Procedures to file a request with the Taiwan Intellectual Property Office (TIPO) for Patent Prosecution Highway (PPH) Program between TIPO and the Korean Intellectual Property Office (KIPO)

Amended and enacted on July 1, 2020

The Patent Prosecution Highway (PPH) MOTTAINAI program enables an application, whose claims have been determined to be allowable/patentable in the Office of Earlier Examination (OEE), KIPO, to undergo an accelerated examination under the PPH in the Office of Later Examination (OLE), TIPO, with a simple procedure according to a request from an applicant.

The PPH MOTTAINAI program between TIPO and KIPO will be fully implemented on a permanent basis on July 1, 2020.

1. Request with TIPO for accelerated examination under PPH

An applicant should file a request for accelerated examination under the PPH with TIPO by submitting a completed "Request for Accelerated Examination under TIPO-KIPO PPH Program" request form accompanied by the relevant supporting documents. The requirements for filing accelerated examination with TIPO under the PPH are given in paragraph 2. Relevant supporting documentations and procedure for accelerated examination under the PPH program at TIPO are discussed under paragraphs 3 and 4. The PPH request form is available on TIPO's web site, https://topic.tipo.gov.tw/patents-tw/cp-721-870867-dfb82-101.html.

2. Requirements for requesting accelerated examination under the PPH Program at TIPO

There are four requirements for requesting accelerated examination under the PPH program at TIPO. These are:

a) Both the TW application on which PPH is requested and the KIPO application(s) forming the basis of the PPH request shall have the same earliest date (whether this be a priority date or a filing date).

For example, the TW application requesting PPH must meet one of the following conditions:

- (i) an application which has validly claimed priority under Taiwan's Patent Act §28 to the KR application(s) (see Figures A, B, C and D in Annex 1), or
- (ii) an application which has validly claimed priority under Taiwan's Patent Act \$28 to the PCT application(s) without priority claim (see Figures E and F in Annex 1), or
- (iii) an application which provides the basis of a valid priority claim under Korea's National Law for the KR application(s) (see Figures G, H and I in Annex 1), or

(iv) an application which shares a common priority document with the KR application(s) (see Figures J and K in Annex 1).

The program is not applicable to utility model applications and design applications.

b) At least one corresponding KR application has one or more of those claims that have been determined to be allowable/patentable by the KIPO

Claims are "determined to be allowable/patentable" when a KIPO examiner clearly identifies the claims to be allowable/patentable in the latest office action, even if the application has not been granted for patent.

The office action may be either:

- (a) Decision to Grant a Patent
- (b) Notification of Reasons for Refusal
- (c) Decision of Refusal
- (d) Appeal Decision

c) All claims on file, as originally filed or as amended, for accelerated examination under the PPH must sufficiently correspond to one or more claims indicated as allowable in KIPO

Claims shall be considered sufficiently corresponding where, accounting for differences in translations and claim format, the claims on file are of the same or similar scope as the claims in KIPO, or the claims on file are narrower in scope than the claims in KIPO. In this regard, a claim on file that is narrower in scope occurs when a KIPO claim is amended to be further limited by an additional technical feature that is supported in the specification (and/or claims). When possible, the claim on file should be presented in dependent form.

A claim in TIPO that introduces a new/different category of claims to those claims indicated as allowable in the KIPO is not considered sufficiently corresponding. For example, where the KIPO claims only contain claims to a process of manufacturing a product, then the claims in TIPO would not be considered sufficiently corresponding if the TIPO claims introduce product claims that are dependent on the corresponding process claims.

d) The applicant has been notified that a substantive examination will begin shortly; and TIPO has not issued a first examination report on the application

3. Documents to be submitted for accelerated examination under the PPH program:

Documents (a) to (d) below should be submitted by attaching to "Request for Accelerated Examination under the PPH Program". The request form is in Annex 2.

a) A copy of all office action(s) relating to the corresponding KIPO application(s) and translations of them if they are not in English

The translated copy could either be in Chinese or English. The applicant does not have to submit a copy of KIPO office actions and their corresponding translations if these documents are provided via KIPO K-PION system, since the office actions and their machine translations are available for TIPO examiner via the KIPO K-PION system. If these documents could not be obtained by TIPO examiner via the KIPO K-PION system or if it is impossible for the examiner to understand the outline of the translated office action due to insufficient translation, the applicant may be notified and requested to provide the necessary documents.

