

# 台美專利審查高速公路(PPH)計畫

105年4月1日修正施行

專利審查高速公路(簡稱PPH)制度，係指當一專利申請案之部分或全部請求項在第一申請局(Office of First Filing，簡稱OFF)經過實質審查獲准專利後，該案申請人可以藉由提供給第二申請局(Office of Second Filing，簡稱OSF) 相關資料，使OSF得以利用OFF的檢索與審查結果，進而加速該案件之審查。

自100年9月1日起我國智慧財產局(簡稱TIPO)和美國專利商標局(簡稱USPTO)開始實施PPH試行計畫，試辦1年期滿後，經評估此計畫成效優異，不僅使申請人能藉此計畫快速取得專利，同時也減少OSF的重複行政成本，故台美雙方協議推動此計畫為永久性合作計畫，並自101年9月1日起正式實施，此計畫之詳細內容後續亦可因PPH之發展而有所修正。

## 一、在TIPO提出PPH加速審查

專利申請人向TIPO提出PPH加速審查，應填寫完整的PPH申請表格以及檢附相關文件。有關申請要件、應備文件及相關程序，請參閱以下說明，另亦可由TIPO網站- <http://www.tipo.gov.tw/pph> 取得相關申請表格。

## 二、申請要件

在TIPO提出PPH加速審查須具備以下要件：

### (一)適格之我國申請案：

1. 一專利申請案，其係依據我國專利法第28條主張美國申請案為優先權基礎案(例如附件1的圖A及B)，或
2. 一專利申請案，其係依據我國專利法第28條主張專利合作條約(簡稱PCT)申請案並指定美國為優先權基礎案，且該PCT申請案未曾主張優先權(例如附件1的圖C)。

當我國申請案，其主張數個美國或PCT申請案作為優先權基礎案(例如附件1的圖D、E)，或申請案屬於上述1.和2.申請案的分割案(例如附件1的圖F、G)，亦屬適格之我國申請案。另應注意，PPH計畫於新型專利及設計專利申請案，不適用之。

### (二)美國對應申請案，至少應有一個或多個請求項，業由USPTO審查

達到可核准之情形，所謂達到可核准之態樣，包括（例示見附件2）：

1. “Notice of Allowability”中指出“The allowed claim(s) is/are\_\_\_\_”，即“核准項為\_\_\_\_”。
2. “Non-Final Rejection”或“Final Rejection”之“Office Action Summary”中指出“The allowed claim(s) is/are\_\_\_\_”，即“核准項為\_\_\_\_”。
3. “Non-Final Rejection”或“Final Rejection”中的“Office Action Summary”中審查人員指出“are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims”，即“該請求項被核駁，其理由係因所依附之獨立項被核駁。申請人如將該項改為獨立項，且包括其所依附之獨立項及相關請求項之所有限定條件，則可核准該請求項”。

另外應注意的是，當一請求項被核駁，雖 USPTO 審查人員於審查意見書（簡稱 OA）中指出，某一可核准之特定特徵，因未被請求，如果該特定特徵有被寫入請求項，則該請求項可做較有利之考量，以上這種文字係建議或是假設式說明，並非本計畫所謂達到可核准之態樣。

4. “Ex parte Quayle Office Action”之“Office Action Summary”中指出“Claim(s) \_\_\_\_ is/are objected to”，即“核駁項為\_\_\_\_”，同時 USPTO 審查人員指出該請求項為“would be allowable if rewritten or amended to overcome the objection set forth in this office action”，即“若改寫或修正請求項進而克服前開OA之核駁事由則可核准”。

**(三)我國申請案於提出PPH申請時及後續修正，其所有請求項均必須充分對應到USPTO審查達到可核准的一項或多項請求項。**

所謂充分對應，係指我國申請案之所有請求項必須與美國申請案範圍相同，或所申請之請求項範圍較美國申請案之請求項更為限縮。所謂範圍相同，係指請求項範圍完全相同或僅有翻譯文字差異；所謂所申請之請求項範圍更為限縮，係指將對應之美國申請案請求項進一步加入為說明書(及/或申請專利範圍)所支持之另外技

術特徵，即作進一步限定之修正，此類請求項請儘量以附屬項形式請求。

**(四)我國申請案已經通知即將進行實體審查，且該案尚未發出首次審查意見通知函。**

### **三、應備文件**

發明專利 PPH 申請書 1 份及下列文件。PPH 申請書請見附件 3。

#### **(一) USPTO 所核發美國對應申請案之所有 OA 影本**

申請人應於提出 PPH 申請時，檢送 USPTO 所核發美國對應申請案之所有 OA 影本；或勾選請 TIPO 經由 USPTO Public PAIR 系統取得 OA。惟當申請人係勾選請 TIPO 自行從網路取得 USPTO 核發之 OA 時，應於申請書上載明所有 OA 相關資訊，包括文件名稱及日期。另應注意，進入 PPH 程序後，在 TIPO 尚未審定前，任何後續有關專利性的 OA，尤其是 USPTO 事後認為具有不准之情況皆必須檢送。上述 OA 無須檢送中譯本。

#### **(二)經 USPTO 審查達到可核准之申請專利範圍影本**

USPTO 審查達到可核准之申請專利範圍，可能是修正時提出或申請時即提出者，亦可能是 USPTO 之專利公告本。

申請人應於提出 PPH 申請時，檢附經 USPTO 審查達到可核准之申請專利範圍影本，或勾選請 TIPO 經由 USPTO Public PAIR 系統取得申請專利範圍。

經審查達到可核准之申請專利範圍，並無需檢送中譯本。惟申請人亦得自行檢送，以作為本局審查參考。

#### **(三) USPTO 審查人員曾引用作為專利准、駁判斷依據之所有引證文獻**

所有記載於“詳細處分(Detailed Action)”或“核准理由(Reason for allowance)”而作為專利准、駁判斷依據之引證文獻均應檢送。若引證文獻屬專利文獻，原則上，TIPO 可自行取得該專利文獻，申請人無須檢送，惟當 TIPO 向申請人通知無法取得時，則申請人必須再行檢送。另外，若引證文獻屬非專利文獻時，則申請人必須檢送。

以上引證文獻無須檢送中譯本。但 TIPO 審查人員認為必要

時，得通知申請人檢送。

#### **(四)申請專利範圍對應表**

申請人必須檢送申請專利範圍對應表，說明我國申請案其申請專利範圍係充分對應於 USPTO 審查達到可核准之申請專利範圍。

當我國申請案之申請專利範圍，尚須藉由修正才能充分對應於 USPTO 審查達到可核准之申請專利範圍時，申請人應於提出 PPH 申請時同時修正其申請專利範圍，以符合充分對應之條件。

當申請專利範圍間是完全相同或僅有翻譯文字差異，申請人可於說明欄填“兩者內容相同”。當申請專利範圍間不僅是翻譯文字差異，申請人則必須於說明欄解釋各請求項的充分對應要求。申請專利範圍對應表見附件 4。

若對應之美國專利申請案尚未公開，使 TIPO 無法經由 USPTO Public PAIR 系統取得申請檔案時，申請人應提供前述相關文件。若相同的文件曾於先前申請程序中提出，申請人得僅引述先前申請，無須重複檢送文件。

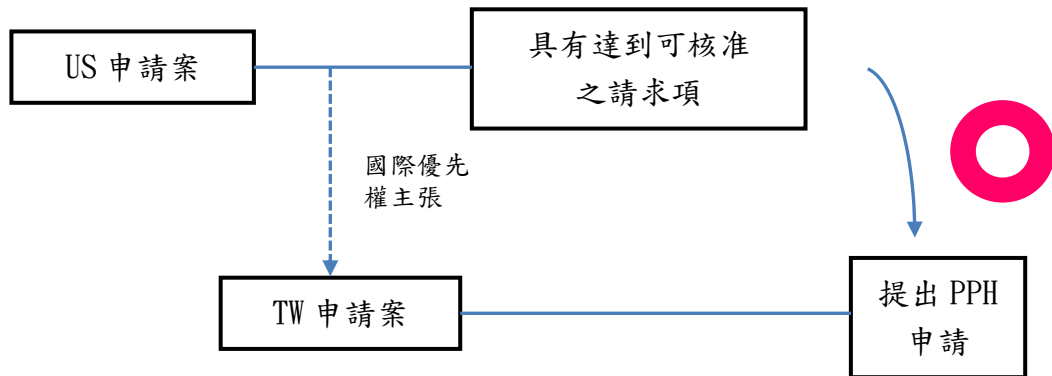
#### **四、TIPO PPH 計畫程序**

申請人首先必須填寫 PPH 審查申請書，且依據本計畫檢送相關文件。若符合申請要件，TIPO 將會進一步處理相關加速審查程序。TIPO 審查後認為該案係不符 PPH 申請要件或文件不齊備，會通知申請人補正。倘申請案未符合本計畫要求時，該申請案會以正常程序進行審查。

