Procedures to file a request with the Taiwan Intellectual Property Office (TIPO) for Patent Prosecution Highway (PPH) Program between TIPO and the Patent Office of the Republic of Poland (PPO)

Enacted on August 1, 2017

The Patent Prosecution Highway (PPH) MOTTAINAI program enables an application, whose claims have been determined to be allowable/patentable in the Office of Earlier Examination (OEE), PPO, to undergo an accelerated examination under the PPH in the Office of Later Examination (OLE), TIPO, with a simple procedure according to a request from an applicant.

The PPH MOTTAINAI program between TIPO and PPO will commence on August 1, 2017 and end on July 31, 2020. After three years, the program will be automatically implemented under the same conditions unless, before the end of the program, either Office gives the other one written notice of its intention to end the application of the program. Either Office may also terminate the PPH program early if the volume of participation exceeds a manageable level, or for any other reason.

1. Request with TIPO for accelerated examination under PPH

An applicant should file a request for accelerated examination under the PPH with TIPO by submitting a completed "Request for Accelerated Examination under TIPO-PPO PPH Program" request form accompanied by the relevant supporting documents. The requirements for filing accelerated examination with TIPO under the PPH are given in paragraph 2. Relevant supporting documentations and procedure for accelerated examination under the PPH program at TIPO are discussed under paragraphs 3 and 4. The PPH request form is available on TIPO's web site, http://www.tipo.gov.tw/pph.

2. Requirements for requesting accelerated examination under the PPH Program at TIPO

There are four requirements for requesting accelerated examination under the PPH program at TIPO. These are:

a) Both the TW application on which PPH is requested and the PL application(s) forming the basis of the PPH request shall have the same earliest date (whether this be a priority date or a filing date).

For example, the TW application requesting PPH must meet one of the following conditions:

(i) an application which has validly claimed priority under Taiwan's Patent Act §28 to the PL application(s) (see Figures A, B, C and D in Annex 1), or

- (ii) an application which has validly claimed priority under Taiwan's Patent Act §28 to the PCT application(s) without priority claim (see Figures E and F in Annex 1), or
- (iii) an application which provides the basis of a valid priority claim under the Republic of Poland's National Law for the PL application(s) (see Figures G, H and I in Annex 1), or
- (iv) an application which shares a common priority document with the PL application(s) (see Figures J and K in Annex 1).

The program is not applicable to utility model applications and design applications.

b) At least one corresponding PL application has one or more of those claims that have been determined to be allowable/patentable by the PPO

Claims are "determined to be allowable/patentable" when a PPO examiner clearly identifies the claims to be allowable/patentable in the latest office action, even if the application has not been granted for patent.

The office action may be either:

- (a) Decision to Grant a Patent
- (b) Notification of Reasons for Refusal
- (c) Decision of Refusal
- (d) Appeal Decision

c) All claims on file, as originally filed or as amended, for accelerated examination under the PPH must sufficiently correspond to one or more claims indicated as allowable in PPO

Claims shall be considered sufficiently corresponding where, accounting for differences in translations and claim format, the claims on file are of the same or similar scope as the claims in PPO, or the claims on file are narrower in scope than the claims in PPO. In this regard, a claim on file that is narrower in scope occurs when a PPO claim is amended to be further limited by an additional technical feature that is supported in the specification (and/or claims). When possible, the claim on file should be presented in dependent form.

A claim in TIPO that introduces a new/different category of claims to those claims indicated as allowable in the PPO is not considered sufficiently corresponding. For example, where the PPO claims only contain claims to a process of manufacturing a product, then the claims in TIPO would not be considered sufficiently corresponding if the TIPO claims introduce product claims that are dependent on the corresponding process claims.

d) The applicant has been notified that a substantive examination will begin

shortly; and TIPO has not issued a first examination report on the application

3. Documents to be submitted for accelerated examination under the PPH program:

Documents (a) to (d) below should be submitted by attaching to "Request for Accelerated Examination under the PPH Program". The request form is in Annex 2.

a) A copy of all office action(s) relating to the corresponding PPO application(s) and translations of them if they are not in English