b) A copy of the claims determined to be allowable/patentable by KIPO, and their translations if they are not in English

The translated copy could either be in Chinese or English. The applicant does not have to submit a copy of claims indicated to be allowable/patentable by KIPO and translations thereof when the documents are provided via KIPO K-PION system, since the claims and their machine translations are available for TIPO examiner via the KIPO K-PION system. If these documents could not be obtained by TIPO examiner via the KIPO K-PION system or if it is impossible for the examiner to understand the outline of the claims due to insufficient translation, the applicant may be notified and requested to provide the necessary documents.

c) Copies of the references cited by the KIPO examiner

If the cited references are patent documents, submission is not necessary, as they are usually available to TIPO. If TIPO could not access these relevant patent documents, the applicant must then submit these documents at the request of TIPO. If the cited reference is a non-patent literature, the applicant has to submit it.

A translation of the references is not required.

d) A claim correspondence table

The applicant must submit a claim correspondence table which explains the claims of the TIPO application for accelerated examination under the PPH program are sufficiently corresponding to the claims determined to be allowable/patentable by KIPO.

If the claims on file do not sufficiently correspond to one or more claims determined to be allowable/patentable by KIPO, but the applicant would like to amend the claims to sufficiently correspond to the allowable/patentable clams in the KIPO application(s), the applicant should amend the claims to fully comply with the

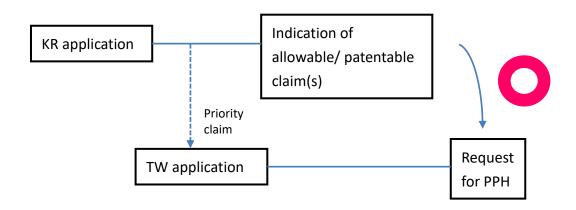
corresponding terms at the same time as the request for expedited examination under the PPH program. The claim correspondence table is given in Annex 3.

4. Procedure for accelerated examination under the PPH Program at TIPO

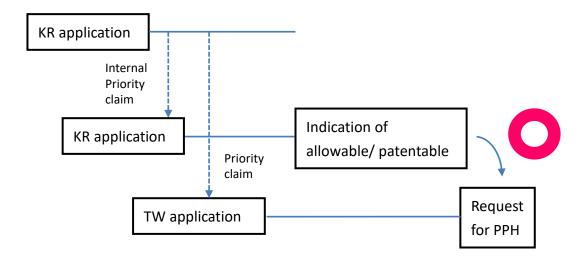
The applicant files a form requesting accelerated examination under the PPH program with TIPO, including relevant supporting documents. If the requirements are met, TIPO will conduct the accelerated examination. If the application does not qualify for participation in the PPH program, the applicant will be notified accordingly and given opportunity to perfect the request. If not perfected, the applicant will be notified and the application will await action in its regular turn.

The applicant must use the "Amendment Request Form under the PPH Program" (Annex 4) to make amendments during and after the request for expedited examination under the PPH program. Other relevant documents must also be specified in terms of their connection to the request for PPH to ensure prompt and proper processing by TIPO.

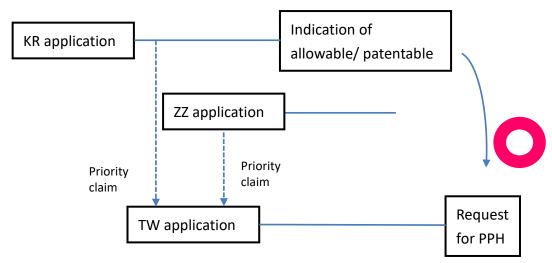
A.A case meeting requirement (a)(i)



