



香港特別行政區政府知識產權署商標註冊處
Trade Marks Registry, Intellectual Property Department
The Government of the Hong Kong Special Administrative Region



知識產權署
Intellectual Property Department

TRADE MARKS ORDINANCE (Chapter 559)

Laws of Hong Kong Special Administrative Region

It is hereby certified that the attached document is a true copy of the application for registration of trade mark relating to Application No. 304930542 with date of filing on 20 May, 2019.

(LEE SIU CHUEN)
Intellectual Property Examiner II
p. Registrar of Trade Marks

27 June, 2019



知識產權署
Intellectual Property Department

For official use

Application for registration of a trade mark (including certification mark, collective mark and defensive mark)

Trade Mark Form T2

Trade Marks Ordinance (Cap. 559)

Trade Marks Rules (Cap. 559A)

Important notes

1. General notes:

- a. Please note that only minimal changes to the application are allowed after you have filed the application. Your attention is drawn to section 46 of the Trade Marks Ordinance, rules 23 - 24 of the Trade Marks Rules and *How to apply to register a trade mark in the Hong Kong SAR* which can be viewed at www.ipd.gov.hk
- b. This form is available in Chinese and English. Either Chinese or English can be used as the language of proceedings. Please complete this form in English unless otherwise stated. The language of proceedings will be in English and the certificate of registration will be issued in English.
- c. You must complete Parts 01, 02, 04, 07 and 11.
- d. By submitting the application, you will be treated as having given consent to the Trade Marks Registry and its service provider to copy all the information provided for the purpose of the administration of the Trade Marks Ordinance and its subsidiary legislation.
- e. This form must be signed and dated.
- f. If there is not enough space for any part on this form, please continue on an additional sheet. Number each additional sheet and state the number of additional sheet(s).
- g. Please enquire through the following means:
 - E-mail: enquiry@ipd.gov.hk
 - Internet homepage address: www.ipd.gov.hk

2. Use of personal data:

Personal data collected by the Registrar of Trade Marks ("the Registrar") in the forms filed with the Registrar will be used for the purposes set out in the Personal Information Collection Statement at https://www.ipd.gov.hk/eng/personal_information.htm.

3. Use of other information:

- a. The Trade Marks Registry will use any information relating to any commercial enterprise or entity provided in this form and any document(s) filed in relation to it for processing your application, request or notice, and for the purposes of collection set out in the Personal Information Collection Statement at https://www.ipd.gov.hk/eng/personal_information.htm. **They may be made available for public inspection, in full or in part, pursuant to Rules 68 and 69 of the Trade Marks Rules, Cap. 559A. Such information may be accessed through the Internet.**
- b. **DO NOT include any business information of your own or that of third parties which you consider to be confidential or commercially sensitive.** Where such information is included in this form or any document(s) filed in relation to it, the Registrar will treat it an express and voluntary consent given by you and any such third party to disclosure of all such information for the purpose of public inspection.

4. Copyright-protected materials

If you submit copies of any copyright-protected materials, including but not limited to e.g. a sample of a sound or movement mark in the form of an audio/video file, to the Trade Marks Registry at any time for processing your application and/or for reference purposes, please ensure the necessary consent has been obtained: you should only submit copies of copyright-protected materials where the relevant copyright owner(s) has/have consented to the use of such copies and the making of further copies by the Trade Marks Registry and its service providers for the purpose of the administration of the Trade Marks Ordinance and its subsidiary legislation.

5. Submission of application/request/notice:

- a. In person or by mail with the appropriate fee to the Registrar of Trade Marks, 24/F, Wu Chung House, No. 213 Queen's Road East, Wanchai, Hong Kong. The fee schedule can be viewed at www.ipd.gov.hk/eng/forms_fees.htm. Payment can be made in person by cash, or by sending a cheque/bank draft (in Hong Kong dollars which can be cleared in Hong Kong) made payable to THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION.
- b. This form can also be submitted electronically. For details, please visit [IPD's e-Filing System](#).

* Denotes mandatory fields

01. *Details of applicant making request under section 38(2)(a) of the Trade Marks Ordinance for registration of a trade mark

Please ensure the applicant named in this part is capable of holding property including trade mark. If you are a sole proprietorship/partnership firm in Hong Kong, please provide the name(s) of the sole proprietor/partners followed by the trading name of the sole proprietorship/partnership firm.