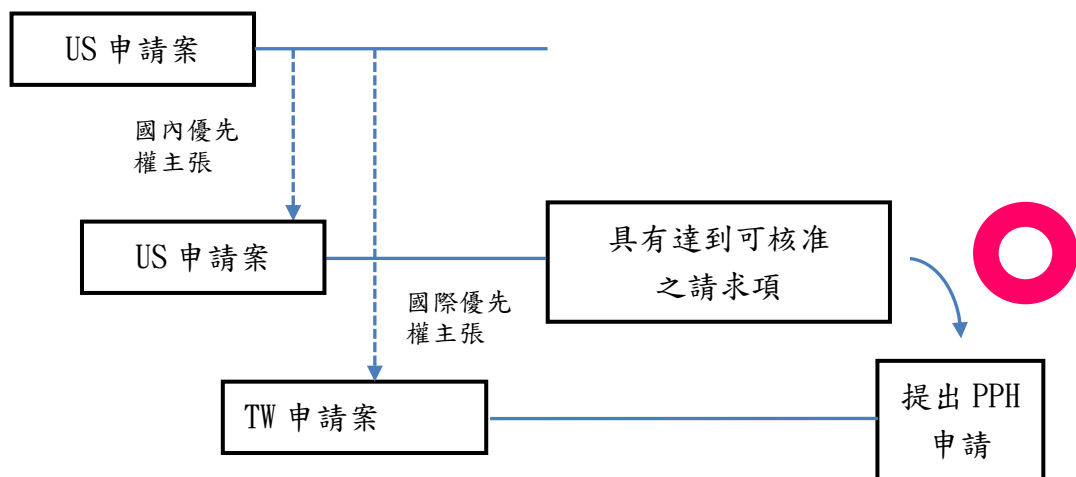
有關 PPH 申請案申請人於申請時及後續所提出之修正來文必須使用 PPH 計畫專用之修正申請書(見附件5)，至於其他審查相關文件，亦必須清楚載明係屬 PPH 申請案，以確保 TIPO 能夠迅速且正確地進行加速審查程序。