$B.\ A\ case\ meeting\ requirement\ (a)(i)$

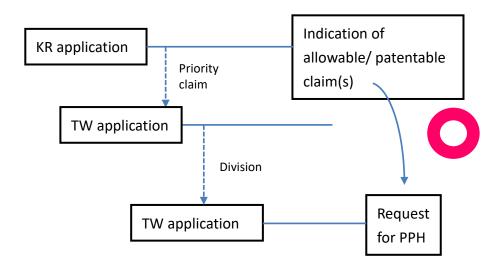


C. A case meeting requirement (a)(i)

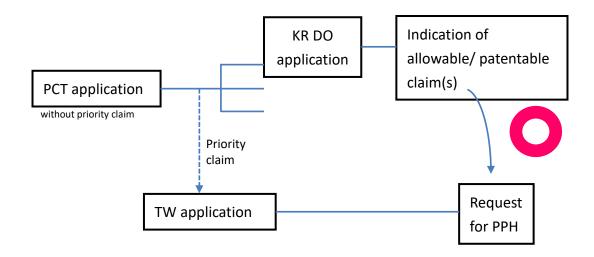


ZZ: any office

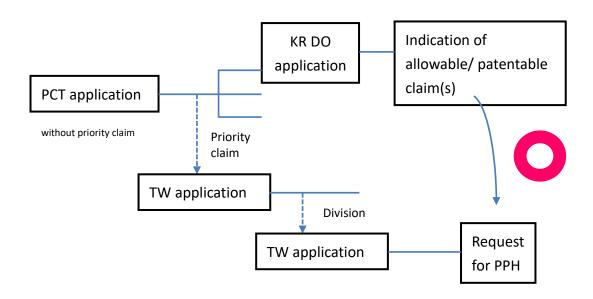
$\boldsymbol{D.} \boldsymbol{A} \ case \ for \ divisional \ application \ meeting \ requirement \ (a)(i)$



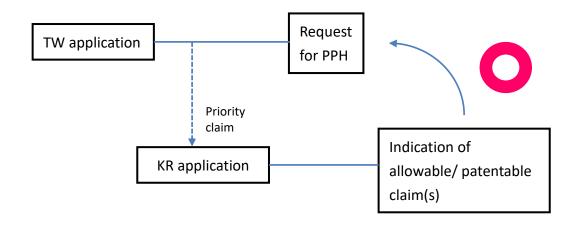
E. A case meeting requirement (a)(ii)



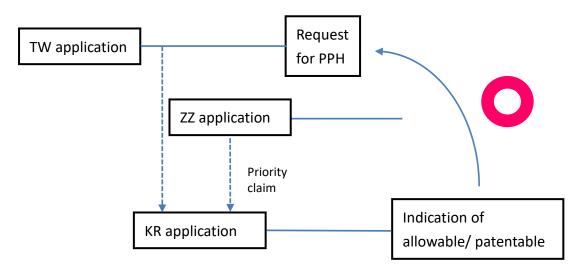
$F_{\:\raisebox{1pt}{\text{\circle*{1.5}}}}$ A case for divisional application meeting requirement (a)(ii)



G.A case meeting requirement (a)(iii)

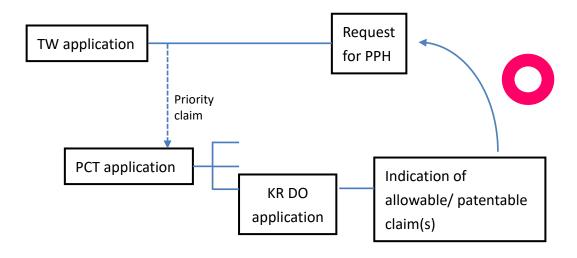


H.A case meeting requirement (a)(iii)

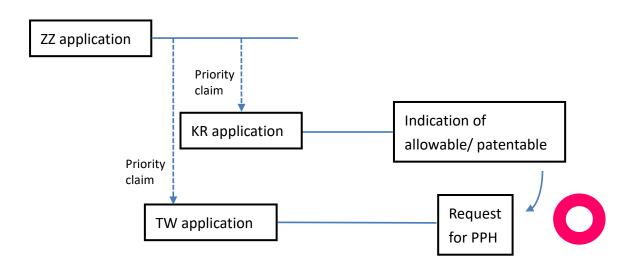


ZZ: any office

I. A case meeting requirement (a)(iii)

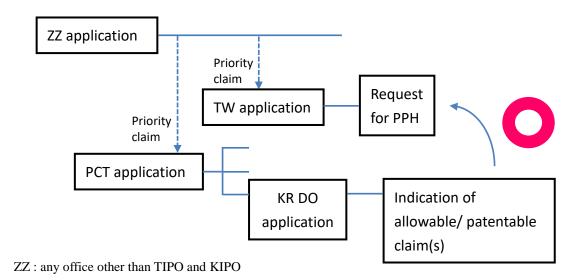


J. A case meeting requirement (a)(iv)



ZZ: any office other than TIPO and KIPO

$K. \hbox{A case meeting requirement (a)(iv)}$



發明專利 PPH 審查申請書

(本申請書格式、順序,請勿任意更動,※記號部分請勿填寫)