The translated copy could either be in Chinese or English. The applicant does not have to submit a copy of PPO office actions if these documents are provided via the PPO Publication Server system¹. If these documents could not be obtained by TIPO examiner via the PPO Publication Server system, the applicant may be notified and requested to provide the necessary documents. However, the translations of these documents must be submitted by the applicant because such translations are not provided via the PPO Publication Server system.

b) A copy of the claims determined to be allowable/patentable by PPO, and their translations if they are not in English

The translated copy could either be in Chinese or English. The applicant does not have to submit a copy of claims indicated to be allowable/patentable by PPO when the documents are provided via the PPO Publication Server system. If these documents could not be obtained by TIPO examiner via the PPO Publication Server system, the applicant may be notified and requested to provide the necessary documents. However, the translations of these documents must be submitted by the applicant because such translations are not provided via the PPO Publication Server system.

c) Copies of the references cited by the PPO examiner

If the cited references are patent documents, submission is not necessary, as they are usually available to TIPO. If TIPO could not access these relevant patent documents, the applicant must then submit these documents at the request of TIPO. If the cited reference is a non-patent literature, the applicant has to submit it.

A translation of the references is not required.

d) A claim correspondence table

The applicant must submit a claim correspondence table which explains the claims of the TIPO application for accelerated examination under the PPH program are

¹ http://pubserv.uprp.pl/PublicationServer/index.php?jezyk=en

sufficiently corresponding to the claims determined to be allowable/patentable by PPO.

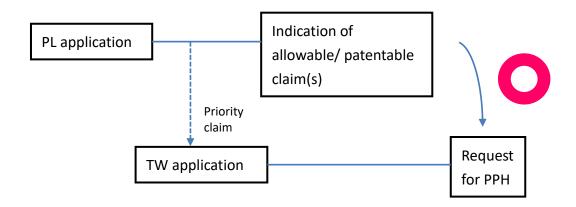
If the claims on file do not sufficiently correspond to one or more claims determined to be allowable/patentable by PPO, but the applicant would like to amend the claims to sufficiently correspond to the allowable/patentable clams in the PPO application(s), the applicant should amend the claims to fully comply with the corresponding terms at the same time as the request for expedited examination under the PPH program. The claim correspondence table is given in Annex 3.

4. Procedure for accelerated examination under the PPH Program at TIPO

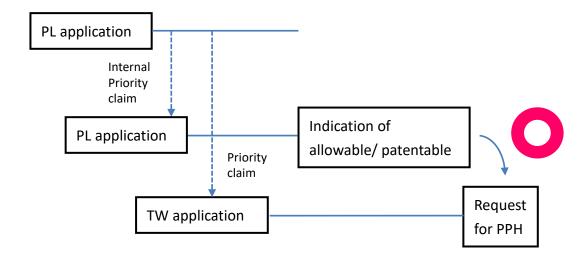
The applicant files a form requesting accelerated examination under the PPH program with TIPO, including relevant supporting documents. If the requirements are met, TIPO will conduct the accelerated examination. If the application does not qualify for participation in the PPH program, the applicant will be notified accordingly and given opportunity to perfect the request. If not perfected, the applicant will be notified and the application will await action in its regular turn.

The applicant must use the "Amendment Request Form under the PPH Program" (Annex 4) to make amendments during and after the request for expedited examination under the PPH program. Other relevant documents must also be specified in terms of their connection to the request for PPH to ensure prompt and proper processing by TIPO.

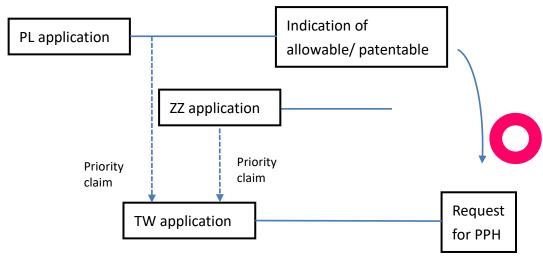
A.A case meeting requirement (a)(i)



