章
證書字號:	
地址:	
聯絡電話及分機:	
三、對應之□美國□日本□西亞 【格式請依:申請案號、公開編號、公 得公開編號或公告編號者,得不註記 1.	·告編號 順序註記,惟如尚未取
四、附送書件:	
(*個人資料保護注意事項: 申請人已詳閱申請須知所定個人資料保 說明書、申請專利範圍、圖式、修正訪 附件(除委任書外),不包含應予保密之 意智慧財產局提供任何人以自動化或非 或影印。)	识書、修正理由書、申復書及其 個人資料;其載有個人資料者,同
□1、所有審查意見書影本(含中譯本或英語	澤本)。
1-1 審查意見書請經由 USPTO Public PA	IR 系統取得(台美 PPH)。
文件名稱	日期
1-2 審查意見書及英譯本請經由 JPO AII 文件名稱	PN 系統取得(台日 PPH)。 日期
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1-3	■ 審查意見書請經由 SPTO Expedientes (勾選此項時,仍應檢附審查意見書	
	文件名稱	日期
1-4	審查意見書及英譯本請經由 KIPO K	
	文件名稱	日期 日期
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2	・審査達到可核准之申請專利範圍影本	(含中譯本或英譯本)。
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		IPO AIPN 系統取得(台日 PPH)。
	2-2 申請專利範圍及英譯本請經由 3 文件名稱	IPO AIPN 系統取得(台日 PPH)。 日期
	2-2 申請專利範圍及英譯本請經由 3 文件名稱	PO AIPN 系統取得(台日 PPH)。 日期 edientes Digitalizados 系統取得(台西
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#### Annex 2 (English translation added)

# 發明專利 PPH 審查申請書

Request for Acceleration Examination under the PPH Program

申請案號	: App	lication number		※案	由:24714	
Check if reerrors at the	questing to e same tin	多正 一一併申請for <u>amendment under</u> ne Title of the inv	PPH progr	am / corre	ction of transl	ation_
二、申	請人	:(共 人)App	olicant info	rmation		
國 籍 Nationality	· <u> </u>				□香港、[ Hong Kong M	
身分種類 ID:	: [	]自然人 Natural person	[	Juristic	公司、機關 person, busin ation, school	
	姓: Last name	e in Chinese	名: First name First :	e in Chinese		(signature or seal) <sub>(簽章</sub>
名稱: Name	(1) ()	(Name of juristic person				
代表人: Representative	(中文) (英文)	(Name of representative				(signature or seal
地址: Address	(中文) (英文)	(Address in Chinese) (Address in English)				(簽章 (signature or seal
聯絡電記		ention number				

〇代埋人: Patent attorney information	
ID:	
姓名: Name 證書字號: Certificate number 地址: Address 聯絡電話及分機: Phone number and extention number	(簽章) (signature or seal)
三、對應之□美國□日本□西亞The corresponding application(s) is/are □【格式請依:申請案號、公開編號、公得公開編號或公告編號者,得不註記1. 【Application number / Publication number corresponding application(s)】	US □JP □ES □KR application(s) 告編號 順序註記,惟如尚未取 已】
四、附送書件: Attached documents	
(*Privacy Act Warning:  Petitioner/applicant is cautioned to avoid submittin filed in a patent application that may contribute to when you apply for a patent registration you are m of the specification, scope of claim(s), drawing(s) supplementary documentation(s) shall not contain individual may directly or indirectly employ this in	identity theft. Please be aware that aking a public record. Accordingly, all and supporting explanation(s) and confidential personal information. Any
□1、所有審查意見書影本(含中譯本或英語 Check if copies of all office action(s) (which a patentability) issued for the corresponding app attached or could be obtained from the dossier 1-1 ■審查意見書請經由 USPTO Public PA Check if TIPO is to obtain the documents from US	re relevant to substantial examination for lication(s) (and translations of them) are access system IR 系統取得(台美 PPH)。
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1-2 <b>審查意見書及英譯本請經由 JPO AIF</b> Check if TIPO is to obtain the documents from JPO	
文件名稱 Document name	日期 Date

1-3	審查意見書請經	曲 SPTO Expedientes	Digitalizados 系統	的取得(台西 PPH)	0
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	文 广 石 併	Document name		Date	
1-4	審查意見書及英	譯本請經由 KIPO K	-PION 系統取得(f	台韓 PPH)。	
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2、氢	· 審查達到可核准:	之申請專利範圍影本	(	<b>墨</b> 本)。	
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2-	-2 申請專利節	園及英譯本請經由.	IPO AIPN 系統取行	得(台日 PPH)。	
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_		置及英譯本請經由] n the documents from KII			
Chec	文件名稱	Document name	日期	Date	J. F TOGIAIII
	7 3 — 114				