申請案號:	※案	由:24714	
☐ 一併申請 PPH 修正 ☐ 一併申請誤詞	澤訂正		
一、發明名稱:			
二、申請人:(共 人)(多位申詞 名或名称 除)		位完整複製後依序填寫,姓 另,不須填寫的部分可自行刪	
國籍: 中華民國 大陸地 一外國籍:	也區(□大陸 	、□香港、□澳門)	
身分種類: □自然人	□法人	、公司、機關、學校	
ID:			
姓名: 姓: 名	<u></u>		
Last • First name • name	st • me		(簽章)
名稱: (中文)			(太平)
(英文)			(Belle what
代表人:(中文)			(簽章)
(英文)			
地址: (中文)			(簽章)
(英文)			
聯絡電話及分機:			

○代理人:(多位代理人時,應將本欄位完整複製後依序填寫)	
ID:	
姓名:	(簽章)
證書字號:	
地址:	
聯絡電話及分機:	
三、對應之□美國□日本□西班牙□韓國□波蘭□加拿大申請案: □加拿大申請案: 【格式請依:申請案號、公開編號、公告編號 順序註記,惟如尚未取 得公開編號或公告編號者,得不註記】 1.	
四、附送書件:	
(*個人資料保護注意事項: 申請人已詳閱申請須知所定個人資料保護注意事項,並已確認所檢附之 說明書、申請專利範圍、圖式、修正說明書、修正理由書、申復書及其 附件(除委任書外),不包含應予保密之個人資料;其載有個人資料者,同 意智慧財產局提供任何人以自動化或非自動化之方式閱覽、抄錄、攝影 或影印。)	
□1、所有審查意見書影本(含中譯本或英譯本)。	
1-1 審查意見書請經由 USPTO Public PAIR 系統取得(台美 PPH)。	
1-2 審查意見書及英譯本請經由 JPO 檔卷歷程系統(AIPN 或 OPD)取得(台	
⊟ PPH) ∘	
1-3 審查意見書請經由 SPTO Expedientes Digitalizados 系統取得(台西 PPH)。 (勾選此項時,仍應檢附審查意見書之翻譯本)	
1-4 審查意見書及英譯本請經由 KIPO K-PION 系統取得(台韓 PPH)。	
1-5 審查意見書請經由 PPO Publication Server 系統取得(台波 PPH)。 (勾選此項時,仍應檢附審查意見書之翻譯本)	
1-6 圖審查意見書請經由 CIPO Canadian Patents Database 系統取得(台加PPH)。	

	文件名稱	日期
<u></u>	·審查達到可核准之申請專利範圍影本	
	2-1 申請專利範圍請經由 USPTO Pu	blic PAIR 系統取得(台美 PPH)
	2-2 申請專利範圍及英譯本請經由	JPO 檔卷歷程系統(AIPN 或 OPD)
	— 取得(台日 PPH)。	
	2-3 申請專利範圍請經由 SPTO Experience PPH)。(勾選此項時,仍應檢附申請	
	2-4 申請專利範圍及英譯本請經 PPH)。	由 KIPO K-PION 系統取得(台韓
	2-5 申請專利範圍請經由 PPO Public (勾選此項時,仍應檢附申請專利範	
	2-6 申請專利範圍請經由 CIPO Cana PPH)。	dian Patents Database 系統取得(台加
	文件名稱	日期
	人口心脏	H 791
<u></u>	·引用作為專利准、駁判斷依據之引證	文獻。
	(※]引證文獻屬專利文獻無需檢送	•)
4 .	・申請專利範圍對應表。	
<u></u>	·其他有利於本局 PPH 審查之文件。	(請敘明)
$\overline{\square}_{6}$	· 發明專利 PPH 修正申請書。(發明專和	可 PPH 修正申請書之一、二項基本
	資料,可註明「同發明專利 PPH 審查	
□ 7、	·專利誤譯訂正申請書。(專利誤譯訂ī	
	註明「同發明專利 PPH 審查申請書	