$B. \, \text{A case meeting requirement (a)(i)}$

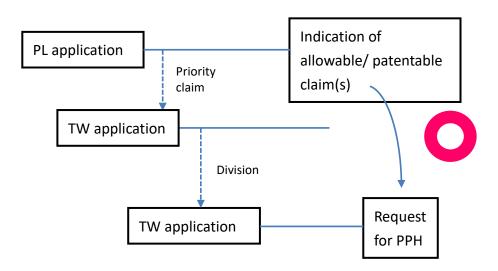


C. A case meeting requirement (a)(i)

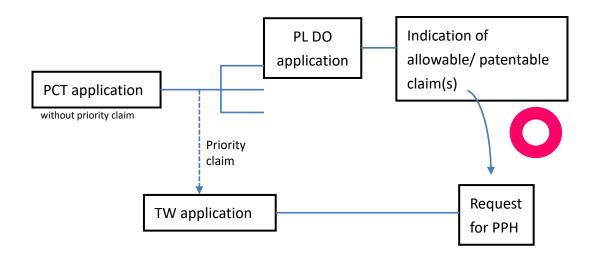


ZZ: any office

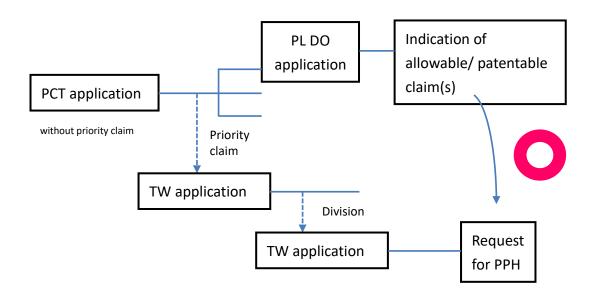
$\boldsymbol{D.} \boldsymbol{A} \ case \ for \ divisional \ application \ meeting \ requirement \ (a)(i)$



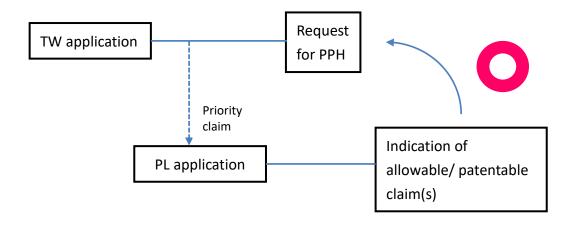
E. A case meeting requirement (a)(ii)



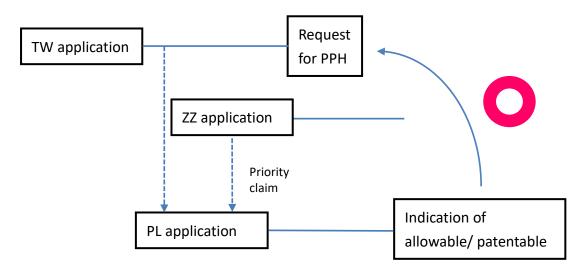
F. A case for divisional application meeting requirement (a)(ii)



G.A case meeting requirement (a)(iii)

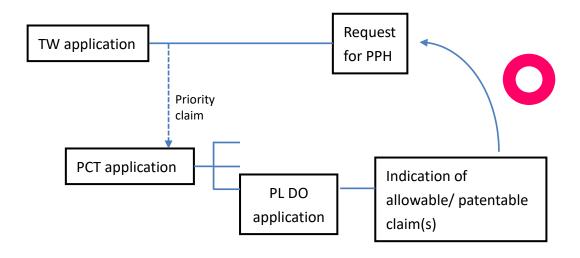


H.A case meeting requirement (a)(iii)

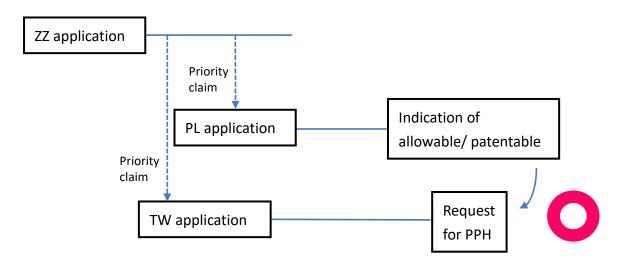


ZZ: any office

I. A case meeting requirement (a)(iii)

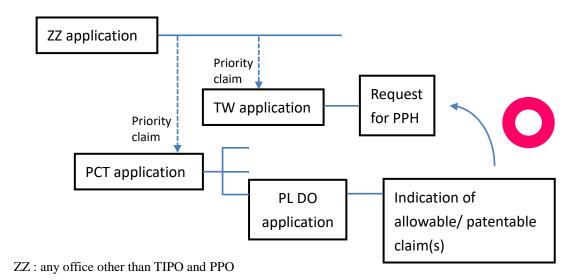


J. A case meeting requirement (a)(iv)



ZZ: any office other than TIPO and PPO

$K. \hbox{A case meeting requirement (a)(iv)}$



發明專利 PPH 審查申請書

(本申請書格式、順序,請勿任意更動,※記號部分請勿填寫)