附件 1

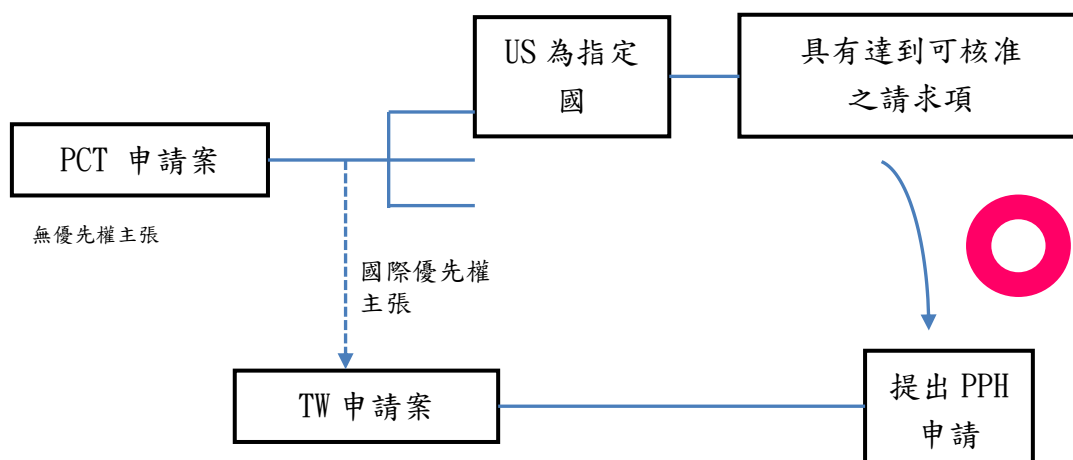
A. 符合要件(一) 1. 的情形



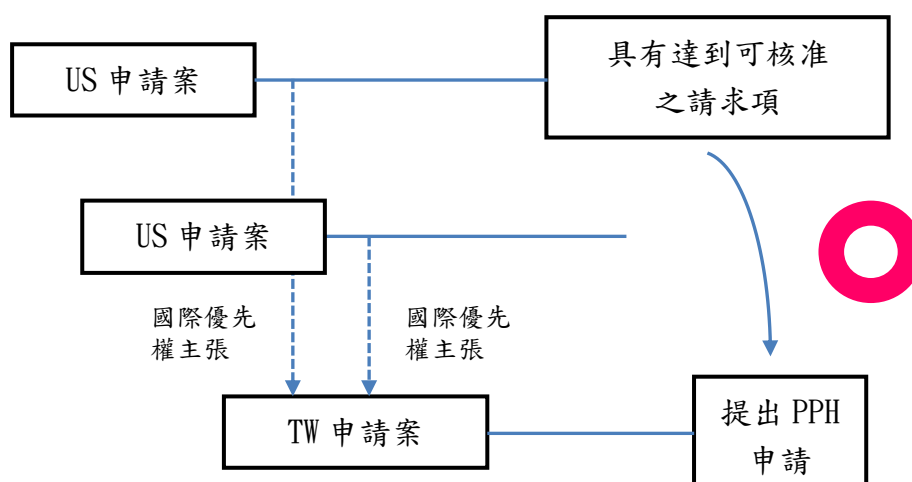
B. 符合要件(一) 1. 的情形



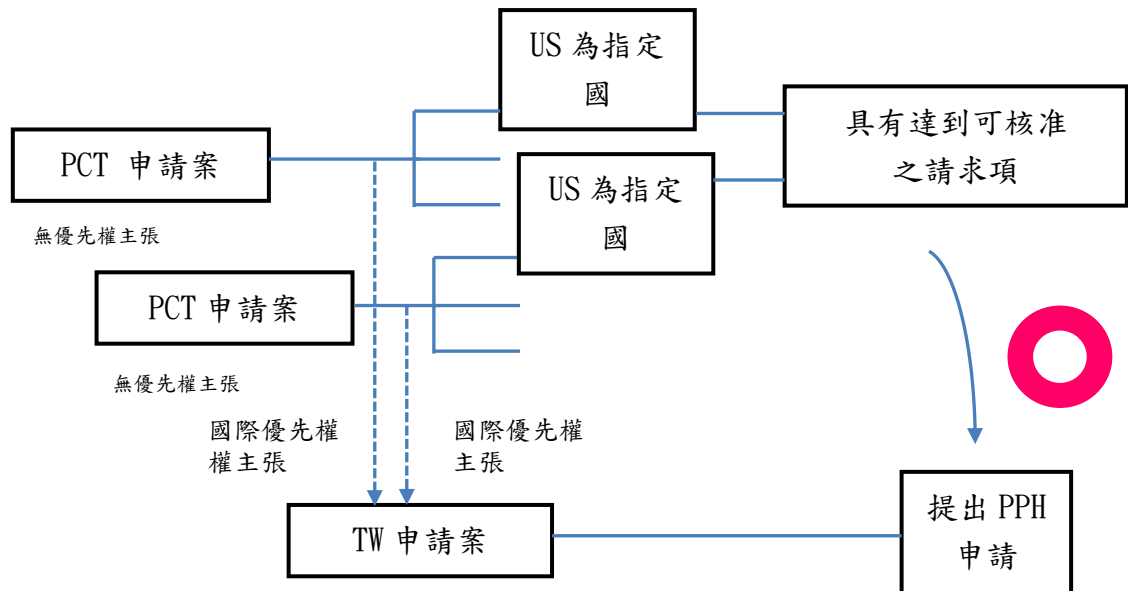
### C. 符合要件(一) 2.的情形



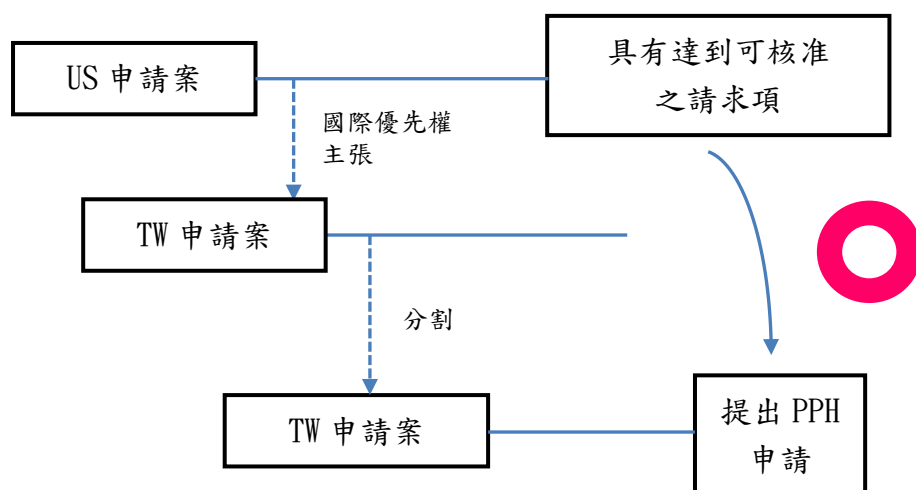
### D. 符合要件(一) 1.的情形



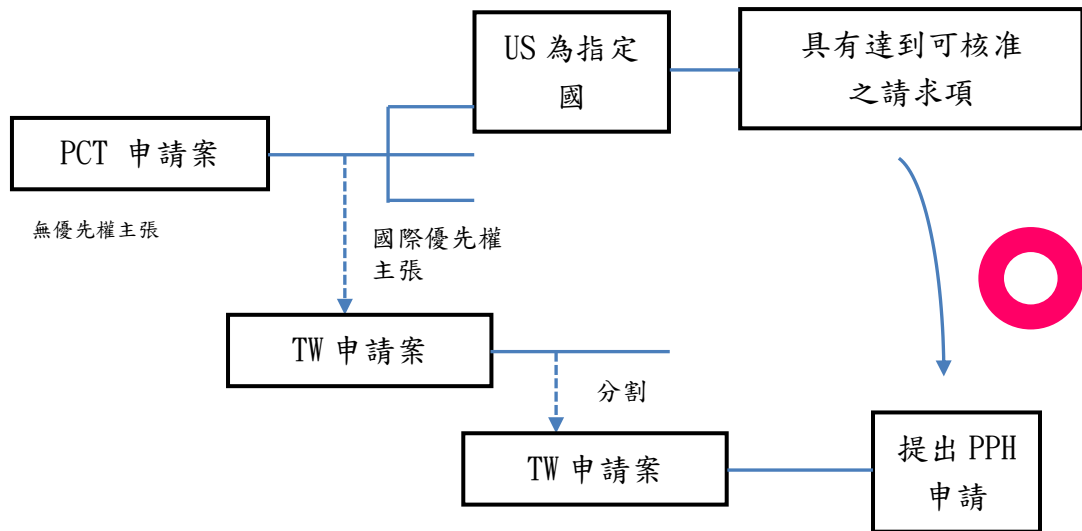
### E. 符合要件(一) 2.的情形



### F. 分割案符合要件(一) 1.的情形



## G. 分割案符合要件(一) 2.的情形





## 附件 2

(1) “Notice of Allowability”中指出“The allowed claim(s) is/are\_\_\_\_”，即“核准項為\_\_\_\_”。

<b>Notice of Allowability</b>	Application No.		Applicant(s)	
	Examiner		Art Unit	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**  
 All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the amendment filed on 02/14/05.

2. ☒ The allowed claim(s) is/are 1-3 and 5-30.

3. ☒ The drawings filed on 12/19/02 are accepted by the Examiner.

(2) “Non-Final Rejection”或“Final Rejection”之“Office Action Summary”中指出 “The allowed claim(s) is/are\_\_\_\_”，即“核准項為\_\_\_\_”。

<b>Office Action Summary</b>	Application No.		Applicant(s)	
	Examiner		Art Unit	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**  
 A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  
 - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  
 - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  
 - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  
 - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) ☒ Responsive to communication(s) filed on 06/25/04.

2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.

3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) ☒ Claim(s) 1-3 and 6-30 is/are pending in the application.  
     4a) Of the above claim(s)                      is/are withdrawn from consideration.

5) ☒ Claim(s) 1-3 and 13-30 is/are allowed.

6) ☒ Claim(s) 6-12 is/are rejected.

7) ☒ Claim(s) 5 is/are objected to.

8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

(3) “Non-Final Rejection”或“Final Rejection”中的“Office Action Summary”中審查人員指出“are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims”，即“該請求項被核駁，其理由係因所

依附之獨立項被核駁。申請人如將該項改為獨立項，且包括其所依附之獨立項及相關請求項之所有限定條件，則可核准該請求項”。

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	Examiner	Art Unit	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) ☐ Responsive to communication(s) filed on \_\_\_\_.

2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.

3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) ☒ Claim(s) 1-30 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.

5) ☐ Claim(s) \_\_\_\_ is/are allowed.

6) ☒ Claim(s) 1-16, 18-25, 27, 28 and 30 is/are rejected.

7) ☒ Claim(s) 17, 26 and 29 is/are objected to.

8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

(4) “Ex parte Quayle Office Action”之“Office Action Summary”中指出“Claim(s) \_\_\_\_ is/are objected to”，即“核駁項為\_\_\_\_”，同時USPTO審查人員指出該請求項為“would be allowable if rewritten or amended to overcome the objection set forth in this office action”，即“若改寫或修正請求項進而克服前開OA之核駁事由則可核准”。

Office Action Summary	Application No.	Applicant(s)	
	Examiner	Art Unit	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) ☐ Responsive to communication(s) filed on \_\_\_\_\_.

2a) ☐ This action is **FINAL**.                      2b) ☐ This action is non-final.

3) ☒ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) ☒ Claim(s) 11-13 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.

6) ☐ Claim(s) \_\_\_\_\_ is/are rejected.

7) ☒ Claim(s) 11-13 is/are objected to.

8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

# 發明專利 PPH 審查申請書

(本申請書格式、順序，請勿任意更動，※記號部分請勿填寫)

申請案號： ※案 由：24714

☐ 一併申請 PPH 修正 ☐ 一併申請誤譯訂正

一、發明名稱：

二、申請人：(共 人) (多位申請人時，應將本欄位完整複製後依序填寫，姓名或名稱欄視身分種類填寫，不須填寫的部分可自行刪除)

國 籍：☐中華民國 ☐大陸地區 (☐大陸、☐香港、☐澳門)  
☐外國籍：\_\_\_\_\_

身分種類：☐自然人 ☐法人、公司、機關、學校  
ID：

姓名： 姓： 名：

Last  
name

First  
name

(簽章)

名稱： (中文)

(英文)

(簽章)

代表人：(中文)

(英文)

(簽章)

地址： (中文)

(英文)

聯絡電話及分機：

◎代理人：(多位代理人時，應將本欄位完整複製後依序填寫)

ID：

姓名：

(簽章)

證書字號：

地址：

聯絡電話及分機：

三、對應之☐美國☐日本☐西班牙☐韓國☐波蘭  
☐加拿大申請案：

【格式請依：申請案號、公開編號、公告編號 順序註記，惟如尚未取得公開編號或公告編號者，得不註記】

1.