Annex 2 (English translation added)

發明專利 PPH 審查申請書

Request for Accelerated Examination under the PPH Program

申請案號	: App	lication number		※案	由: 24714	
Check if reerrors at the	questing to e same tin	多正 一件申請for <u>amendment under</u> ne	PPH progr	am / corre	ction of transl	ation_
二、申	請人:	:(共 人)App	olicant info	rmation		
國 籍 Nationality	· <u> </u>				□香港、□ Hong Kong M	
身分種類 ID:	: []自然人 Natural person	[Juristic	公司、機關 person, busin ation, school	
姓名: Name (natural person)	姓: Last name Last name	e in Chinese	名: First name First :	in Chinese		(signature or seal) _{(簽章}
名稱: Name	(1) ()	(Name of juristic person				
代表人: Representative	(中文) (英文)	(Name of representative				(signature or seal
地址: Address	(中文) (英文)	(Address in Chinese) (Address in English)				(簽章 (signature or seal
聯絡電記 Phone numb		ension number				

〇代理人: Patent attorney information
${ m ID}:$
姓名: Name (簽章) (Signature or seal) Certificate number 地址: Address 聯絡電話及分機: Phone number and extension number
三、對應之□美國□日本□西班牙□韓國□波蘭
□加拿大申請案: The corresponding application(s) is/are □US □JP □ES □KR□PL□CA
application(s) 【格式請依:申請案號、公開編號、公告編號 順序註記,惟如尚未取 得公開編號或公告編號者,得不註記】
【Application number / Publication number / Patent number of the corresponding application(s) 】 1.
四、附送書件: Attached documents
(*Privacy Act Warning: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Please be aware that when you apply for a patent registration you are making a public record. Accordingly, all of the specification, scope of claim(s), drawing(s) and supporting explanation(s) and supplementary documentation(s) shall not contain confidential personal information. Any individual may directly or indirectly employ this information.)
1、所有審查意見書影本(含中譯本或英譯本)。 Check if copies of all office action(s) (which are relevant to substantial examination for patentability) issued for the corresponding application(s) (and translations of them) are attached or could be obtained from the dossier access system 1-1
1-2 審查意見書及英譯本請經由JPO檔卷歷程系統(AIPN或OPD)取得(台日
PPH) ° Check if TIPO is to obtain the documents from JPO's dossier access systems (AIPN system or One Portal Dossier (OPD) on J-platpat web page) (TIPO-JPO PPH)
1-3 審查意見書請經由 SPTO Expedientes Digitalizados 系統取得(台西 PPH)。
(勾選此項時,仍應檢附審查意見書之翻譯本) Check if TIPO is to obtain the documents from SPTO Expedientes Digitalizados system (TIPO-SPTO PPH) (The translations of the documents have to be attached still.)
1-4 審查意見書及英譯本請經由 KIPO K-PION 系統取得(台韓 PPH)。 Check if TIPO is to obtain the documents from KIPO K-PION system (TIPO-KIPO PPH)

1-5 審查意見書請經由 PPO Publication Se	erver 系統取得(台波 PPH)。(勾選此				
項時,仍應檢附審查意見書之翻譯本)					
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文件名稱 Document name	日期 Date				
2、審查達到可核准之申請專利範圍影本 Check if a copy of the claims determined to be					
them) is attached or could be obtained from the					
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2-2 申請專利範圍及英譯本請經由 J	PO 檔卷歷程系統(AIPN 或 OPD)取				
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Portal Dossier (OPD) on J-platpat web page) (TIPO-JPO PPH)					
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2-5 申請專利範圍請經由 PPO Public	cation Server 系統取得(台波 PPH)。				
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	المثلو				

	(※」引證文獻屬專利文獻無需檢送。)
\square_{4}	Check if the cited references are patent documents; submission is not necessary 中海車到節層影響。
	申請專利範圍對應表。 Check if a claim correspondence table is attached
5	其他有利於本局 PPH 審查之文件。(請敘明)
<u>6</u>	Check if other supporting documents are attached (please write down the name of documents) 發明專利 PPH 修正申請書。
7	Check if an Amendment Request Form under the PPH Program is attached 專利誤譯訂正申請書。 Check if an Request Form for correction of translation errors is attached