申請案號:	※案	由:24714	
☐ 一併申請 PPH 修正 ☐ 一併申請註	吳譯訂正		
一、發明名稱:			
二、申請人:(共 人)(多位: 名或名 除)		立完整複製後依序填寫,姓 ,不須填寫的部分可自行刪	
<u> </u>	走地區(□大陸	、□香港、□澳門)	
身分種類: □自然人	□法人	、公司、機關、學校	
ID:			
姓名: 姓:	名:		
Last • name •	First • name •		(簽章)
名稱: (中文)			(***
(英文)			(february)
代表人:(中文)			(簽章)
(英文)			
地址: (中文)			(簽章)
(英文)			
· · · ·			
聯絡電話及分機:			

〇代理人:(多位代理人時,應將本欄位完整i	複製後依序填寫)	
ID:		
姓名:	(簽3	章)
證書字號:		
地址:		
聯絡電話及分機:		
三、對應之□美國□日本□西亞	班牙□韓國□波蘭申請	
案: 【格式請依:申請案號、公開編號、公 得公開編號或公告編號者,得不註記		
1.		
四、附送書件:		
(*個人資料保護注意事項: 申請人已詳閱申請須知所定個人資料保 說明書、申請專利範圍、圖式、修正說 附件(除委任書外),不包含應予保密之何 意智慧財產局提供任何人以自動化或非 或影印。)	明書、修正理由書、申復書及其 個人資料;其載有個人資料者,同	
□1、所有審查意見書影本(含中譯本或英語	睪本)。	
1-1◯審查意見書請經由 USPTO Public PA	IR 系統取得(台美 PPH)。	
文件名稱	日期	
]	
1-2 審查意見書及英譯本請經由 JPO AIF	PN 系統取得(台日 PPH)。	
文件名稱	日期	

——————————————————————————————————————	由 SPTO Expedientes 乃應檢附審查意見書	Digitalizados 系統取得(台西 PPH) 之翻譯本))。
文	件名稱	日期	
		PION 系統取得(台韓 PPH)。	
文	件名稱	日期	
選此項時,仍應	由 PPO Publication Se 檢附審查意見書之翻 件名稱	, , , , , , , , , , , , , , , , , , , ,	勾
2、審查達到可核准	之申請專利範圍影本	(含中譯本或英譯本)。	
2-1 申請專利範	圍請經由 USPTO Pu	blic PAIR 系統取得(台美 PPH)	
文	件名稱	日期	
		PO AIPN 系統取得(台日 PPH)。	
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	圍請經由 SPTO Expe 頁時,仍應檢附申請	edientes Digitalizados 系統取得(台 專利節圍之翻譯本)	西
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2-4申請專利範圍及英譯本請經由]	KIPO K-PION 系統取得(台韓 PPH)。
文件名稱	日期
2-5□申請專利範圍請經由 PPO Public (勾選此項時,仍應檢附申請專利範	cation Server 系統取得(台波 PPH)。 圍之翻譯本)
文件名稱	日期
□3、引用作為專利准、駁判斷依據之引證(※□引證文獻屬專利文獻無需檢送	
□4、申請專利範圍對應表。	
□5、其他有利於本局 PPH 審查之文件。	(請敘明)
☐6、發明專利 PPH 修正申請書。(發明專利	刊 PPH 修正申請書之一、二項基本
資料,可註明「同發明專利 PPH 審查	E申請書」而不須重複填寫。)
□7、專利誤譯訂正申請書。(專利誤譯訂正	E申請書之一、二項基本資料,可
註明「同發明專利 PPH 審查申請書」	而不須重複填寫。)

Annex 2 (English translation added)