四、附送書件:

( \* 個人資料保護注意事項：

申請人已詳閱申請須知所定個人資料保護注意事項，並已確認所檢附之說明書、申請專利範圍、圖式、修正說明書、修正理由書、申復書及其附件(除委任書外)，不包含應予保密之個人資料；其載有個人資料者，同意智慧財產局提供任何人以自動化或非自動化之方式閱覽、抄錄、攝影或影印。)

☐ 1、所有審查意見書影本(含中譯本或英譯本)。

1-1 ☐ 審查意見書請經由 USPTO Public PAIR 系統取得(台美 PPH)。

1-2 ☐ 審查意見書及英譯本請經由 JPO AIPN 系統取得(台日 PPH)。

1-3 ☐ 審查意見書請經由 SPTO Expedientes Digitalizados 系統取得(台西 PPH)。  
(勾選此項時，仍應檢附審查意見書之翻譯本)

1-4 ☐ 審查意見書及英譯本請經由 KIPO K-PION 系統取得(台韓 PPH)。

1-5 ☐ 審查意見書請經由 PPO Publication Server 系統取得(台波 PPH)。  
(勾選此項時，仍應檢附審查意見書之翻譯本)

1-6 ☐ 審查意見書請經由 CIPO Canadian Patents Database 系統取得(台加 PPH)。

文件名稱	日期
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☐2、審查達到可核准之申請專利範圍影本(含中譯本或英譯本)。

2-1 ☐申請專利範圍請經由 USPTO Public PAIR 系統取得(台美 PPH)。

2-2 ☐申請專利範圍及英譯本請經由 JPO AIPN 系統取得(台日 PPH)。

2-3 ☐申請專利範圍請經由 SPTO Expedientes Digitalizados 系統取得(台西 PPH)。(勾選此項時，仍應檢附申請專利範圍之翻譯本)

2-4 ☐申請專利範圍及英譯本請經由 KIPO K-PION 系統取得(台韓 PPH)。

2-5 ☐申請專利範圍請經由 PPO Publication Server 系統取得(台波 PPH)。(勾選此項時，仍應檢附申請專利範圍之翻譯本)

2-6 ☐申請專利範圍請經由 CIPO Canadian Patents Database 系統取得(台加 PPH)。

文件名稱	日期

☐3、引用作為專利准、駁判斷依據之引證文獻。

(※☐引證文獻屬專利文獻無需檢送。)

☐4、申請專利範圍對應表。

☐5、其他有利於本局 PPH 審查之文件。(請敘明)

☐6、發明專利 PPH 修正申請書。(發明專利 PPH 修正申請書之一、二項基本資料，可註明「同發明專利 PPH 審查申請書」而不須重複填寫。)

☐7、專利誤譯訂正申請書。(專利誤譯訂正申請書之一、二項基本資料，可註明「同發明專利 PPH 審查申請書」而不須重複填寫。)

附件 4

申請專利範圍對應表

第 號申請案 申請專利範圍	對應之外國申請案經審查達 到可核准之申請專利範圍	對應我國申請案之 充分對應說明

# 發明專利 PPH 修正申請書

(本申請書格式、順序，請勿任意更動，※記號部分請勿填寫)

申請案號： ※案 由：24716

依據： 年 月 日 ( ) 智專 字第 號函辦理。

一、發明名稱：(中文/英文)

二、申請人：(共 人) (多位申請人時，應將本欄位完整複製後依序填寫，姓名或名稱欄視身分種類填寫，不須填寫的部分可自行刪除)

(第 1 申請人)

國 籍： ☐中華民國 ☐大陸地區 ( ☐大陸、☐香港、☐澳門)

☐外國籍： \_\_\_\_\_

身分種類： ☐自然人 ☐法人、公司、機關、學校

ID：

姓名： 姓： 名：

Last  
name

First  
name

(簽章)

名稱： (中文)

(英文)

(簽章)

代表人：(中文)

(英文)

(簽章)

地址： (中文)

(英文)

聯絡電話及分機：



◎代理人：（多位代理人時，應將本欄位完整複製後依序填寫）

ID：

姓名： 姓： 名：

（簽章）

證書字號：

地址：

聯絡電話及分機：

### 三、修正事項：

（請於所勾選修正說明事項之後，敘明修正理由或說明，如字數過多者，請另以 A4 紙張直式橫書繕打，以附件標示並備具一式 1 份，俾利審查。）

☐說明書修正之頁數、段落編號及行數及修正理由：

☐申請專利範圍修正之請求項及修正理由：

（99 年 1 月 1 日起提出之發明申請案，請再填寫 \* 申請專利範圍請求項及規費之說明）

☐圖式修正之圖號及修正理由：

☐其他說明事項如附件：

### 四、附送書件：（不須填寫的部分可自行刪除）

（\* 個人資料保護注意事項：

申請人已詳閱申請須知所定個人資料保護注意事項，並已確認檢附之修正說明書、修正申請專利範圍、修正圖式、申復書及其附件(除委任書外)，不包含應予保密之個人資料；其載有個人資料者，同意智慧財產局提供任何人以自動化或非自動化之方式閱覽、抄錄、攝影或影印。)

☐1、本發明專利 PPH 修正申請書 1 份。

☐2、發明專利修正部分劃線之說明書或申請專利範圍修正頁 1 份。(請於每頁右上角註記送件申請修正之日期)

（如為刪除原說明書內容者，應劃線貫穿於刪除之文字上；如為增加說明書內容者，應劃線於新增文字下方。各次修正應劃線註記之部分，均以原說明書為基礎；申請專利範圍之請求項項次改變者，其後之項次均應調整）

☐3、發明專利修正後無劃線之說明書或申請專利範圍或圖式替換頁各 1 份。

☐4、委任書 1 份。

☐5、申復書一式 1 份。

☐6、申請專利範圍對應表 1 份。

☐7、其他：

## \* 申請專利範圍請求項及規費之說明：

(本欄位僅為 99 年 1 月 1 日起提出之發明專利申請案適用。)

(一) 申請案發給第一次審查意見通知前，提出本次修正申請專利範圍者：

☐ 本案已提出實體審查申請，本次僅修正請求項，未有新增或刪除請求項之情事，應繳規費不變。

☐ 本案已提出實體審查申請，本次有新增或刪除請求項者：

新增 (       ) 項，刪除 (       ) 項，修正後共計 (       ) 項。

本次應 ☐ 加收或 ☐ 退還規費共計新台幣 (                      ) 元整。

(二) 申請案發給第一次審查意見通知後，提出本次修正申請專利範圍者：

☐ 本次僅修正或刪除請求項，未有新增請求項之情事，應繳規費不變。

☐ 本次有新增請求項者：

新增 (       ) 項與修正前合計共 (       ) 項。

本次應加收規費共計新台幣 (                      ) 元整。

**Procedures to file a request with the Taiwan Intellectual Property Office (TIPO) for Patent Prosecution Highway (PPH) Program between TIPO and the United States Patent and Trademark Office (USPTO) Acting as the Designated Representatives of the Taipei Economic and Cultural Representative Office in the US (TECRO) and the American Institute in Taiwan (AIT)**

Amended and enacted on April 1, 2016

The Patent Prosecution Highway (PPH) was established to enable an applicant, whose claims are determined to be allowable/patentable in the Office of First Filing (OFF), to have the corresponding application filed in the Office of Second Filing (OSF) advanced out of turn for examination while at the same time allowing the OSF to exploit the search and examination results of OFF.

The PPH pilot program between TIPO and USPTO acting as the designated representatives of the Taipei Economic and Cultural Representative Office in the United States (TECRO) and the American Institute in Taiwan (AIT) that commenced on September 1, 2011, for a trial period of one year ends 31 August 2012. Evaluations of the pilot program shows that it is effective in expediting patent filing while at the same time significantly reduces administrative costs at the OSF. It is therefore agreed upon by TIPO and USPTO acting as the designated representatives of the Taipei Economic and Cultural Representative Office in the United States (TECRO) and the American Institute in Taiwan (AIT) that this PPH program be a permanent cooperation between the two offices; the official launching date will be on September 1, 2012. Though permanent, the content of the program is subject to change as needed with the development of PPH.