申請專利範圍對應表

第 號申請案	對應之外國申請案經審查達	對應我國申請案之
申請專利範圍	到可核准之申請專利範圍	充分對應說明

Annex 3 (English translation added)

申請專利範圍對應表

Claim Correspondence Table

第 號申請案 申請專利範圍 Claims in TW application	對應之外國申請案經審查達 到可核准之申請專利範圍 Patentable/Allowable claims in the corresponding application	對應我國申請案之 充分對應說明 Comments on the correspondence

發明專利PPH修正申請書

(本申請書格式、順序,請勿任意更動,※記號部分請勿填寫)

申請案號	<u>.</u>					※案	由: 2	4716	
依據: 一、發)智專 文/英文)	字第	ĵ.	5	虎函辦理	
			共	人)(多位申 名或名 除)		態將本欄位穿 種類填寫・2			
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國 籍	•		華民國籍	國大陸: :	地區(<u>L</u> ———	」大陸、[、 <u>□</u> 澳門	1)
身分種類	į :		然人]法人、	公司、	機關、學	校
ID:									
姓名:	姓:				名:				
	Last • name				irst • ame				(簽章)
名稱:	(中文	()							(奴早)
	(英文								
代表人:									(簽章)
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가 <u>다</u> 세. •									
	(英文	.)							
聯絡電話	5及分标	幾:							

〇仁 ID:	大理人:(多位代理人時	寺,應將本欄位完整 複 類	製後依序填寫)	
姓名	: 姓:	名:		(簽章)
證書	字號:			
地址	:			
聯絡	電話及分機:			
三、僧	修正事項:			
	青於所勾選修正說明事項之後 直式橫書繕打,以附件標示並],如字數過多者,請另以 A4 紙張 逐查。)	
說	明書修正之頁數、段落約	扁號及行數及修正理	胜:	
	請專利範圍修正之請求功 9年1月1日起提出之發明申		青專利範圍請求項及規費之說明)	
昌	式修正之圖號及修正理日	抽 :		
其色	他說明事項如附件:			
四、所	対送書件: _{(不須填寫}	的部分可自行刪除)		
申 明 應	書、修正申請專利範圍	、修正圖式、申復書 載有個人資料者,	意事項,並已確認檢附之修正	2含
1.	本發明專利 PPH 修正申	請書1份。		
<u></u>	發明專利修正部分劃線 頁右上角註記送件申請		利範圍修正頁 1 份。(請於每	
(明書內容者,應劃線於	新增文字下方。各	刪除之文字上;如為增加說 次修正應劃線註記之部分, 求項項次改變者,其後之項	
$\square 3$ \	發明專利修正後無劃線	之說明書或申請專	利範圍或圖式替換頁各1份	
<u>4 </u>	委任書1份。			
<u></u> 5、	申復書1份。			

□6、申請專利範圍對應表 1 份。
□7、其他:
*申請專利範圍請求項及規費之說明: (本欄位僅為99年1月1日起提出之發明專利申請案適用。)
(一) 申請案發給第一次審查意見通知前,提出本次修正申請專利範圍者:
本案已提出實體審查申請,本次僅修正請求項,未有新增或刪除請求項之情事,應繳規費不變。
□本案已提出實體審查申請,本次有新增或刪除請求項者:
新增()項,刪除()項,修正後共計()項。
本次應 加收或 退還規費共計新台幣() 元整。
(二) 申請案發給第一次審查意見通知後,提出本次修正申請專利範圍者:
本次僅修正或刪除請求項,未有新增請求項之情事,應繳規費不變。
本次有新增請求項者:
新增()項與修正前合計共()項。 本次應加收規費共計新台幣()元整。

Annex 4 (English translation added)

發明專利PPH修正申請書

Amendment Request Form under the PPH Program

中請系號	£: App	lication number	•>	※ 条	日:24716		
When amend and its date	ment is sub	月()智專 mitted according to notifi 肖: (中文/英文)	cation from TIP		號函辦 the notification		
二、申	請人:	(共 人)App	plicant inform	ation	(number of a	pplications)	
國 籍 Nationality	7	中華民國 【大學 R.O.C Main 外國籍: Foreign nationality	land area M				
身分種類 ID:	: []自然人 Natural person			司、機關、 rson, business on, school		
姓名: Name (natural person)	上ast name	e in Chinese	名: First name in (First : name :	Chinese	(3)	signature or seal)	(簽章)
名稱: Name	(中文) (英文)	(Name of juristic person				iglish)	(簽章)
代表人: Representative	(++)	(Name of representative				(signature o	
地址: Address	(英文)(英文)	(Address in Chinese) (Address in English)	on Engusti)			(signature o	(簽章) or seal)