3、引用作為專利准、駁判斷依據之引證 Check if copies of the references cited in the of	ffice action(s) are attached
(※」引證文獻屬專利文獻無需檢送	· )
Check if the cited references are patent docume	ents; submission is not necessary
4、申請專利範圍對應表。	
Check if a claim correspondence table is attach	
│ 5、其他有利於本局 PPH 審查之文件。(	〔請敘明〕
Check if other supporting documents are attach	ed (please write down the name of documents)
6、發明專利 PPH 修正申請書。	
Check if an Amendment Request Form under the control of the contr	
Check if an Request Form for correction of tran	islation errors is attached

### 申請專利範圍對應表

第    號申請案	對應之外國申請案經審查達	對應我國申請案之
申請專利範圍	到可核准之申請專利範圍	充分對應說明

### Annex 3 (English translation added)

### 申請專利範圍對應表

Claim Correspondence Table

第 號申請案 申請專利範圍 Claims in TW application	對應之外國申請案經審查達 到可核准之申請專利範圍 Patentable/Allowable claims in the	對應我國申請案之 充分對應說明 Comments on the
Claims in 1 w application	corresponding application	correspondence

# 發明專利PPH修正申請書

(本申請書格式、順序,請勿任意更動,※記號部分請勿填寫)

申請案號	L					※案	由:	24716		
依據: 一、發				<i>/</i> – ¬	字第			號函辦理	里。	
二、申 (第1申			共	人)(多位申 名或名和 除)		態將本欄位完 種類填寫,不				
			<del></del>				<b>コナ.</b> '4		1H \	
國 籍	Î.			國 □大陸均 ·		」大陸、[		∶、□澳□	'引)	
身分種類	<b>į</b> :	<u> </u>		:		]法人、/	公司、	機關、	學校	
${\mathbb I}{\mathbb D}:$										
姓名:	姓:			名	当:					
	Last • name				rst • me					(簽章)
名稱:	(中文									(数平)
	(英文	)								(簽章)
代表人:	(中文	)								(双早)
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地址:	(中文	)								(簽章)
	(英文									
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聯絡電話及分機:

◎代	理人:(多位代	理人時,應將本欄	位完整複製後依序	填寫)	
ID:					
姓名	: 姓:		名:		(簽章)
證書与	字號:				
地址	:				
聯絡電	電話及分機:				
三、修	正事項:				
	於所勾選修正說明事 <sup>工</sup> 式橫書繕打,以附件相			數過多者,請另以』	A4 紙張
說明	]書修正之頁數、月	没落編號及行數	及修正理由:		
	情事利範圍修正之耳 年1月1日起提出之間			圍請求項及規費之詞	說明)
置置	《修正之圖號及修》	E理由:			
其他	范說明事項如附件	:			
四、附	送書件:伝統	頁填寫的部分可自行	<b>行刪除</b> )		
申記明書	人資料保護注意事 青人已詳閱申請須 書、修正申請專利 予保密之個人資料 上或非自動化之方	知所定個人資料 範圍、修正圖式 ;其載有個人資	、申復書及其 資料者,同意智	附件(除委任書外 『慧財產局提供日	、),不包含
1 . 2	發明專利 PPH 修正	三申請書一式2位	分。		
	發明專利修正部分 於每頁右上角註記			修正頁一式1份	`。(請
ļ	如為刪除原說明書 明書內容者,應畫 均以原說明書為基 次均應調整)	線於新增文字	下方。各次修正	應劃線註記之部	3分,
	發明專利修正後無 見通知送達前一式 原說明書或申請專	3份,審查意見	見通知送達後-	式2份);如修正	E後致

說明書或申請專利範圍或圖式(審查意見通知送達前一式3份,審查意
見通知送達後一式2份)(請於每頁右上角註記送件申請修正之日期)。
□4、委任書 1 份。
□5、申復書一式 2 份。
□6、申請專利範圍對應表 1 份。
*申請專利範圍請求項及規費之說明: (本欄位僅為99年1月1日起提出之發明專利申請案適用。)
(一) 申請案發給第一次審查意見通知前,提出本次修正申請專利範圍者:
<ul><li>□本案已提出實體審查申請,本次僅修正請求項,未有新增或刪除請求項之情事,應繳規費不變。</li></ul>
□本案已提出實體審查申請,本次有新增或刪除請求項者:
新增(  )項,刪除(  )項,修正後共計(  )項。
本次應 加收或 退還規費共計新台幣( )元整。
(二) 申請案發給第一次審查意見通知後,提出本次修正申請專利範圍者:
□本次僅修正或刪除請求項,未有新增請求項之情事,應繳規費不變。
□本次有新增請求項者:
新增( )項,修正後共計( )項。 本次應加收規費共計新台幣( )元整。

### Annex 4 (English translation added)

## 發明專利PPH修正申請書

Amendment Request Form under the PPH Program

申請案號	: Appl	lication number	•	※案	由: 24716		
When amend	ment is sub	月( )智專 mitted according to notific <b>肖:</b> (中文/英文)	cation from TIP	O, write dow	vn the notification	辦理。 on number	
二、申	請人:	(共 人)App	olicant inform	ation			
國 籍 Nationality					□香港、□		
身分種類 ID:	: [	]自然人 Natural person		Juristic p	公司、機關 erson, busine ion, school		
	姓: Last name Last name	e in Chinese	名: First name in First :	Chinese		(signature or seal) (	簽章)
名稱: Name	(中文) (英文)	(Name of juristic person		-		English)	
代表人: Representative	(中文) (英文)	(Name of representative				(signature or	
地址: Address	(中文) (英文)	(Address in Chinese) (Address in English)				(signature or	簽章) r seal)