**1. Request with TIPO for accelerated examination under PPH**

An applicant should file a request for accelerated examination under the PPH with TIPO by submitting a completed “Request for Acceleration Examination Under TIPO-USPTO PPH Program” request form accompanied by the relevant supporting documents. The requirements for filing accelerated examination with TIPO under the PPH are given in paragraph 2. Relevant supporting documentations (paragraph 3) and the procedure for accelerated examination under the PPH program at TIPO is discussed under paragraph 4. The PPH request form is available on TIPO’s web site, <http://www.tipo.gov.tw/pph>.

**2. Requirements for requesting accelerated examination under the PPH Program at TIPO**

There are four requirements for requesting accelerated examination under the PPH program at TIPO. These are:

**(a) The TW application is:**

- (i) an application which has validly claimed priority under Taiwan's Patent Act §28 to the US application(s) (Figure A and B in Annex 1 for examples), or
- (ii) an application which has validly claimed priority under Taiwan's Patent Act §28 to the PCT application(s) without priority claim (Figure C in Annex 1 for example).

The TW application which has validly claimed priority to multiple US or PCT applications (Figure D and E in Annex 1 for example), or which is a divisional application validly based on the originally filed application that is included in (i) and (ii) above, is also eligible. (Figure F and G in Annex 1 for examples).

The program is not applicable to utility model applications and design applications.

**b) At least one corresponding US application has one or more claims that have been determined to be patentable by the USPTO.**

The allowable/patentable claims are (Annex 2 for examples) :

- (i) claims indicated in the item "The allowed claim(s) is/are\_\_\_\_" in the "Notice of Allowability";
- (ii) claims indicated in the item "Claim(s) \_\_\_\_ is/are allowed" in "Office Action Summary" of either a "Non-final Rejection" or a "Final Rejection".
- (iii) claims indicated in the item "Claim(s) \_\_\_\_ is/are objected to" in "Office Action Summary" of either a "Non-final Rejection" or a "Final Rejection" and where the USPTO examiner indicates that the claims "are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims". Note that when a claim is rejected and the USPTO examiner indicates in the office action that certain features of the allowable/patentable invention have not been claimed and if properly claimed such claim may be given favorable consideration, the suggested and hypothetical claims are not regarded as allowable/patentable in this program.
- (iv) claims indicated in the item "Claim(s) \_\_\_\_ is/are objected to" in "Office Action Summary" of an "Ex parte Quayle Office Action" and where the USPTO examiner indicates that the claims "would be allowable if rewritten or amended to overcome the objection set forth in this office action".

**c) All claims on file, as originally filed or as amended, for accelerated examination**

**under the PPH must sufficiently correspond to one or more of those claims indicated as allowable in the USPTO.**

Claims shall be considered sufficiently corresponding where the claims on file are of the same or similar scope as the claims in the USPTO, or the claims on file are narrower in scope than the claims in the USPTO. In this regard, a claim on file that is narrower in scope occurs when a USPTO claim is amended to be further limited by an additional technical feature that is supported in the specification (and/or claims). When possible, the claim on file should be presented in dependent form.

**d) The applicant has been notified that a substantive examination will begin shortly. And TIPO has not issued a first examination report on the application.**

**3. Documents to be submitted for accelerated examination under the PPH program:**

Documents (a) to (d) below should be submitted by attaching to “Request for Acceleration Examination under TIPO-USPTO PPH Program”. The request form is in Annex 3.

**a) Copies of all office action(s) relating to the corresponding USPTO application(s).**

The applicant can either provide the office actions with the request for accelerated examination under the PPH program or request that TIPO obtains the documents from the USPTO Public PAIR. The applicant must clearly identify the relevant information (document name and date) of the office actions to be retrieved in the PPH request form. It is noted that applicants must also submit copies of any office action(s) (which are relevant to patentability) from the USPTO application(s) issued after the grant of the request for participation in the PPH program in the TIPO (especially where USPTO might have reversed a prior holding of allowability/patentability). A translation of the office action(s) is not required.

**b) A copy of the claims determined to be allowable/patentable by the USPTO.**

The claims determined to be allowable/patentable in the USPTO might be either the amendments, or the document submitted at filing, which includes claims determined to be allowable/patentable, or a copy of the USPTO’s publication of the granted patent. The applicant can either provide the claims together with the request for acceleration under the PPH or request that TIPO obtains the claims from the USPTO Public PAIR system.

A translation of the allowable/patentable claims is not required; however, the applicant is free to submit translation of the allowable/patentable claims as part of the supporting documentation when requesting accelerated examination under the PPH to allow prompt consideration of the office actions if they so desire.

**c) Copies of the references cited by the USPTO examiner**

All references cited in “Detailed Action” or “Reason for Allowance” as basis for refusal or allowability/patentability should be provided. However, if the cited reference is a patent document, then submission is not necessary, as they are usually available to TIPO. If TIPO does not access to the relevant patent documents, the applicant must submit these documents at the request of TIPO. If the cited reference is a non-patent literature, the applicant has to submit it.

A translation of the references is not required. TIPO examiner may request translation of cited references using the standard examination procedure when a translation of a cited reference is necessary.

**d) A claim correspondence table**

The applicant must submit a claim correspondence table which explains the sufficient correspondence of the claims determined to be allowable/patentable by the USPTO and the claims of the TIPO application for accelerated examination under the PPH program.

If the claims on file do not sufficiently correspond to one or more claims determined to be allowable/patentable by the USPTO, but the applicant would like to amend the claims to sufficiently correspond to the allowable/patentable claims in the US application(s), the applicant should amend the claims to fully comply with the corresponding terms at the same time as the request for expedited examination under PPH program. The claim correspondence table is given in Annex 4.

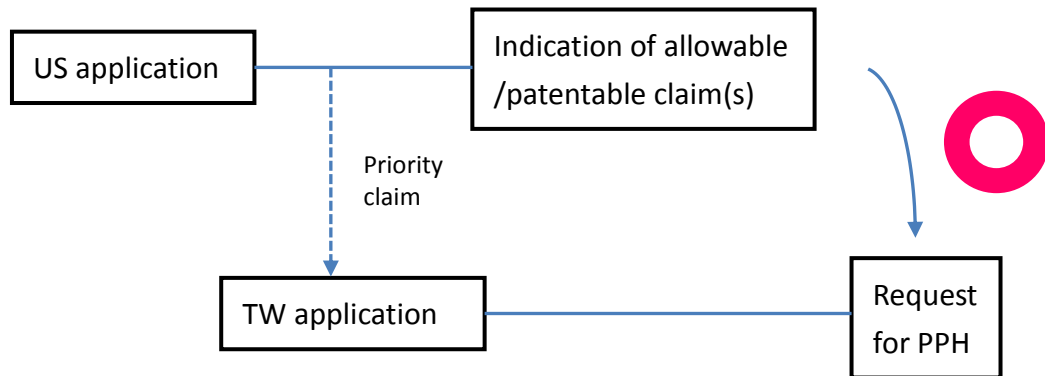
**4. Procedure for accelerated examination under the PPH Program at TIPO**

The applicant files a form requesting accelerated examination under the PPH program with TIPO, including relevant supporting documents. If the requirements are met, TIPO will conduct the accelerated examination. If the application does not qualify for participation in the PPH program, the applicant will be notified accordingly and given opportunity to perfect the request. If not perfected, the application will be notified and the application will await action in its regular turn.