發明專利 PPH 審查申請書

Request for Accelerated Examination under the PPH Program

申請案號	: App	lication number	>	《案	由:24714	
		多正 一併申請 PH program / correct		ation erro	rs at the same t	ime
一、發	明名稱	详: Title of the inv	ention			
二、申	請人	:(共 人)App	olicant infor	nation		
國 籍 Nationality		中華民國 【大陸 R.O.C Mainl 外國籍: Foreign nationality	and area N		□香港、□ Hong Kong Mac	
身分種類 ID:	: []自然人 Natural person		Juristic	公司、機關 person, busines ation, school	
	姓: Last name Last name	e in Chinese	名: First name i	n Chinese		(signature or seal) _{(簽章}
名稱: Name	(1)	(Name of juristic person				
代表人: Representative	(中文) (英文)	(Name of representative				(signature or seal)
地址: Address	(中文) (英文)	(Address in Chinese) (Address in English)				(簽章 (signature or seal)
聯絡電記						

0	, ,	人: Pa	atent atto	orney informa	tion				
	地址: Address 聯絡電	之號: ate number :		umber					(簽章) (signature or seal)
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				號、公開編號 編號者,得 ²			順序註記	己,惟如尚	未取
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五	、附	送書件	: Attac	ched documer	nts				
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1-2 審查意見書及英譯本請經由 JPO AIPN 系統取得(台日 PPH)。

Check if TIPO is to obtain the documents from JPO AIPN system (TIPO-JPO PPH Pilot Program)

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1-3 審查意見書請經由 S	SPTO Expedientes	Digitalizados 系統耳	取得(台西 PPH)。	
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1-4 審查意見書及英譯本	講經由 KIPO K-	PION 系統取得(台	韓 PPH)。	
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		olic PAIR 系統取得	₽(台美 PPH)	
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) III 6-4-6	ocument name	日期	Date	
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2-2 申請專利範圍及英譯本請經由			
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XII *LIM Boomen mano		Date	
2-3 申請專利範圍請經由 SPTO Ex	spedientes Digitaliza	dos 系統取得(台]西
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2-4 申請專利範圍及英譯本請經由 Check if TIPO is to obtain the documents from I			
文件名稱 Document name	日期	Date	
2-5 申請專利範圍及英譯本請經由 波 PPH)。(勾選此項時,仍應檢附 Check if TIPO is to obtain the documents from P Program) (The translations of the documents have	申請專利範圍之翻 PO Publication Server s	譯本)	
文件名稱 Document name	日期	Date	
3、引用作為專利准、駁判斷依據之引 Check if copies of the references cited in the (※回引證文獻屬專利文獻無需檢 Check if the cited references are patent docu 4、申請專利範圍對應表。 Check if a claim correspondence table is atta 5、其他有利於本局 PPH 審查之文件。 Check if other supporting documents are atta 6、發明專利 PPH 修正申請書。 Check if an Amendment Request Form under	e office action(s) are atta 送。) uments; submission is not ached (請敘明) ached (please write dow er the PPH Program is at	ot necessary on the name of docu	uments)
Check if an Request Form for correction of the	translation errors is atta-	ched	

申請專利範圍對應表

第 號申請案	對應之外國申請案經審查達	對應我國申請案之
申請專利範圍	到可核准之申請專利範圍	充分對應說明

Annex 3 (English translation added)

申請專利範圍對應表

Claim Correspondence Table

第 號申請案 申請專利範圍 Claims in TW application	對應之外國申請案經審查達 到可核准之申請專利範圍 Patentable/Allowable claims in the corresponding application	對應我國申請案之 充分對應說明 Comments on the correspondence

發明專利PPH修正申請書

(本申請書格式、順序,請勿任意更動,※記號部分請勿填寫)

申請案號	起:				※案	由: 24716	
			()智 (中文/英)	•	字第	號函辦理。	
二、盽	請人	、: (共	名			位完整複製後依序填寫,姓 哥,不須填寫的部分可自行刪	
(第1申	申請人)					
國	在:		E民國 □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	大陸地區	〔□大陸 —	、□香港、□澳門)	
身分種類	頁:	□自然	入		□法人	、公司、機關、學校	
ID:							
姓名:	姓:			名:			
	Last • name			First • name			(簽章)
名稱:	(中文	C)					(双早)
	(英文	Z)					(*********
代表人:	: (中文	ζ)					(簽章)
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地址:	(中文						(簽章)
_	(英)						
聯絡電話	舌及分	機:					