#### 聯絡電話及分機:

Phone number and extention number

◎代理人 Patent attorney information
ID:
姓名: 姓: 名: Name Last name in Chinese First name in Chinese (signature or seal)
證書字號: Certificate number 地址: Address
聯絡電話及分機: Phone number and extention number
三、修正事項: Comments on amendment
Check to specify the nature and purpose of the amendment. If more space is needed, use separate A4 sheet(s), typed, left to right and prepare 2 copies.
說明書修正之頁數、段落編號及行數及修正理由: Check to specify the inserted new page(s) of specification with respect to the numbering of page(s), section(s) and claim(s).
申請專利範圍修正之項號及修正理由: Check to specify the claim(s) and explanation(s) of amendment. (Patent applications filed after January 1, 2012 shall apply for scope of claims and application fee.)
□ ■式修正之圖號及修正理由: Check to specify the drawing(s) and explanation(s) □ 其他說明事項如附件:
Check to specify supplementary documentation and appendices
四、附送書件: Attached documents (Use only for filing attached documents relating to applications)
(*Privacy Act Warning:  Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Please be aware that when you apply for a patent registration you are making a public record. Accordingly, all of the specification, scope of claim(s), drawing(s) and supporting explanation(s) and supplementary documentation(s) shall not contain confidential personal information. Any individual may directly or indirectly employ this information.)
□1、發明專利 PPH 修正申請書一式 2 份。 Check to specify if two copies of request form for amendment are provided. □2、發明專利修正部分劃線之說明書或申請專利範圍修正頁一式 1 份。
Check to specify two copies of specification or scope of claim(s) with underlined portions of amendment. (Filing date appears in the upper right corner)
(Applicant must indicate the precise point where each amendment is made. The matter to be omitted by reissue must be shown by strike-through. The text of any added subject matter must be shown by underlining the added text. All underlined changes shall be made in comparison to the original patent. The numbering of patent claims preserved. The numbering of any claims added in the reexamination proceeding must follow the number of the highest numbered patent claim.)
3、發明專利修正後無劃線之說明書或申請專利範圍或圖式替換頁(審查意
見通知送達前一式3份,審查意見通知送達後一式2份);如修正後致
原說明書或申請專利範圍或圖式頁數不連續者,應檢附修正後之全份

說明書或申請專利範圍或圖式(審查意見通知送達前一式3份,審查意見通知送達後一式2份)(請於每頁右上角註記送件申請修正之日期)。

Check to specify if replacement sheet(s) of specification, claim(s) or drawing(s) that have no underlines are provided. In case the page numbers of specification or drawings are not consecutive, a complete set of specification, claims or drawings of amendment shall be submitted in three copies. (Please send 3 copies before receiving office actions, and 2 copies after receiving office actions) (Filing date appears in the upper right corner)

	□ 4、委任書 1 份。 Check if Power of Attorney is attached □ 5、申復書一式 2 份。 Check if two copies of response are attached □ 6、申請專利範圍對應表 1 份。 Check if a claim correspondence table is attached □ 7、其他: Check if other documents are attached
*	申請專利範圍請求項及規費之說明: Explanation of claims and fee (本欄位僅為99年1月1日起提出之發明專利申請案適用。) (only for invention applications filed after January 1, 2010)
(	(一) 申請案發給第一次審查意見通知前,提出本次修正申請專利範圍者: If first office action has not been issued, substantive examination fee shall be calculated in accordance with the amended claims:  本案已提出實體審查申請,本次僅修正請求項,未有新增或刪除請求
	項之情事,應繳規費不變。 Request for substantive examination has been made, but amended claims do not include newly added claims or deleted claims; fee unchanged  本案已提出實體審查申請,本次有新增或刪除請求項者:
	Request for substantive examination has been made and the amended claims include newly added claims or deleted claims: 新增( )項,刪除( )項,修正後共計( )項。 Newly added claims, deleted claims, total claims after amendment 本次應 加收或 退還規費共計新台幣( ) 元整。 NT \$ □ added □ refunded
	(二) 申請案發給第一次審查意見通知後,提出本次修正申請專利範圍者: Where a first office action has been issued, substantive examination fee shall be calculated in accordance with the newly added claims and pending claims before first office action:
	本次僅修正或刪除請求項,未有新增請求項之情事,應繳規費不變。 Amendment only amends or deletes claims and does not add any new claims; fee unchanged
	□本次有新增請求項者: The amendment adds new claims: 新增( )項,修正後共計( )項。 Newly added claims, total claims after amendment 本次應加收規費共計新台幣( )元整。
	NT \$ added