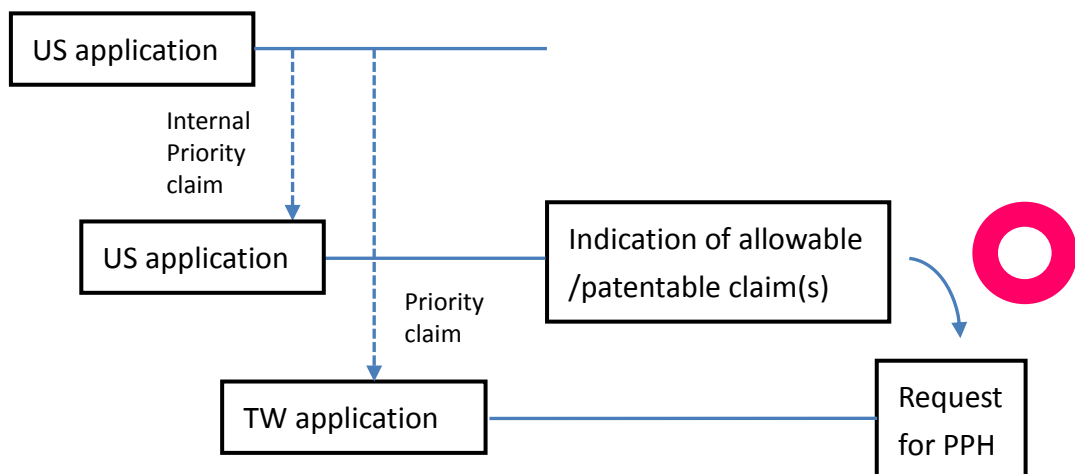
The applicant must use the “Amendment Request Form under the PPH Program” (Annex 5) to make amendments during and after the request for expedited examination under the PPH program. Other relevant documents must also be specified in terms of their connection to the request for PPH to ensure prompt and proper processing by TIPO.

## Annex 1

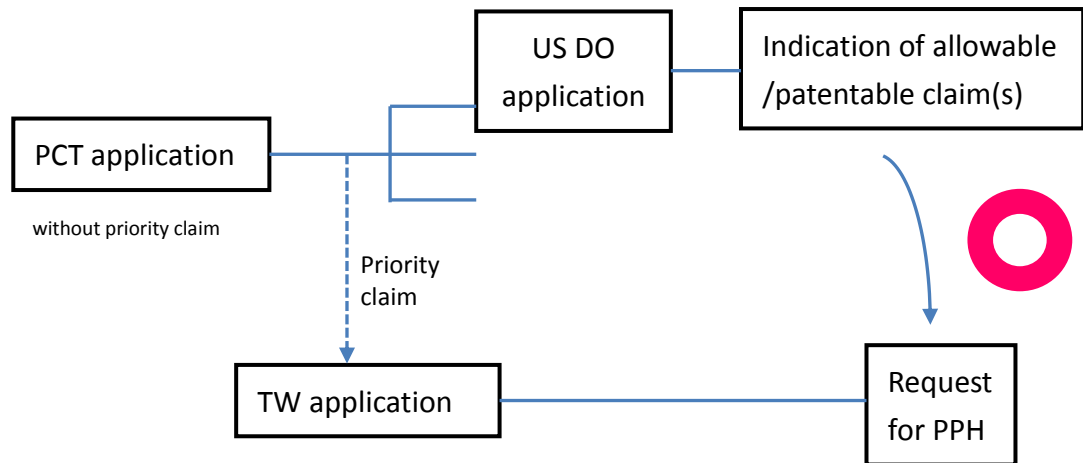
### A. A case meeting requirement (a)(i)



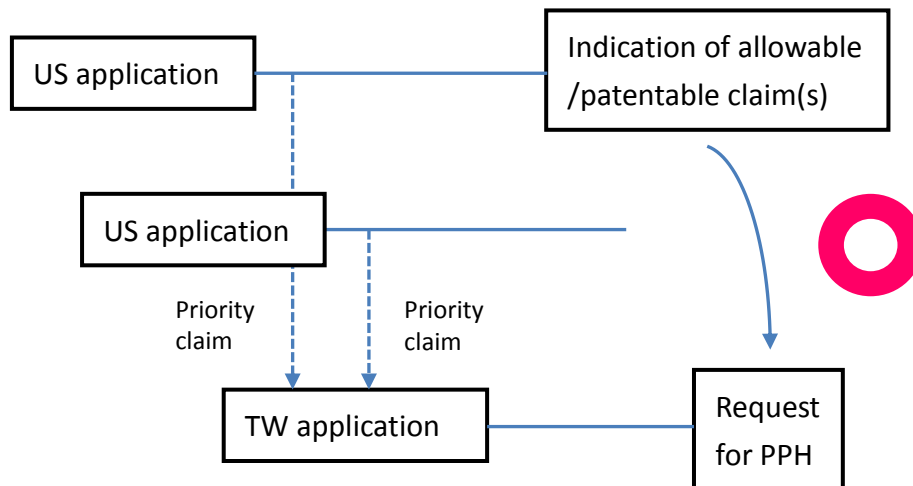
### B. A case meeting requirement (a)(i)