聯絡電話及分機:

Phone number and extension number

◎代₹	理人 Patent	attorney information	on	
<pre>ID :</pre>				
證書字 Certificat 地址: Address 聯絡電	Last name in		名: First name in Chinese	(簽章) (signature or seal)
三、修	正事項:	Comments on am	endment	
		ature and purpose of the sped, left to right and pre	amendment. If more spacepare one copy.	e is needed, use
Check section 申請 Check 1, 201 圖式 Check 其他 Check	to specify the interfer of the specify the class of the specify the class of the specify the class of the specify the drawn of the specify the drawn of the specify supples to specify supples to specify supples to specify supples the specific specif	之項號及修正理由 aim(s) and explanation(scope of claims and app 修正理由: awing(s) and explanatio 件: ementary documentation	ecification with respect to t : s) of amendment. (Patent application fee.) n(s) a and appendices	he numbering of page(s), pplications filed after January
四、附着	送書件:	Attached document (Use only for filing atta	cs ached documents relating to	applications)
Petitic patent patent claim contai	t application that t registration you (s), drawing(s) an	cautioned to avoid subm may contribute to identi are making a public rec ad supporting explanatio	nitting personal information ty theft. Please be aware tord. Accordingly, all of the on(s) and supplementary doorny individual may directly of	in documents filed in a hat when you apply for a e specification, scope of cumentation(s) shall not
	heck to specify if \$明專利修正部 heck to specify o	部分劃線之說明書頭	m for amendment are provid 或申請專利範圍修正員 or scope of claim(s) with u per right corner)	頁1份。
or be th ad	mitted by reissue as shown by under the original patent.	must be shown by strike lining the added text. The numbering of pat	where each amendment is methorough. The text of any All underlined changes sharent claims preserved. The st follow the number of the	added subject matter must all be made in comparison to numbering of any claims
_ (明專利修正復 Check to specify o nave no underlines	one copy if replacement	或申請專利範圍或圖式 sheet(s) of specification, cla	式替換頁各 1 份 uim(s) or drawing(s) that

□ 4、委任書 1 份。 Check if Power of Attorney is attached □ 5、申復書一式 1 份。 Check if one copy of response are attached □ 6、申請專利範圍對應表 1 份。 Claim Correspondence Table □ 7、其他: Check if other documents are attached
*申請專利範圍請求項及規費之說明: Explanation of claims and fee (本欄位僅為99年1月1日起提出之發明專利申請案適用。) (only for invention applications filed after January 1, 2010)
(一) 申請案發給第一次審查意見通知前,提出本次修正申請專利範圍者: If first office action has not been issued, substantive examination fee shall be calculated in accordance with the amended claims: 本案已提出實體審查申請,本次僅修正請求項,未有新增或刪除請求
項之情事,應繳規費不變。 Request for substantive examination has been made, but amended claims do not include newly added claims or deleted claims; fee unchanged 本案已提出實體審查申請,本次有新增或刪除請求項者: Request for substantive examination has been made and the amended claims include newly added claims or deleted claims: 新增()項,刪除()項,修正後共計()項。 Newly addedclaims, deletedclaims, totalclaims after amendment 本次應加收或 退還規費共計新台幣()元整。 NT\$ □ added □ refunded
(二) 申請案發給第一次審查意見通知後,提出本次修正申請專利範圍者: Where a first office action has been issued, substantive examination fee shall be calculated in accordance with the newly added claims and pending claims before first office action:
本次僅修正或刪除請求項,未有新增請求項之情事,應繳規費不變。 Amendment only amends or deletes claims and does not add any new claims; fee unchanged 本次有新增請求項者: The amendment adds new claims: 新增()項,修正後共計()項。 Newly added claims, total claims after amendment 本次應加收規費共計新台幣()元整。 NT\$ added