◎代理 ID:	上人: (多位代理人時	,應將本欄位完整複製後依	序填寫)	
姓名:	姓:	名:	(;	簽章)
證書字	號:			
地址:				
聯絡電	話及分機:			
三、修正	E事項:			
		,敘明修正理由或說明,如 構具一式 2 份,俾利審查。)	字數過多者,請另以 A4 紙張)	
説明書	i 修正之頁數、段落編	號及行數及修正理由:		
	專利範圍修正之請求項 1月1日起提出之發明申記	夏及修正理由: 請案,請再填寫*申請專利貸	範圍請求項及規費之說明)	
圖式修	了正之圖號及修正理由	1:		
其他該	说明事項如附件:			
四、附沒	送書件:(不須填寫的	的部分可自行刪除)		
申請 <i>。</i> 明書 應予(、修正申請專利範圍、 呆密之個人資料;其	・修正圖式、申復書及其	項,並已確認檢附之修正說 其附件(除委任書外),不包含 智慧財產局提供任何人以自 。)	
1、本	發明專利 PPH 修正申詞	請書一式 2 份。		
	明專利修正部分劃線之 每頁右上角註記送件	之說明書或申請專利範圍 申請修正之日期)	圍修正頁一式 1 份。(請	
明均	書内容者,應劃線於新	者,應劃線貫穿於刪除之 新增文字下方。各次修立 申請專利範圍之請求項項	正應劃線註記之部分,	
	通知送達前一式3份	之說明書或申請專利範圍 ,審查意見通知送達後- 圍或圖式頁數不連續者	一式 2 份);如修正後致	

見通知送達後一式2份)(請於每頁右上角註記送件申請修正之日期)。
□4、委任書 1 份。
□5、申復書一式 2 份。
□6、申請專利範圍對應表 1 份。
□7、其他:
*申請專利範圍請求項及規費之說明: (本欄位僅為99年1月1日起提出之發明專利申請案適用。)
(一) 申請案發給第一次審查意見通知前,提出本次修正申請專利範圍者:
□本案已提出實體審查申請,本次僅修正請求項,未有新增或刪除請求項之情事,應繳規費不變。
□本案已提出實體審查申請,本次有新增或刪除請求項者:
新增()項,刪除()項,修正後共計()項。
本次應 加收或 退還規費共計新台幣()元整。
(二) 申請案發給第一次審查意見通知後,提出本次修正申請專利範圍者:
□本次僅修正或刪除請求項,未有新增請求項之情事,應繳規費不變。
□本次有新增請求項者:
新增()項與修正前合計共()項。 本次應加收規費共計新台幣()元整。

說明書或申請專利範圍或圖式(審查意見通知送達前一式 3 份,審查意

Annex 4 (English translation added)

發明專利PPH修正申請書

Amendment Request Form under the PPH Program

申請案號	E: App	lication number	※ 5	善由:2471	6
When amend	ment is sub	月 日()智專 mitted according to notific 鲜:(中文/英文)	cation from TIPO,	write down the notificat	≦辨理。 tion number
二、申	請人:	(共 人)App	olicant informati	on	
國 籍 Nationality				陸、□香港、□ and Hong Kong M	
身分種類 ID:	: []自然人 Natural person	Ju	人、公司、機關 ristic person, busin ganization, school	
姓名: Name (natural person)	性: Last name	e in Chinese	名: First name in Chir First · name ·	nese	(signature or seal) _{(簽章}
名稱: Name	(中文) (英文)	(Name of juristic person			
代表人: Representative	(中文) (英文)	(Name of representative			(signature or seal)
地址: Address	(中文) (英文)	(Address in Chinese) (Address in English)			(signature or seal)