C. A case meeting requirement (a)(ii)

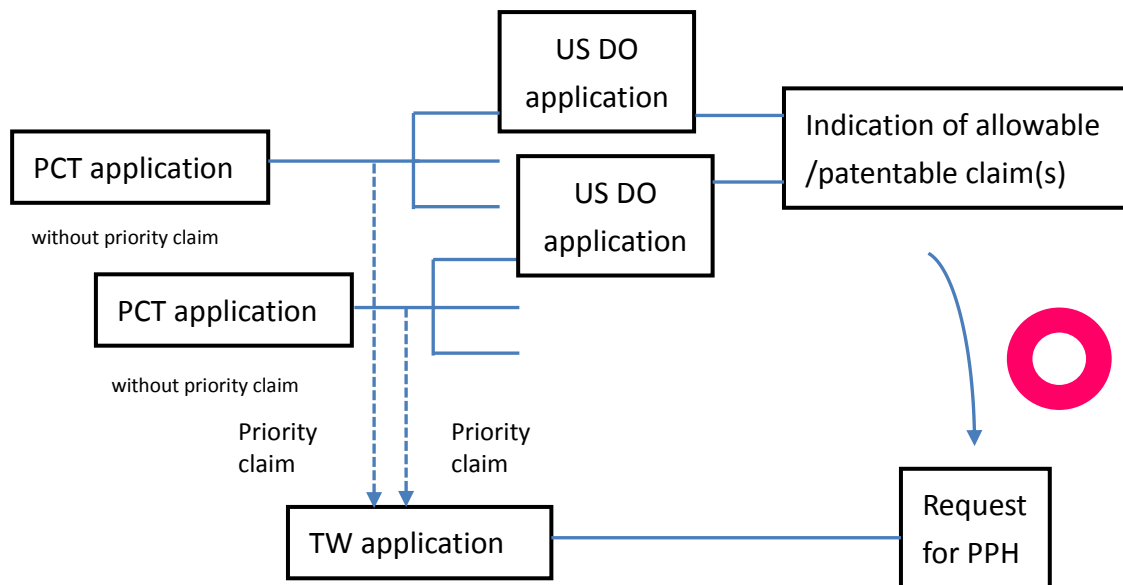


D. A case meeting requirement (a)(i)

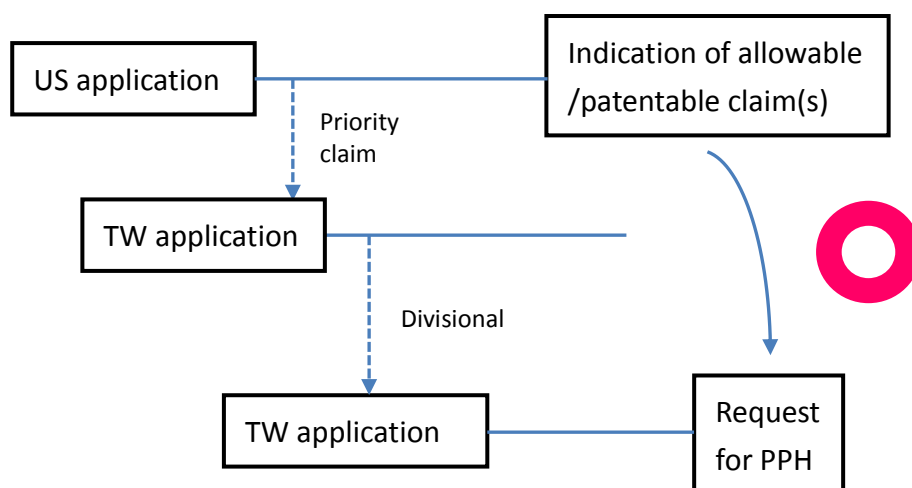




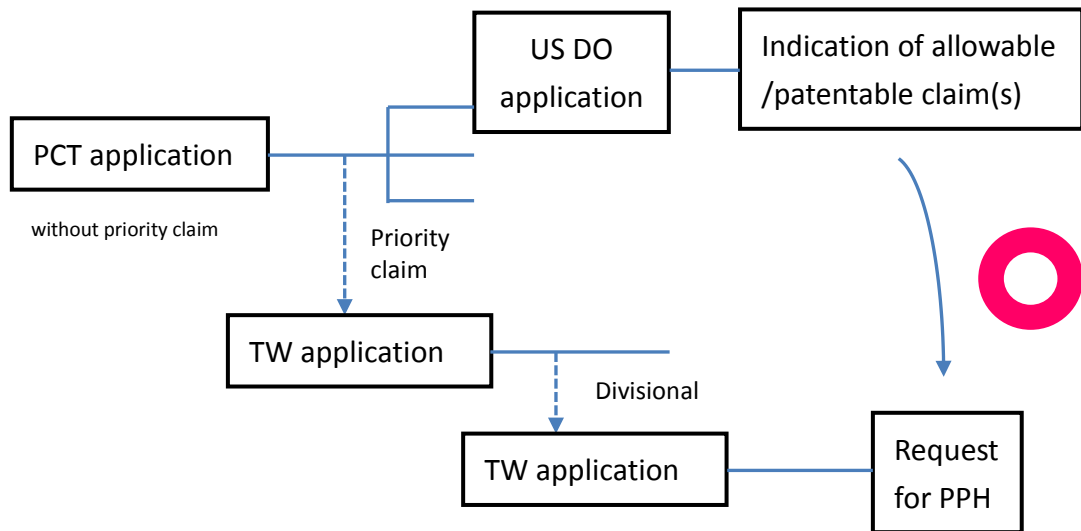
E. A case meeting requirement (a)(ii)



F. A case for divisional application meeting requirement (a)(i)



G. A case for divisional application meeting requirement (a)(ii)



## Annex 2

(1) claims indicated in the field “The allowed claim(s) is/are\_\_\_” in the Notice of Allowability.

<b>Notice of Allowability</b>	Application No.	Applicant(s)	
	Examiner	Art Unit	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the amendment filed on 02/14/05.

2. ☒ The allowed claim(s) is/are 1-3 and 5-30.

3. ☒ The drawings filed on 12/19/02 are accepted by the Examiner.

(2) claims indicated in the field “Claim(s) \_\_\_ is/are allowed” in “Office Action Summary” of either a Non-Final Rejection or a Final Rejection.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	Examiner	Art Unit	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) ☒ Responsive to communication(s) filed on 06/25/04.

2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.

3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) ☒ Claim(s) 1-3 and 6-30 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) ☒ Claim(s) 1-3 and 13-30 is/are allowed.

6) ☒ Claim(s) 6-12 is/are rejected.

7) ☒ Claim(s) 5 is/are objected to.

8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

(3) claims indicated in the field “Claim(s) \_\_\_ is/are objected to” in “Office Action Summary” of either a Non-Final Rejection or Final Rejection and where the USPTO examiner indicates that the claims “are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims”.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	Examiner	Art Unit	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) ☐ Responsive to communication(s) filed on \_\_\_\_.

2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.

3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) ☒ Claim(s) 1-30 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.

5) ☐ Claim(s) \_\_\_\_ is/are allowed.

6) ☒ Claim(s) 1-16, 18-25, 27, 28 and 30 is/are rejected.

7) ☒ Claim(s) 17, 26 and 29 is/are objected to.

8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

(4) claims indicated in the field "Claim(s) \_\_\_\_ is/are objected to" in "Office Action Summary" of an Ex parte Quayle office action and where the USPTO examiner indicates that the claims "would be allowable if rewritten or amended to overcome the objection set forth in this Office action".

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	Examiner	Art Unit	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) ☐ Responsive to communication(s) filed on \_\_\_\_.

2a) ☐ This action is **FINAL**.                      2b) ☐ This action is non-final.

3) ☒ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) ☒ Claim(s) 11-13 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.

5) ☐ Claim(s) \_\_\_\_ is/are allowed.

6) ☐ Claim(s) \_\_\_\_ is/are rejected.

7) ☒ Claim(s) 11-13 is/are objected to.

8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

# 發明專利 PPH 審查申請書

Request for Accelerated Examination under the PPH Program

申請案號： Application number

※案 由：24714

☐ 一併申請 PPH 修正 ☐ 一併申請誤譯訂正

Amendment under PPH program / correction of translation errors at the same time

一、發明名稱： Title of the invention

二、申請人：(共 人) Applicant information

國 籍： ☐中華民國 ☐大陸地區 (☐大陸、☐香港、☐澳門)

Nationality R.O.C Mainland area Mainland Hong Kong Macau

☐外國籍：\_\_\_\_\_

Foreign nationality

身分種類： ☐自然人

Natural person

☐法人、公司、機關、學校

Juristic person, business entity,  
organization, school

ID：

姓名：

Name  
(natural person)

姓：

Last name in Chinese  
Last name

名：

First name in Chinese  
First name

(signature or seal) (簽章)

名稱： (中文) (Name of juristic person, business entity, organization or school in Chinese)

Name

(英文) (Name of juristic person, business entity, organization or school in English)

(簽章)

代表人：(中文) (Name of representative in Chinese)

Representative

(英文) (Name of representative in English)

(簽章)

地址： (中文) (Address in Chinese)

Address

(英文) (Address in English)

(signature or seal)

聯絡電話及分機：

Phone number and extension number

◎代理人： Patent attorney information

ID：

姓名：

Name

(簽章)

證書字號：

Certificate number

(signature or seal)

地址：

Address

聯絡電話及分機：

Phone number and extension number

三、對應之☐美國☐日本☐西班牙☐韓國☐波蘭

☐加拿大申請案：

The corresponding application(s) is/are ☐US ☐JP ☐ES ☐KR ☐PL ☐CA application(s)

【格式請依：申請案號、公開編號、公告編號 順序註記，惟如尚未取得公開編號或公告編號者，得不註記】

1. **【Application number / Publication number / Patent number of the corresponding application(s)】**

四、附送書件: Attached documents

(\*Privacy Act Warning:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Please be aware that when you apply for a patent registration you are making a public record. Accordingly, all of the specification, scope of claim(s), drawing(s) and supporting explanation(s) and supplementary documentation(s) shall not contain confidential personal information. Any individual may directly or indirectly employ this information.)

☐1、所有審查意見書影本(含中譯本或英譯本)。

Check if copies of all office action(s) (which are relevant to substantial examination for patentability) issued for the corresponding application(s) (and translations of them) are attached or could be obtained from the dossier access system

1-1 ☐審查意見書請經由 USPTO Public PAIR 系統取得(台美 PPH)。

Check if TIPO is to obtain the documents from USPTO Public PAIR (TIPO-USPTO PPH)

1-2 ☐審查意見書及英譯本請經由 JPO AIPN 系統取得(台日 PPH)。

Check if TIPO is to obtain the documents from JPO AIPN system (TIPO-JPO PPH)

1-3 ☐審查意見書請經由 SPTO Expedientes Digitalizados 系統取得(台西 PPH)。

(勾選此項時，仍應檢附審查意見書之翻譯本)

Check if TIPO is to obtain the documents from SPTO Expedientes Digitalizados system (TIPO-SPTO PPH) (The translations of the documents have to be attached still.)

1-4 ☐ 審查意見書及英譯本請經由 KIPO K-PION 系統取得(台韓 PPH)。

Check if TIPO is to obtain the documents from KIPO K-PION system (TIPO-KIPO PPH)

1-5 ☐ 審查意見書請經由 PPO Publication Server 系統取得(台波 PPH)。(勾選此項時，仍應檢附審查意見書之翻譯本)

Check if TIPO is to obtain the documents from PPO Publication Server system (TIPO-PPO PPH) (The translations of the documents have to be attached still.)