If there is more than one applicant, please provide details of all applicants.

*(a) Name

If your name is not in Roman letters or in Chinese, please include a transliteration in Roman letters.

Rothschild & Co Continuation Holdings AG

*(b) Address

Flat/Floor/Building

Baarerstrasse 95, 6300 ZUG

Street/District/City

Country/Territory/Area

SWITZERLAND

(c) Applicant type

Please mark one box only

☐ **Individual** Go to Part 02

☒ **Incorporated** Go to Part 01(d) (and Part 01(e) if applicable)

☐ **Unincorporated** Go to Part 02

(d) Country/Territory/Area of incorporation

SWITZERLAND

(e) State of incorporation

For applicant incorporated in the United States.

02. *Address for service

All correspondence and/or document(s) will be sent to the address below.

*(a) Name

FRESHFIELDS BRUCKHAUS DERINGER

*(b) Address

You must provide details of an address for service in Hong Kong. Please note that a P.O. Box or "care of" address is not acceptable.

Flat/Floor/Building

55th Floor, One Island East, Taikoo Place

Street/District

Quarry Bay

HONG KONG

(c) Telephone no.

In Hong Kong

28463307

(d) Fax no.

In Hong Kong

2810 6192

(e) Reference no.

075350-0922

03. Agent's details

Go to Part 04 if you are not an agent.

Please complete this part if you have been duly authorized to act as an agent on behalf of the applicant(s) for the purpose of this application.

(a) Name**FRESHFIELDS BRUCKHAUS DERINGER****(b) Address**

You must provide details of an address in Hong Kong, where you reside or carry on your business activities. Please note that a P.O. Box or "care of" address is not acceptable.

Flat/Floor/Building

55th Floor, One Island East, Taikoo Place

Street/District

Quarry Bay**HONG KONG****(c) Telephone no.**

In Hong Kong

28463307**(d) Fax no.**

In Hong Kong

2810 6192**(e) Reference no.****075350-0922**

04. *Trade Mark

Place a representation of your trade mark in the box.

If you claim colour(s) as a trade mark or as element(s) of a trade mark, the representation of your trade mark must be in the colour(s) for which the claim is made.

羅斯柴爾德恩可

If the trade mark contains word(s), letter(s) or Chinese character(s), please provide the details (if applicable).

羅斯柴爾德恩可

罗斯柴尔德恩可

If the trade mark contains word(s), letter(s) or Chinese character(s), please provide the details (if applicable).

罗斯柴尔德恩可

Series marks

If the application relates to a series of marks, please indicate in the box the number of marks in the series (not more than 4).

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05. Non-Roman letters and non-Chinese characters

Go to [Part 06](#) if your mark does not contain non-Roman letters or non-Chinese characters.

If the trade mark consists of or contains a word, letter or character that is neither in Roman letters nor in Chinese characters, please state the language and provide the transliteration or translation of the word, letter or character in the space below.

(a) **Language**

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(b) **Transliteration and translation**

Non-Roman letters or non-Chinese characters	Transliteration
	Translation

06. 3-Dimensional shape, colour, sound, smell or other unconventional mark

Go to [Part 07](#) if you do not claim colour(s) or 3-dimensional shape as a trade mark or as an element of a trade mark, or the trade mark is not a sound, smell or other unconventional mark.

Please mark the appropriate box(es)

(a) ☐ **Colour**

If colour(s) is/are claimed as a trade mark or as an element of a trade mark, please provide a statement of that fact, and a description of the mark

Example: "The applicant claims the colours red and blue as elements of the trade mark."

(b) ☐ **3-Dimensional shape**

If 3-dimensional shape(s) is/are claimed as a trade mark or as an element of a trade mark, please provide a statement of that fact, and a description of the mark.

Example: "The mark consists of a 3-dimensional shape. The applicant claims the shape of a shell as an element of the trade mark."

(c) ☐ **Sound**

(d) ☐ **Smell**

(e) ☐ **Others**

Examples:

Movement mark, hologram.

For a sound, smell or other unconventional mark, please provide a statement of that fact, and a description of the mark

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List the class number(s) in consecutive numerical order and the goods or services appropriate to that class. Please use semi-colons (;) to separate the goods or services listed in the specification.