聯絡電話及分機

Phone number and extension number

◎代理人 Patent attorney information
ID:
姓名: 姓: 名: Name Last name in Chinese Sirst name in Chinese (signature or seal)
證書字號: Certificate number 地址: Address
聯絡電話及分機: Phone number and extension number
三、修正事項: Comments on amendment
Check to specify the nature and purpose of the amendment. If more space is needed, use separate A4 sheet(s), typed, left to right and prepare 2 copies.
說明書修正之頁數、段落編號及行數及修正理由: Check to specify the inserted new page(s) of specification with respect to the numbering of page(s), section(s) and claim(s).
申請專利範圍修正之項號及修正理由: Check to specify the claim(s) and explanation(s) of amendment. (Patent applications filed after January 1, 2012 shall apply for scope of claims and application fee.)
□ ■式修正之圖號及修正理由: Check to specify the drawing(s) and explanation(s) 其他說明事項如附件:
Check to specify supplementary documentation and appendices
四、附送書件: Attached documents (Use only for filing attached documents relating to applications)
(*Privacy Act Warning: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Please be aware that when you apply for a patent registration you are making a public record. Accordingly, all of the specification, scope of claim(s), drawing(s) and supporting explanation(s) and supplementary documentation(s) shall not contain confidential personal information. Any individual may directly or indirectly employ this information.)
□1、發明專利 PPH 修正申請書一式 2 份。 Check to specify if two copies of request form for amendment are provided. □2、發明專利修正部分劃線之說明書或申請專利範圍修正頁一式 1 份。 Check to specify two copies of specification or scope of claim(s) with underlined portions of
amendment. (Filing date appears in the upper right corner)
(Applicant must indicate the precise point where each amendment is made. The matter to be omitted by reissue must be shown by strike-through. The text of any added subject matter must be shown by underlining the added text. All underlined changes shall be made in comparison to the original patent. The numbering of patent claims preserved. The numbering of any claims added in the reexamination proceeding must follow the number of the highest numbered patent claim.)
3、發明專利修正後無劃線之說明書或申請專利範圍或圖式替換頁(審查意
見通知送達前一式3份,審查意見通知送達後一式2份);如修正後致
原說明書或申請專利範圍或圖式頁數不連續者,應檢附修正後之全份

說明書或申請專利範圍或圖式(審查意見通知送達前一式3份,審查意見通知送達後一式2份)(請於每頁右上角註記送件申請修正之日期)。

Check to specify if replacement sheet(s) of specification, claim(s) or drawing(s) that have no underlines are provided. In case the page numbers of specification or drawings are not consecutive, a complete set of specification, claims or drawings of amendment shall be submitted in three copies. (Please send 3 copies before receiving office actions, and 2 copies after receiving office actions) (Filing date appears in the upper right corner)

□4、委任書 1 份。 Check if Power of Attorney is attached □5、申復書一式 2 份。 Check if two copies of response are attached □6、申請專利範圍對應表 1 份。 Check if a claim correspondence table is attached □7、其他: Check if other documents are attached	
*申請專利範圍請求項及規費之說明: Explanation of claims and fee (本欄位僅為 99 年 1 月 1 日起提出之發明專利申請案適用。) (only for invention applications filed after January 1, 2010)	
(一) 申請案發給第一次審查意見通知前,提出本次修正申請專利範圍者: If first office action has not been issued, substantive examination fee shall be calculated in accordance with the amended claims: 本案已提出實體審查申請,本次僅修正請求項,未有新增或刪除請求	
項之情事,應繳規費不變。 Request for substantive examination has been made, but amended claims do not include newly added claims or deleted claims; fee unchanged 本案已提出實體審查申請,本次有新增或刪除請求項者: Request for substantive examination has been made and the amended claims include newly added claims or deleted claims: 新增()項,刪除()項,修正後共計()項。 Newly added claims, deleted claims, total claims after amendment 本次應 加收或 退還規費共計新台幣()元整。 NT \$ □ added □ refunded	
(二) 申請案發給第一次審查意見通知後,提出本次修正申請專利範圍者: Where a first office action has been issued, substantive examination fee shall be calculated in accordance with the newly added claims and pending claims before first office action:	
□本次僅修正或刪除請求項,未有新增請求項之情事,應繳規費不變。 Amendment only amends or deletes claims and does not add any new claims; fee unchanged □本次有新增請求項者: The amendment adds new claims: 新增()項,修正後共計()項。 Newly added claims, total claims after amendment 本次應加收規費共計新台幣()元整。 NT \$ added	1