1-6 ☐ 審查意見書請經由 CIPO Canadian Patents Database 系統取得(台加 PPH)。

Check if TIPO is to obtain the documents from CIPO Canadian Patents Database (TIPO-CIPO PPH)

文件名稱	Document name	日期	Date

☐ 2、審查達到可核准之申請專利範圍影本(含中譯本或英譯本)。

Check if a copy of the claims determined to be allowable/patentable (and translations of them) is attached or could be obtained from the dossier access system

2-1 ☐ 申請專利範圍請經由 USPTO Public PAIR 系統取得(台美 PPH)

Check if TIPO is to obtain the documents from USPTO Public PAIR (TIPO-USPTO PPH)

2-2 ☐ 申請專利範圍及英譯本請經由 JPO AIPN 系統取得(台日 PPH)。

Check if TIPO is to obtain the documents from JPO AIPN system (TIPO-JPO PPH)

2-3 ☐ 申請專利範圍請經由 SPTO Expedientes Digitalizados 系統取得(台西 PPH)。(勾選此項時，仍應檢附申請專利範圍之翻譯本)

Check if TIPO is to obtain the documents from SPTO Expedientes Digitalizados system (TIPO-SPTO PPH) (The translations of the documents have to be attached still.)

2-4 ☐ 申請專利範圍及英譯本請經由 KIPO K-PION 系統取得(台韓 PPH)。

Check if TIPO is to obtain the documents from KIPO K-PION system (TIPO-KIPO PPH)

2-5 ☐ 申請專利範圍請經由 PPO Publication Server 系統取得(台波 PPH)。

(勾選此項時，仍應檢附申請專利範圍之翻譯本)

Check if TIPO is to obtain the documents from PPO Publication Server system (TIPO-PPO PPH) (The translations of the documents have to be attached still.)

2-6 ☐ 申請專利範圍請經由 CIPO Canadian Patents Database 系統取得(台加 PPH)

Check if TIPO is to obtain the documents from CIPO Canadian Patents Database (TIPO-CIPO PPH)

文件名稱	Document name	日期	Date



- ☐3、引用作為專利准、駁判斷依據之引證文獻。  
Check if copies of the references cited in the office action(s) are attached  
(※☐引證文獻屬專利文獻無需檢送。)  
Check if the cited references are patent documents; submission is not necessary
- ☐4、申請專利範圍對應表。  
Check if a claim correspondence table is attached
- ☐5、其他有利於本局 PPH 審查之文件。（請敘明）  
Check if other supporting documents are attached (please write down the name of documents)
- ☐6、發明專利 PPH 修正申請書。  
Check if an Amendment Request Form under the PPH Program is attached
- ☐7、專利誤譯訂正申請書。  
Check if an Request Form for correction of translation errors is attached



### Claim Correspondence Table

[illegible]

# 發明專利PPH修正申請書

## Amendment Request Form under the PPH Program

申請案號： Application number ※案 由： 24716

依據： 年 月 日 ( ) 智專 字第 號函辦理。  
When amendment is submitted according to notification from TIPO, write down the notification number and its date

一、發明名稱：(中文/英文) Title of the invention

二、申請人：(共 人) Applicant information

國 籍： ☐中華民國 ☐大陸地區 ( ☐大陸、☐香港、☐澳門)

Nationality R.O.C Mainland area Mainland Hong Kong Macau

☐外國籍：\_\_\_\_\_

Foreign nationality

身分種類： ☐自然人 ☐法人、公司、機關、學校

Natural person

Juristic person, business entity, organization, school

ID：

姓名： 姓： 名：  
Name Last name in Chinese First name in Chinese  
(natural person) Last : First :  
name : name : (signature or seal) (簽章)

名稱： (中文) (Name of juristic person, business entity, organization or school in Chinese)

Name (英文) (Name of juristic person, business entity, organization or school in English)

(簽章)

代表人：(中文) (Name of representative in Chinese)

(signature or seal)

Representative

(英文) (Name of representative in English)

(簽章)

地址： (中文) (Address in Chinese)

(signature or seal)

Address

(英文) (Address in English)

聯絡電話及分機：

Phone number and extension number

## ◎代理人 Patent attorney information

ID :

姓名： 姓：

Name Last name in Chinese

名：

First name in Chinese

(簽章)

(signature or seal)

證書字號：

Certificate number

地址：

Address

聯絡電話及分機：

Phone number and extension number

## 三、修正事項： Comments on amendment

Check to specify the nature and purpose of the amendment. If more space is needed, use separate A4 sheet(s), typed, left to right and prepare one copy.

☐ 說明書修正之頁數、段落編號及行數及修正理由：

Check to specify the inserted new page(s) of specification with respect to the numbering of page(s), section(s) and claim(s).

☐ 申請專利範圍修正之項號及修正理由：

Check to specify the claim(s) and explanation(s) of amendment. (Patent applications filed after January 1, 2010 shall apply for scope of claims and application fee.)

☐ 圖式修正之圖號及修正理由：

Check to specify the drawing(s) and explanation(s)

☐ 其他說明事項如附件：

Check to specify supplementary documentation and appendices

## 四、附送書件： Attached documents

(Use only for filing attached documents relating to applications)

(\* Privacy Act Warning:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Please be aware that when you apply for a patent registration you are making a public record. Accordingly, all of the specification, scope of claim(s), drawing(s) and supporting explanation(s) and supplementary documentation(s) shall not contain confidential personal information. Any individual may directly or indirectly employ this information.)

☐ 1、發明專利 PPH 修正申請書 1 份。

Check to specify if one copy of request form for amendment are provided.

☐ 2、發明專利修正部分劃線之說明書或申請專利範圍修正頁 1 份。

Check to specify one copy of specification or scope of claim(s) with underlined portions of amendment. (Filing date appears in the upper right corner)

(Applicant must indicate the precise point where each amendment is made. The matter to be omitted by reissue must be shown by strike-through. The text of any added subject matter must be shown by underlining the added text. All underlined changes shall be made in comparison to the original patent. The numbering of patent claims preserved. The numbering of any claims added in the reexamination proceeding must follow the number of the highest numbered patent claim.)

☐ 3、發明專利修正後無劃線之說明書或申請專利範圍或圖式替換頁各 1 份。

。

Check to specify one copy if replacement sheet(s) of specification, claim(s) or drawing(s) that have no underlines are provided.

- ☐4、委任書 1 份。  
Check if Power of Attorney is attached
- ☐5、申復書一式 1 份。  
Check if one copy of response are attached
- ☐6、申請專利範圍對應表 1 份。  
Check if a claim correspondence table is attached
- ☐7、其他：  
Check if other documents are attached

## \* 申請專利範圍請求項及規費之說明：

### Explanation of claims and fee

(本欄位僅為 99 年 1 月 1 日起提出之發明專利申請案適用。)

(only for invention applications filed after January 1, 2010)

(一) 申請案發給第一次審查意見通知前，提出本次修正申請專利範圍者：

If first office action has not been issued, substantive examination fee shall be calculated in accordance with the amended claims:

- ☐ 本案已提出實體審查申請，本次僅修正請求項，未有新增或刪除請求項之情事，應繳規費不變。

Request for substantive examination has been made, but amended claims do not include newly added claims or deleted claims; fee unchanged

- ☐ 本案已提出實體審查申請，本次有新增或刪除請求項者：

Request for substantive examination has been made and the amended claims include newly added claims or deleted claims:

新增 ( ) 項，刪除 ( ) 項，修正後共計 ( ) 項。

Newly added \_\_\_ claims, deleted \_\_\_ claims, total \_\_\_ claims after amendment

本次應 ☐ 加收或 ☐ 退還規費共計新台幣 ( ) 元整。

NT \$ \_\_\_\_\_ ☐ added ☐ refunded

(二) 申請案發給第一次審查意見通知後，提出本次修正申請專利範圍者：

Where a first office action has been issued, substantive examination fee shall be calculated in accordance with the newly added claims and pending claims before first office action:

- ☐ 本次僅修正或刪除請求項，未有新增請求項之情事，應繳規費不變。

Amendment only amends or deletes claims and does not add any new claims; fee unchanged

- ☐ 本次有新增請求項者：

The amendment adds new claims:

新增 ( ) 項，修正後共計 ( ) 項。

Newly added \_\_\_ claims, total \_\_\_ claims after amendment

本次應加收規費共計新台幣 ( ) 元整。

NT \$ \_\_\_\_\_ added