<p>*Class no.</p> <div style="border: 1px solid black; padding: 5px; text-align: center; width: 50px; margin: 10px auto;">35</div>	<p>*Specification of goods and/or services Example: Meat; fish; poultry.</p> <p>Professional business consultancy; business management consultancy; business organisation consultancy; economic forecasting; business investigations; advisory services for business management; sponsorship search; tax planning; tax advisory services.</p>
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Class no.	Specification of goods and/or services
<div style="border: 1px solid black; padding: 5px; text-align: center;">36</div> <p>Additional fee is required.</p>	<p>Banking; financial analysis; financial affairs; monetary affairs; financial services; investment banking; underwriting; lending; capital investment; fund investment; fund management; estate planning; financial services in the fields of mergers, acquisitions, corporate restructuring, debt and equity capital markets and corporate finance; trading in foreign exchange, derivatives and other financial products; financial management; investment management and advice; financial consultancy, financial planning, financial research, financial appraisal and financial evaluation; wealth management services; pension administration; financial investment, financing, providing finance; fiduciary; fiduciary services; trusteeship, trustee services; custody of securities; providing advisory services relating to all the aforesaid services.</p>

Class no.	Specification of goods and/or services
<div data-bbox="210 1462 347 1538" style="border: 1px solid black; height: 160px; width: 110px; margin-bottom: 10px;"></div> <div data-bbox="210 1538 347 1588">Additional fee is required.</div>	

Total number of class(es)

08. Convention priority details

Go to Part 09 if you do not claim priority.

If you have duly filed an application for registration of this trade mark in respect of the same goods or services in a Paris Convention country or WTO member within 6 months prior to the date of filing of this application, right of priority can be claimed. A claim of multiple priority dates in respect of different goods and/or services is acceptable.

If you claim priority, please provide particulars of the claim.

(a) Priority date(s) claimed

DD-MM-YYYY

(b) Countries/Territories/Areas

(c) Application no(s).

If known

(d) Partial priority claim details

If the priority claim only relates to part of goods and/or services or one of the marks in a series of trade marks, please specify. (if applicable).

Example: "for Class 1", for mark "A" in the series.

09. Certification, collective or defensive mark

Go to Part 10 if you are not applying for certification, collective or defensive mark.

Please indicate by marking the appropriate box the type of mark you are applying for registration.

(a) ☐ Certification mark

If this application is for a certification mark, you must file regulations **within 9 months** from the date when you file this application. Attention is drawn to paragraphs 6 and 7 of Schedule 4 to the Trade Marks Ordinance and rule 101 of the Trade Marks Rules. The Trade Marks Ordinance and the Trade Marks Rules can be viewed at www.ipd.gov.hk.

(b) ☐ Collective mark

If this application is for a collective mark, you must file regulations **within 9 months** from the date when you file this application. Attention is drawn to paragraphs 5 and 6 of Schedule 3 to the Trade Marks Ordinance and rule 100 of the Trade Marks Rules. The Trade Marks Ordinance and the Trade Marks Rules can be viewed at www.ipd.gov.hk.

(c) ☐ Defensive mark

If this application is for a defensive mark, you must file statutory declaration or affidavit **within 9 months** from the date when you file this application. Attention is drawn to section 60 of the Trade Marks Ordinance and rule 99 of the Trade Marks Rules. The Trade Marks Ordinance and the Trade Marks Rules can be viewed at www.ipd.gov.hk.

10. Disclaimer, limitation or condition

Go to Part 11 if you have no disclaimer, limitation or condition.

If you want to disclaim the right to the exclusive use of any part of your mark or to limit your use of the mark, please give details.

11. *Confirmation

I/We confirm that:

I/We have read and understood the "Important notes" of this form.

The applicant is capable of holding property including trade mark.

The trade mark is being used by the applicant or with his consent in relation to the goods and/or services indicated in Part 07, or the applicant honestly intends to use the trade mark, or allows it to be used, in relation to those goods and/or services.

***(a) Authorized signature**

Electronically signed by BIRD Richard Whitney
For and on behalf of FRESHFIELDS BRUCKHAUS
DERINGER

***(b) Name of signatory**

BIRD Richard Whitney

***(c) Official capacity of signatory**

Examples:

Authorized person, Director, Partner or
Principal Officer of Applicant(s)/ Agent.

Agent

***(d) Date**

DD-MM-YYYY

20-05-2019

12. Attachment(s)